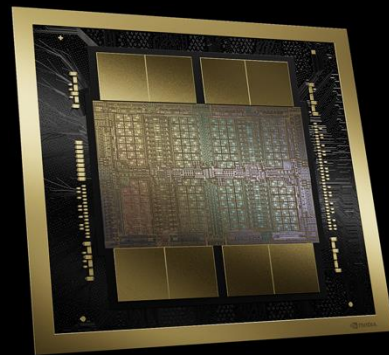
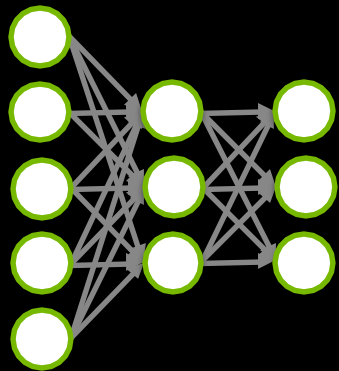


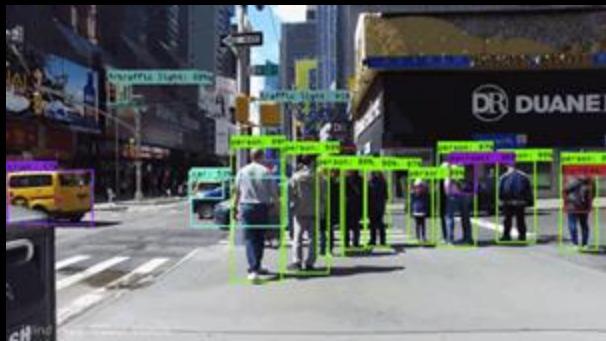
# AN INTRODUCTION TO DEEP LEARNING

**Behzad Bozorgtabar**  
Image Analysis and Pattern Recognition, EE-451  
*EPFL, LTS5*

# Deep Learning for Real World Problems



**Object Detection**



**Human Understanding**



**Autonomous Driving**



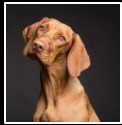




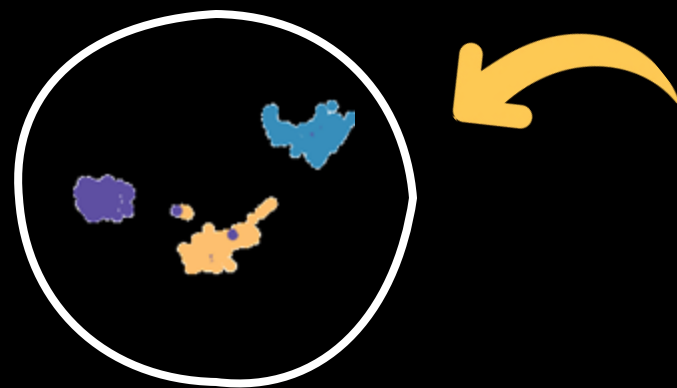
**Datapoint 1**  **Representation 1**



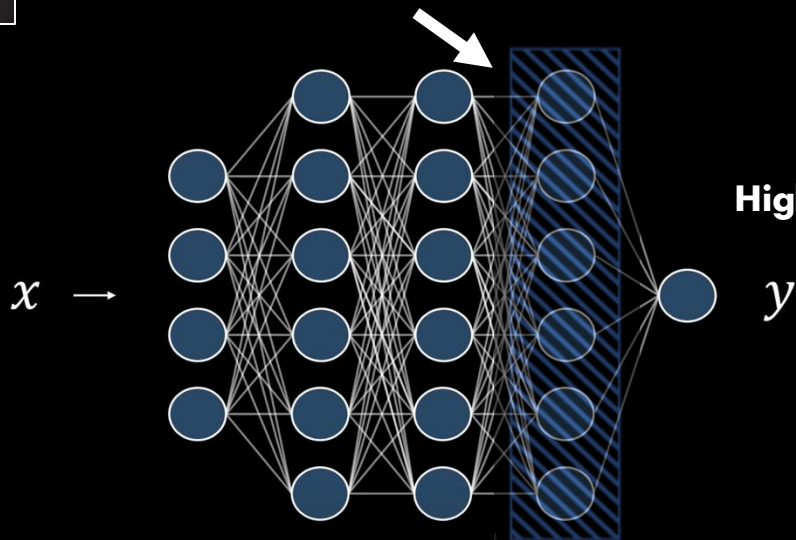
**Datapoint 2**  **Representation 2**



**Datapoint 3**  **Representation 3**



**Embedding Space**

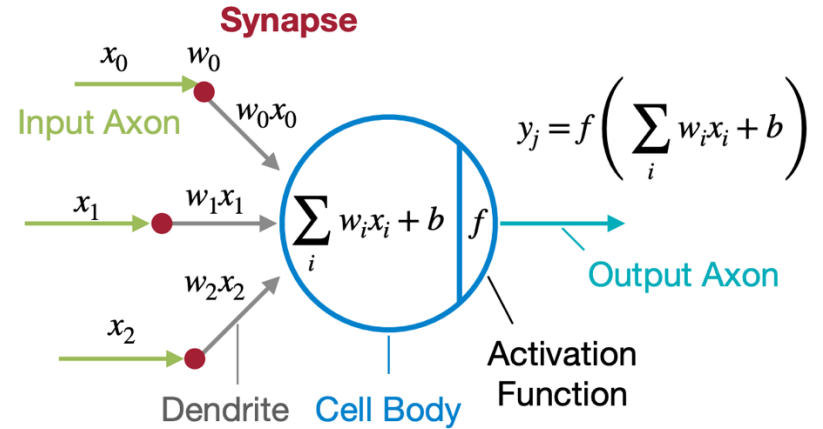
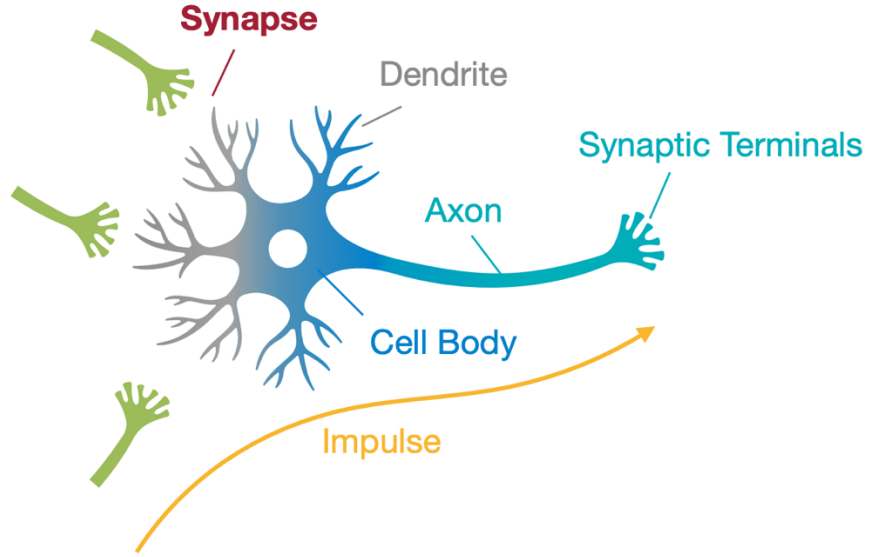


**Representation learning**

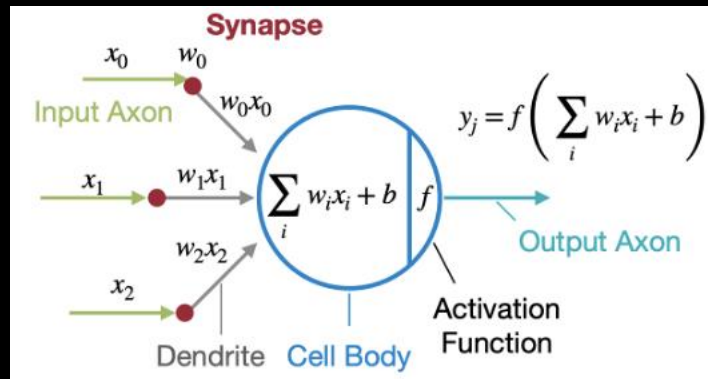
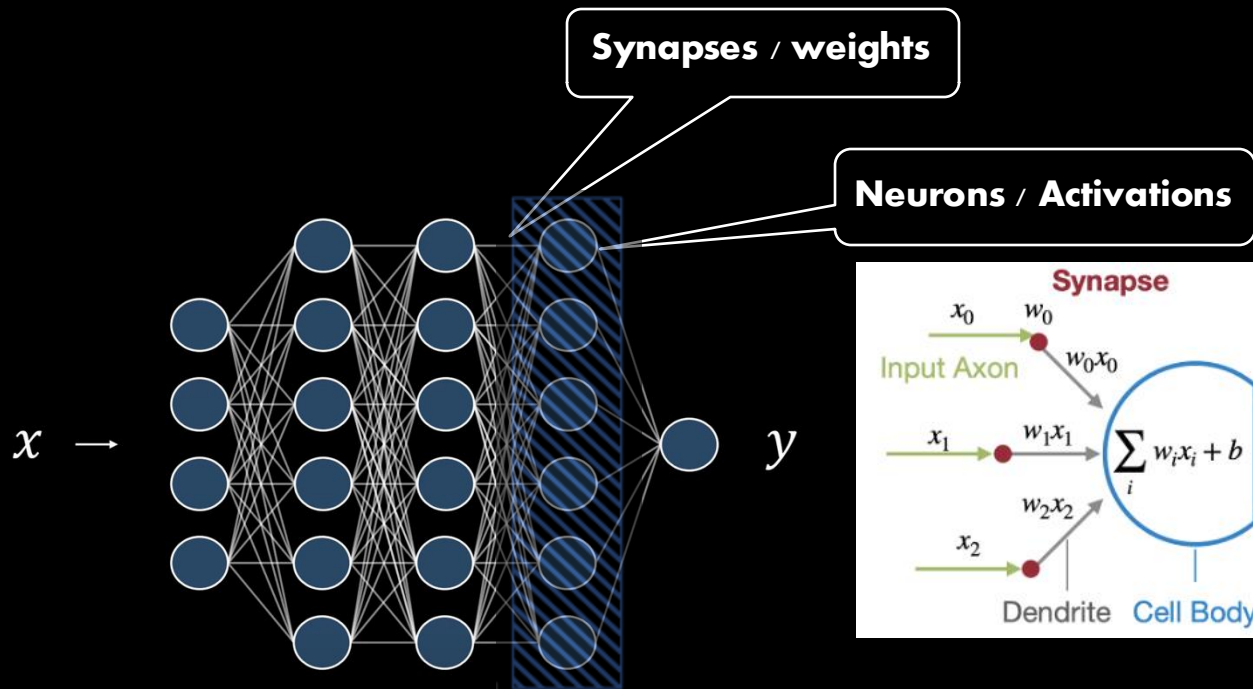
**High-level representations are typically nuisance-invariant**



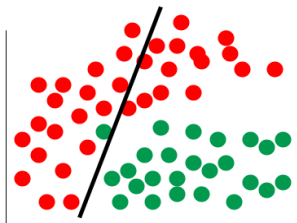
# Neuron and Synapse



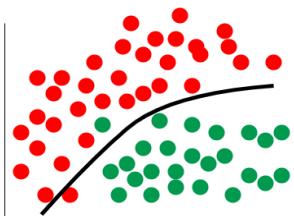
# Neural Network



# Activation Functions

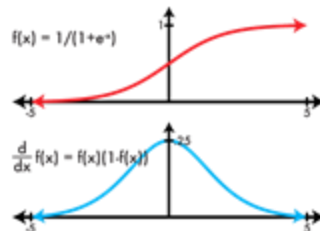


Decision boundary for linear activation functions

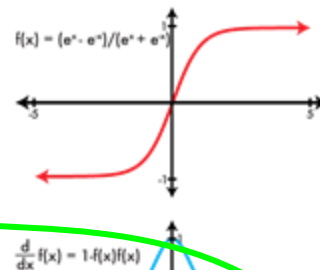


Decision boundary for non-linear activation functions

logistic

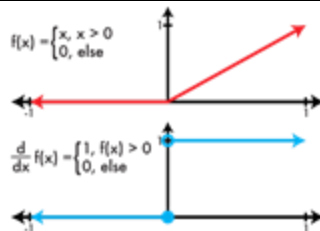


tanh

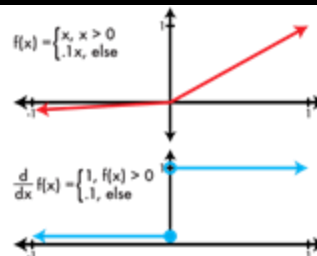


Good ones

REctified Linear Unit (ReLU)



Leaky RELU



# Fully Connected (Dense) Layer

Each output neuron is connected to all previous layer neurons

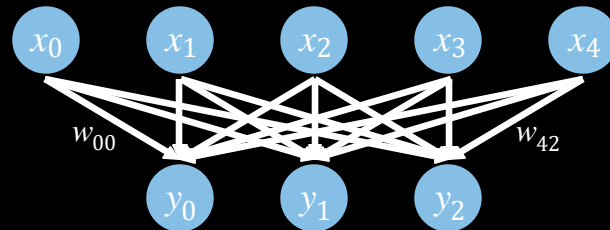
- Shape of Tensors:

Input Features  $\mathbf{X} : (1, c_i)$

Output Features  $\mathbf{Y} : (1, c_o)$

Weights  $\mathbf{W} : (c_o, c_i)$

Bias  $\mathbf{b} : (c_o, )$

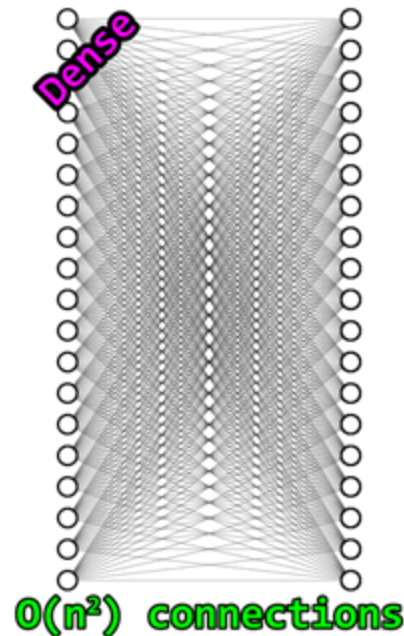
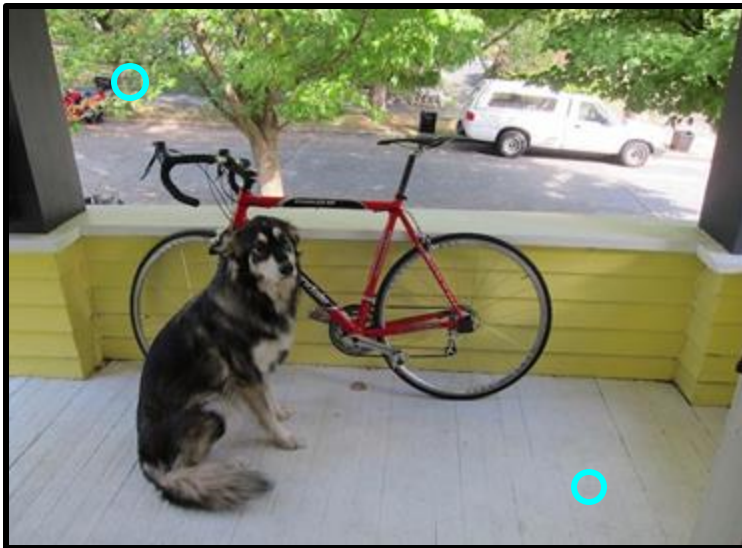


A diagram illustrating the matrix multiplication for a fully connected layer. It shows a horizontal vector  $\mathbf{X}$  of size  $c_i$  multiplied by a vertical matrix  $\mathbf{W}^T$  of size  $c_i \times c_o$  to produce a horizontal vector  $\mathbf{Y}$  of size  $c_o$ . The multiplication is represented by a large  $\times$  symbol, and the result is shown with an equals sign. The dimensions are labeled above each element:  $c_i$  for the input vector,  $c_i$  for the height of the weight matrix,  $c_o$  for the width of the weight matrix, and  $c_o$  for the output vector.

# Scaling Issue in Fully Connected Layers

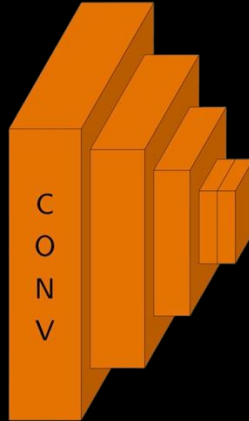
The number of weights grows quadratically with the number of neurons

Complexity of handling image data





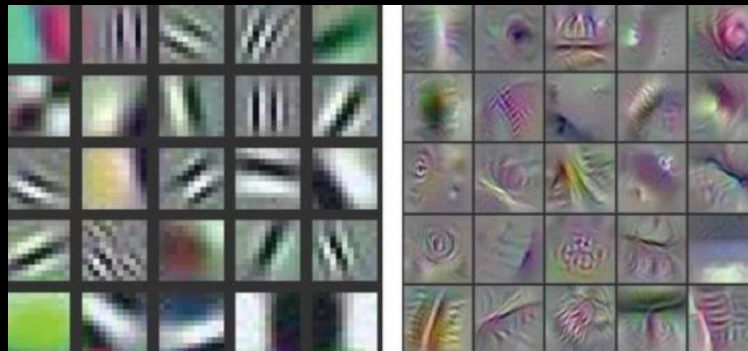
# Convolutional Neural Networks and Intuition



# Intuition Behind Convolution Layer (1)

**Restricting the degrees of freedom**

- **A structured layer to process a small region with fewer weights (many useful features are local)**



**Layer 1:**  
**edge detectors?**

**Layer 2:**  
**beak? wing?**

# Intuition Behind Convolution Layer (1)

**Restricting the degrees of freedom**

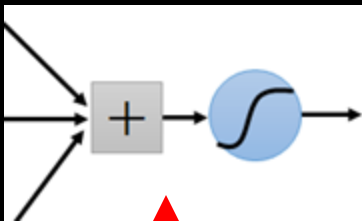
- **A structured layer to process a small region with fewer weights (many useful features are local)**



# Intuition Behind Convolution Layer (2)

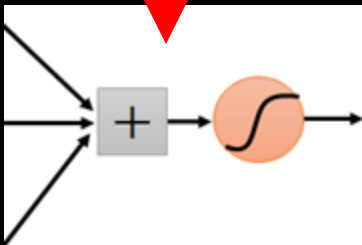
## Restricting the degrees of freedom

- **Weight sharing:** using the same weights for different parts of the image



**"upper-left  
beak" detector**

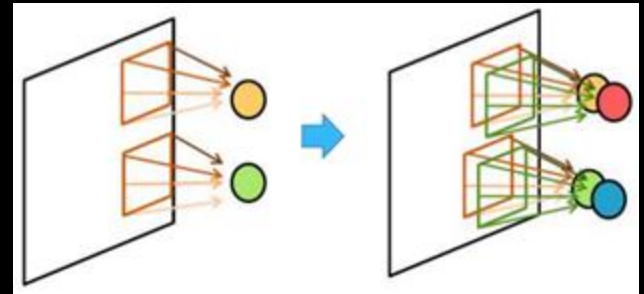
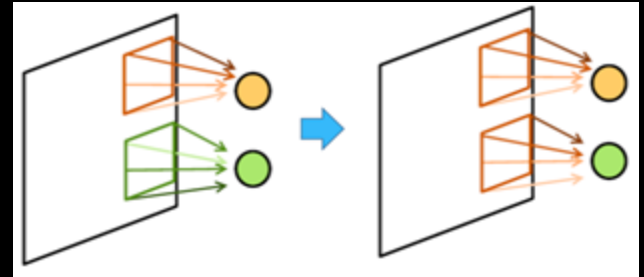
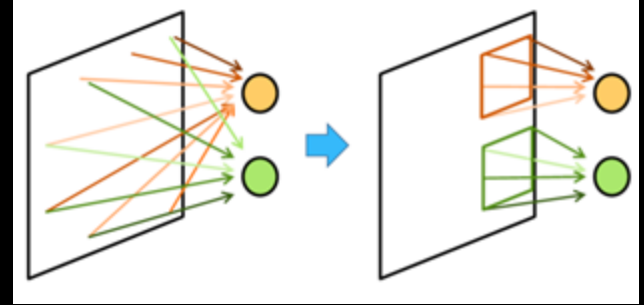
**Weight sharing**



**"middle beak"  
detector**

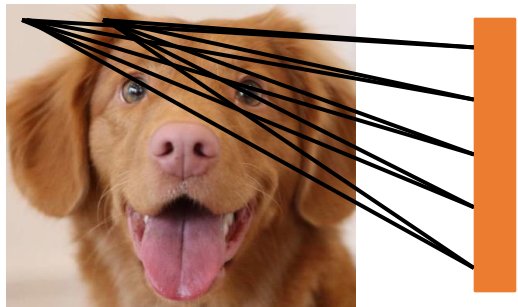
# Transitioning from Fully Connected to Convolution Layer

- **Local Connectivity**
- **Weight Sharing**
- **Multiple Feature Detectors**





# Connectivity Pattern: Fully Connected vs. Convolution Layer

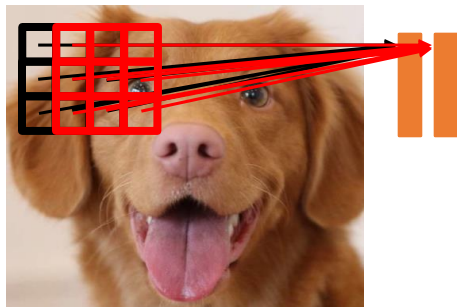


**Image is  $128 \times 128 \times 3 = 49,152$**

**First layer is 64-dim**

**$64 \times 49,152 \approx 3,000,000$**

**FC layer**



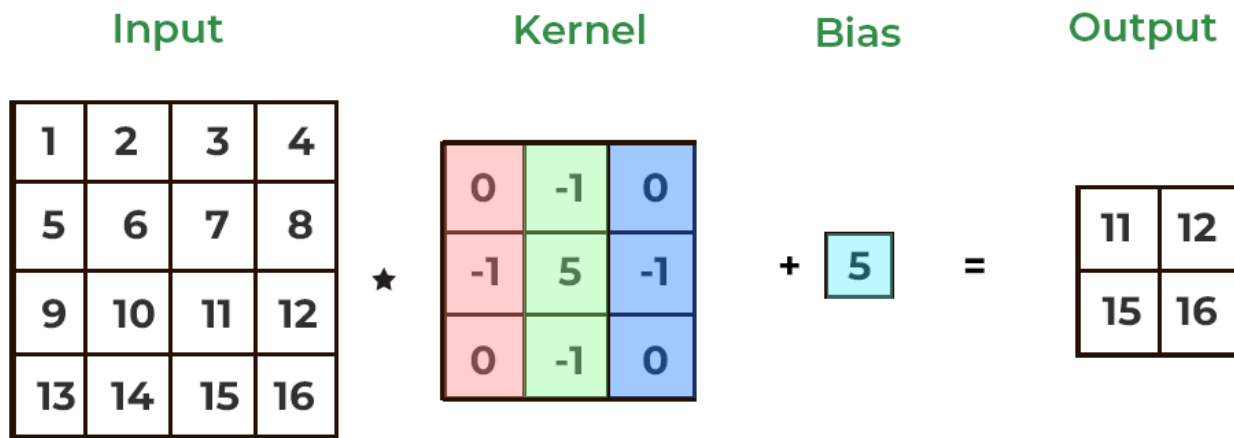
**Patch is  $3 \times 3 \times 3 = 27$**

**First layer is 64-dim**

**$64 \times 27 = 1728$**

**Convolution layer**

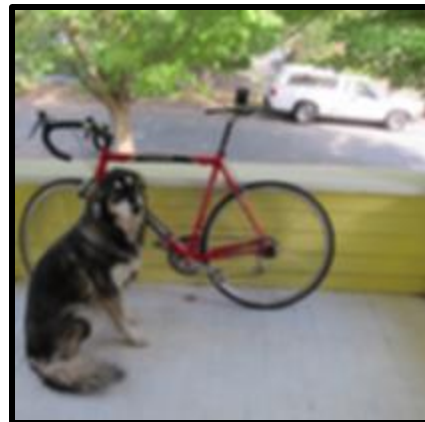
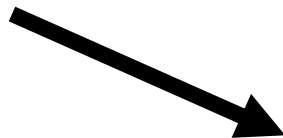
# Convolutions?



# Convolution on Images?

$\frac{1}{49}$

1×	1×	1×	1×	1×	1×	1×
1×	1×	1×	1×	1×	1×	1×
1×	1×	1×	1×	1×	1×	1×
1×	1×	1×	1×	1×	1×	1×
1×	1×	1×	1×	1×	1×	1×
1×	1×	1×	1×	1×	1×	1×
1×	1×	1×	1×	1×	1×	1×



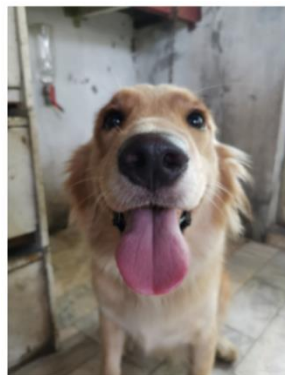
# Filter Effects

**Input**



**Edge detection**

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$



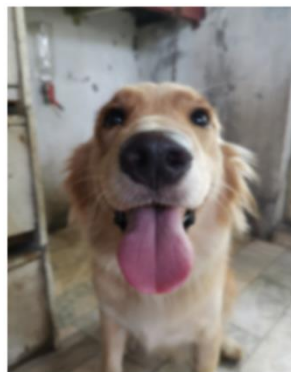
**Box mean**

$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



**Sharpen**

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$

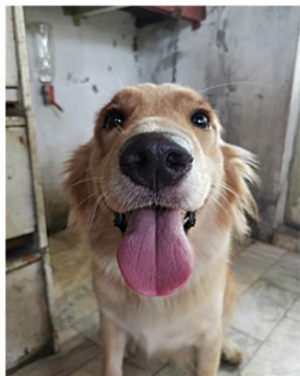


**Gaussian blur**

$$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$$

# Filter Effects

**Input**



**Edge detection**

$$\begin{bmatrix} -1 & -1 & -1 \\ -1 & 8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$



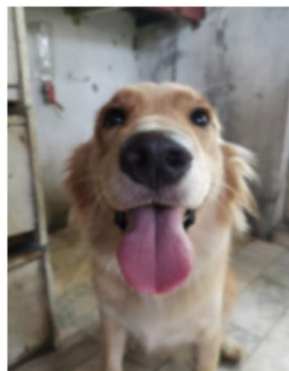
**Box mean**

$$\frac{1}{9} \begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$



**Sharpen**

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 5 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$



**Gaussian blur**

$$\frac{1}{16} \begin{bmatrix} 1 & 2 & 1 \\ 2 & 4 & 2 \\ 1 & 2 & 1 \end{bmatrix}$$

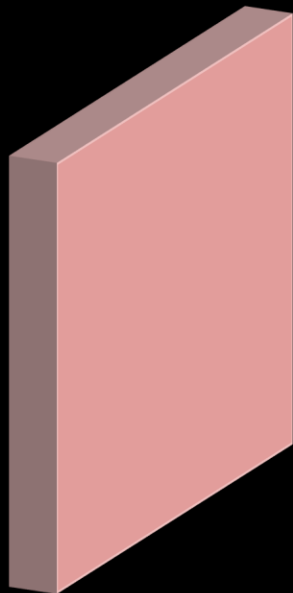
**LET'S LEARN THESE FILTERS!**



# Convolutions on Volumetric Images

width height depth

image 32x32x3



Depth dimension *\*must\** match; i.e., filter extends the full depth of the input

filter 5x5x3



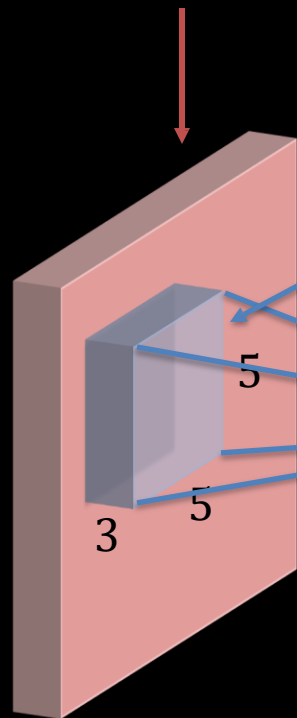
Convolve filter with image  
i.e., 'slide' over it and:

- Apply filter at each location
- Compute dot product

Images have depth: e.g., RGB → 3 channels

# Convolutions on Volumetric Images

**32×32×3 image ( $X$ )**



**filter (weight tensor  $w$ )**

**1 number at time:**  
equals to dot product between  
filter weights  $w$  and  $x_i$  -  $th$  chunk  
of the image. Here: 5.5.3=75-dim  
+bias

$$z_i = w^T x_i + b$$

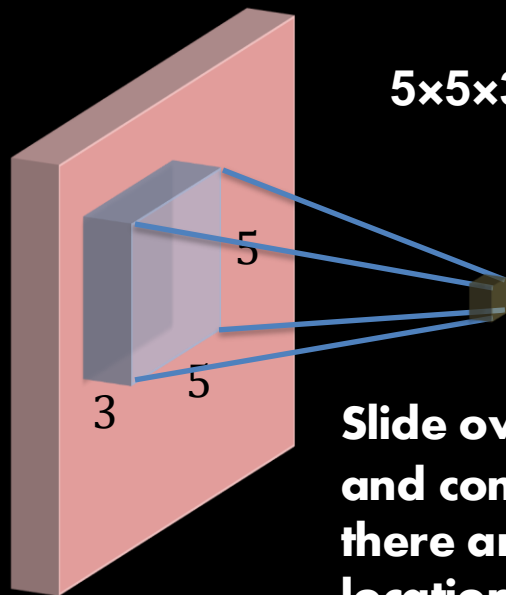
$(5 \times 5 \times 3) \times 1$

$(5 \times 5 \times 3) \times 1$

1

# Convolutions on Volumetric Images

32×32×3 image

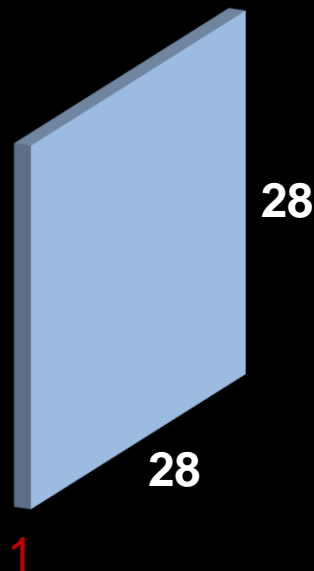


5×5×3 filter

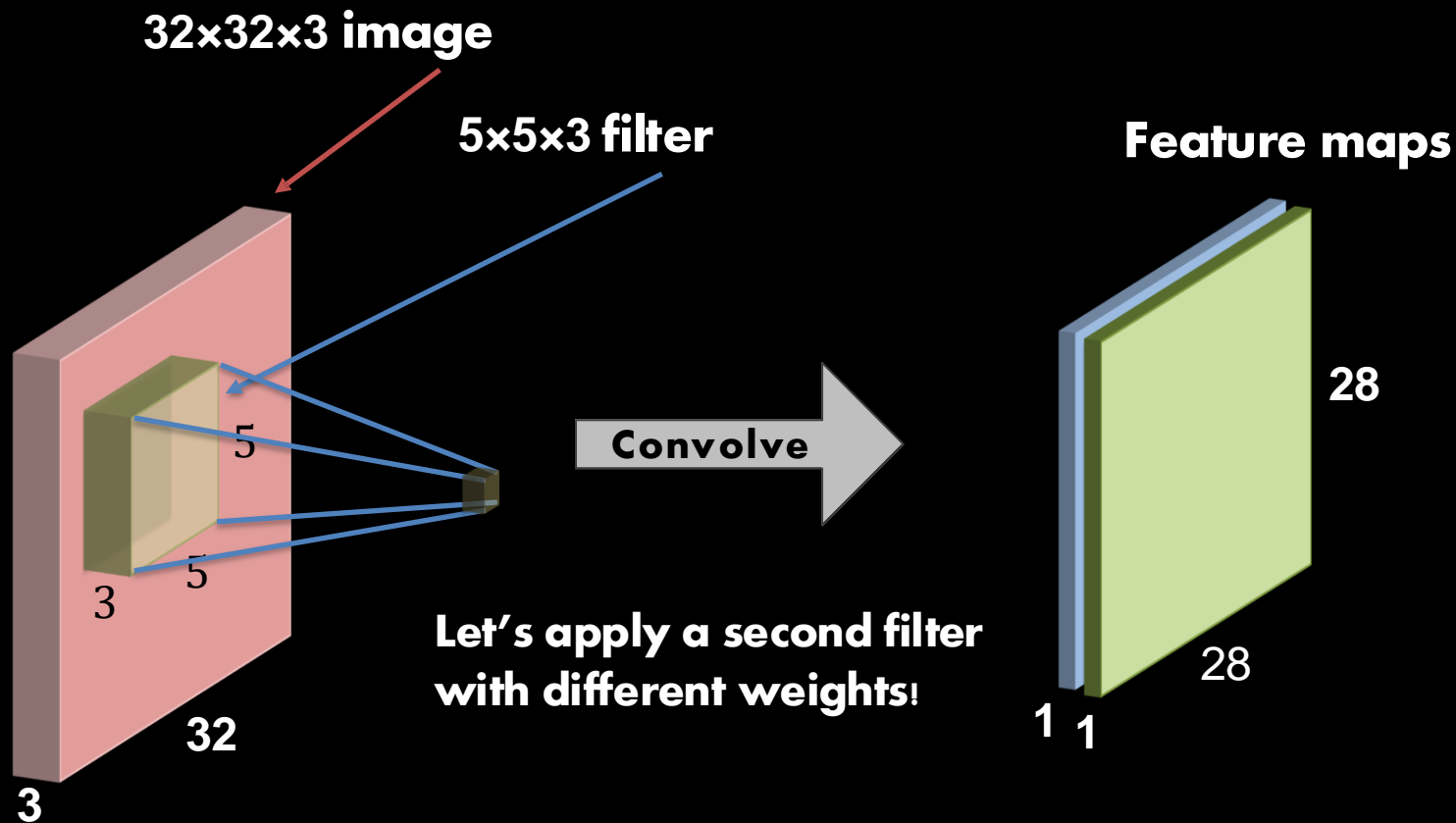
Convolve

Slide over all spatial locations  $x_i$   
and compute all output  $z_i$ ,  
there are 28×28 unique  
locations

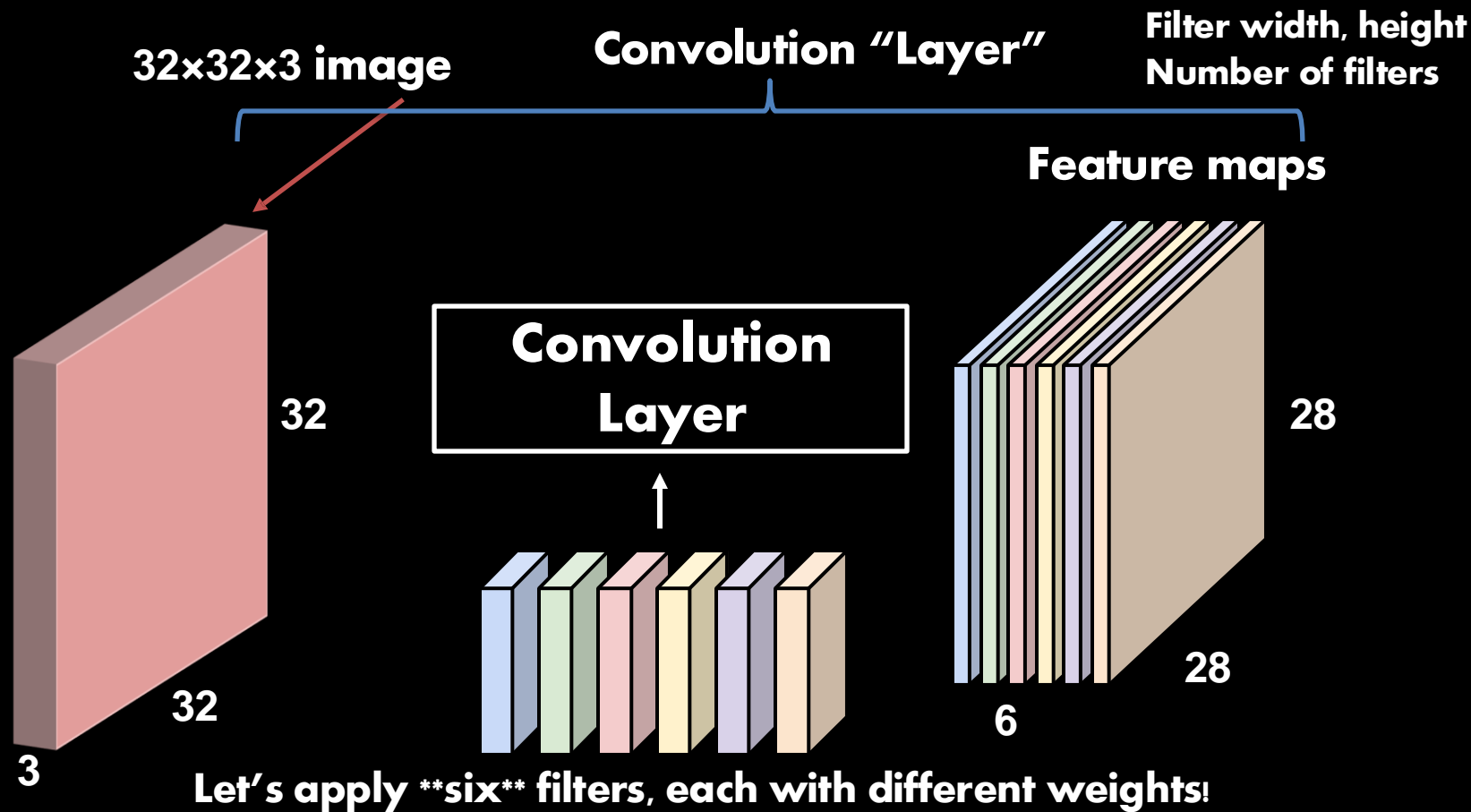
Activation map  
(also feature map)



# Convolutions on Volumetric Images

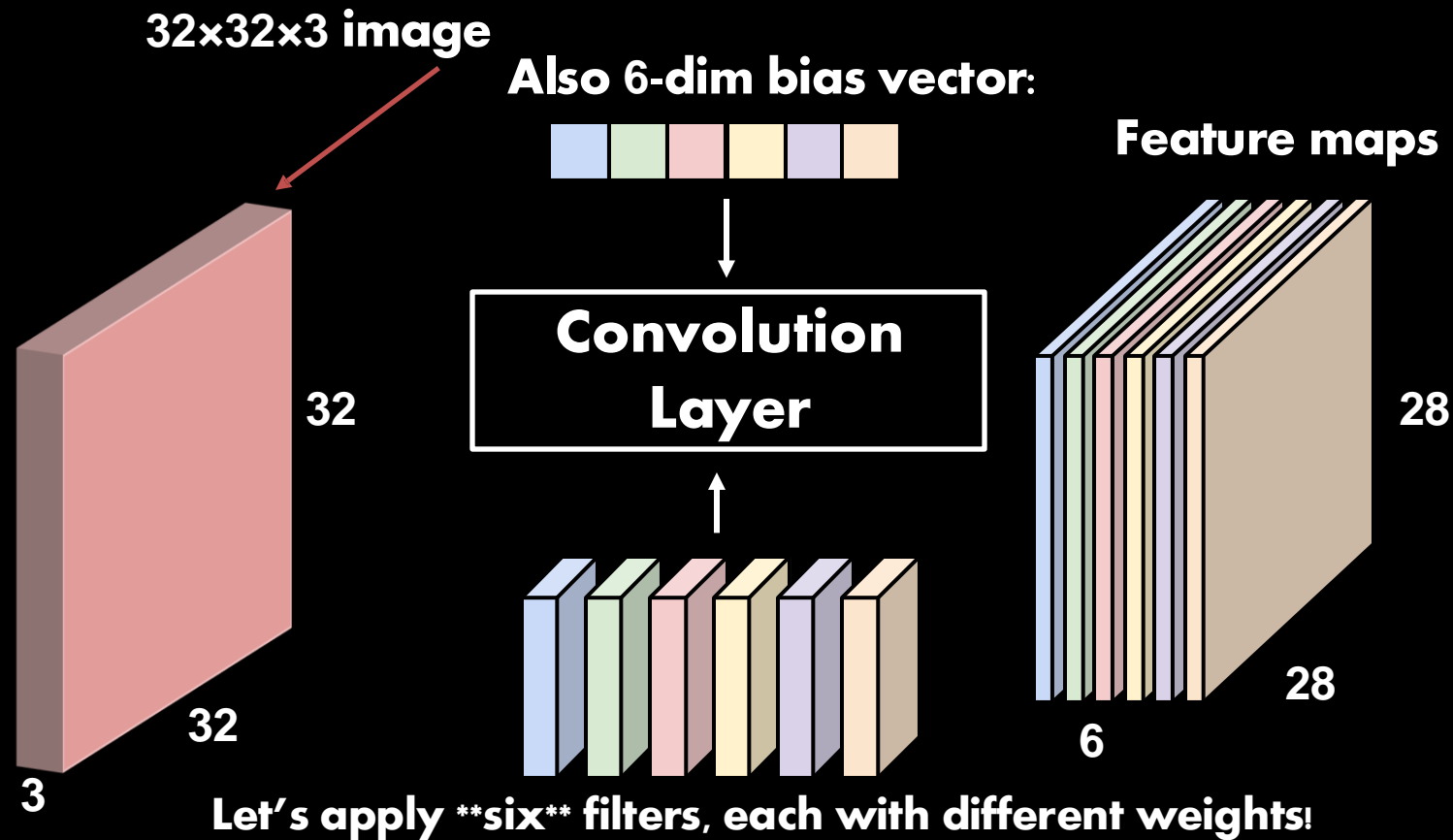


# Convolution Layer





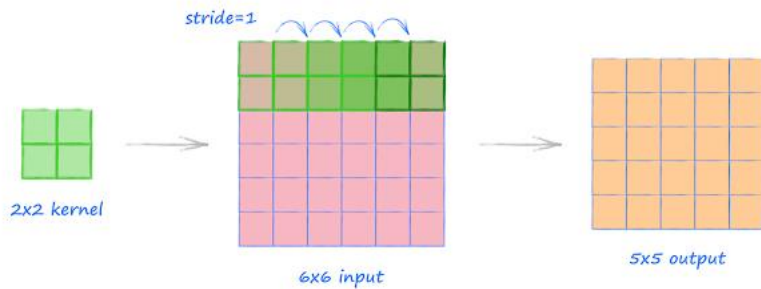
# Convolution Layer



# Stride

**How far to move filter (kernel) between applications**

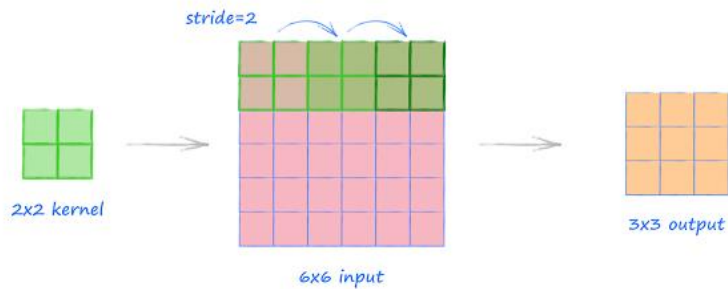
**Increasing stride downsamples the image**



# Stride

**How far to move filter (kernel) between applications**

**Increasing stride downsamples the image**



**Input:**

$$N \times N$$

**Filter:**

$$F \times F$$

**Stride:**

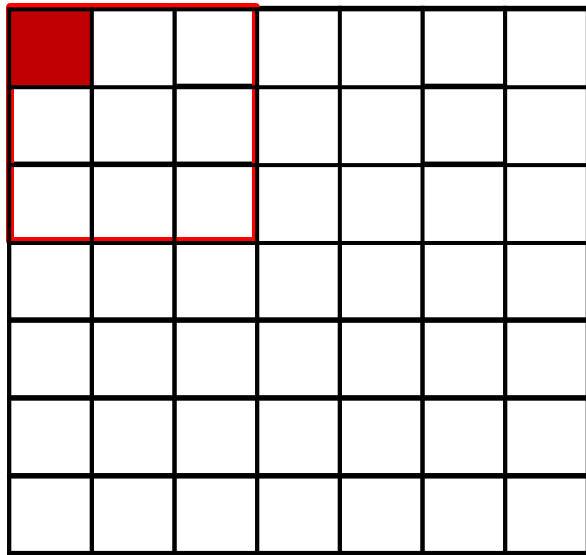
$$S$$

**Output:**

$$\left( \frac{N - F}{S} + 1 \right) \left( \frac{N - F}{S} + 1 \right)$$

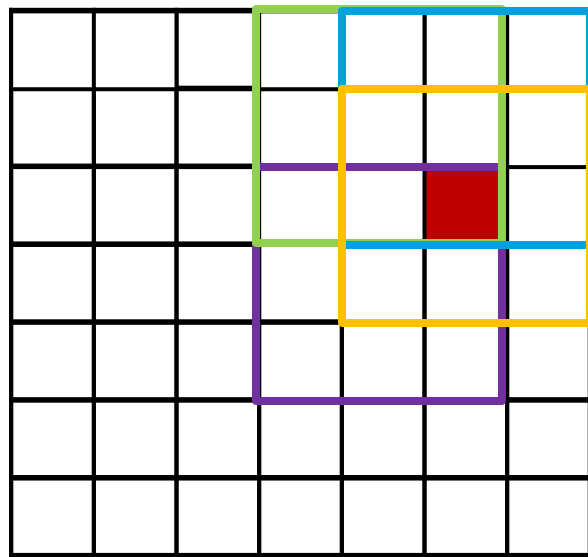
# Padding

**Convolutions have problems on edges**



# Padding

**Convolutions have problems on edges**





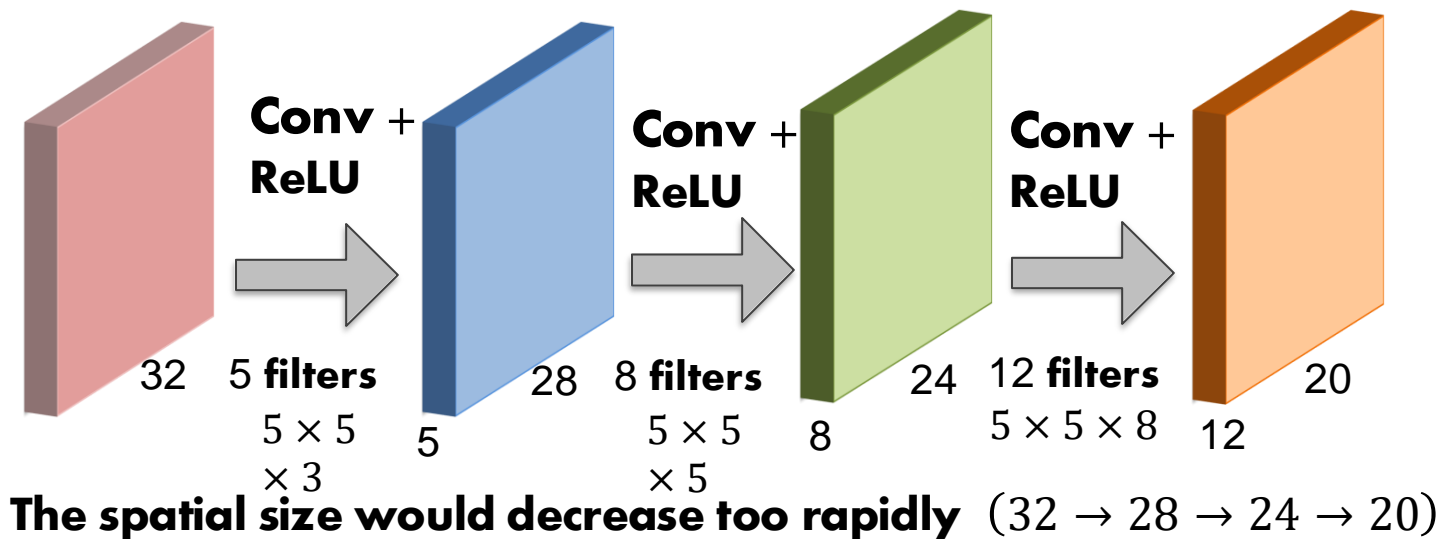


# Padding

**Convolutions have problems on edges**

**Pad: add extra pixels on images**

**Padding maintains feature map dimensions after convolution**



# Pooling Layer

**Processing:** pool values over a region of the feature map

**Output:** a reduced version of the feature map by a factor of the stride

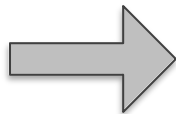
**Pooling types:** Max, Average

**Most common:**  $2 \times 2$  maxpooling, stride of 2

Input feature map (single slice)

7	3	5	2
8	7	1	6
4	9	3	9
0	8	4	5

$2 \times 2$  maxpooling  
and stride of 2

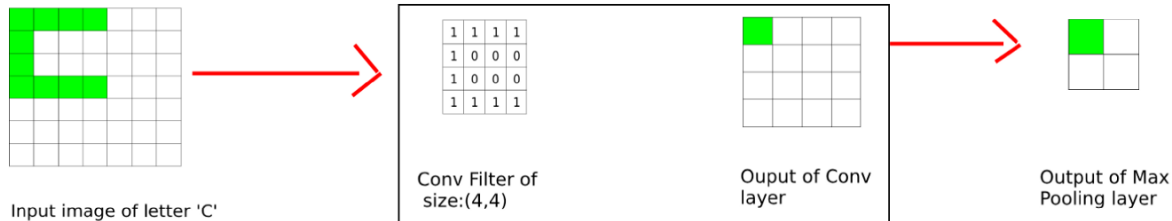


'Pooled' output

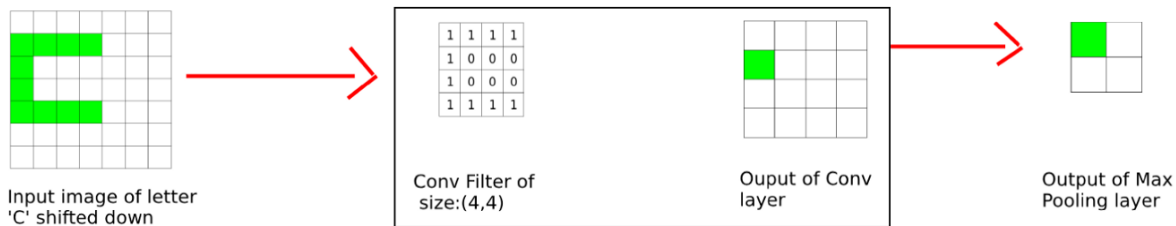
8	6
9	9

# Pooling Layer

**Introduces (small) translation invariance**



Convolutional Layer

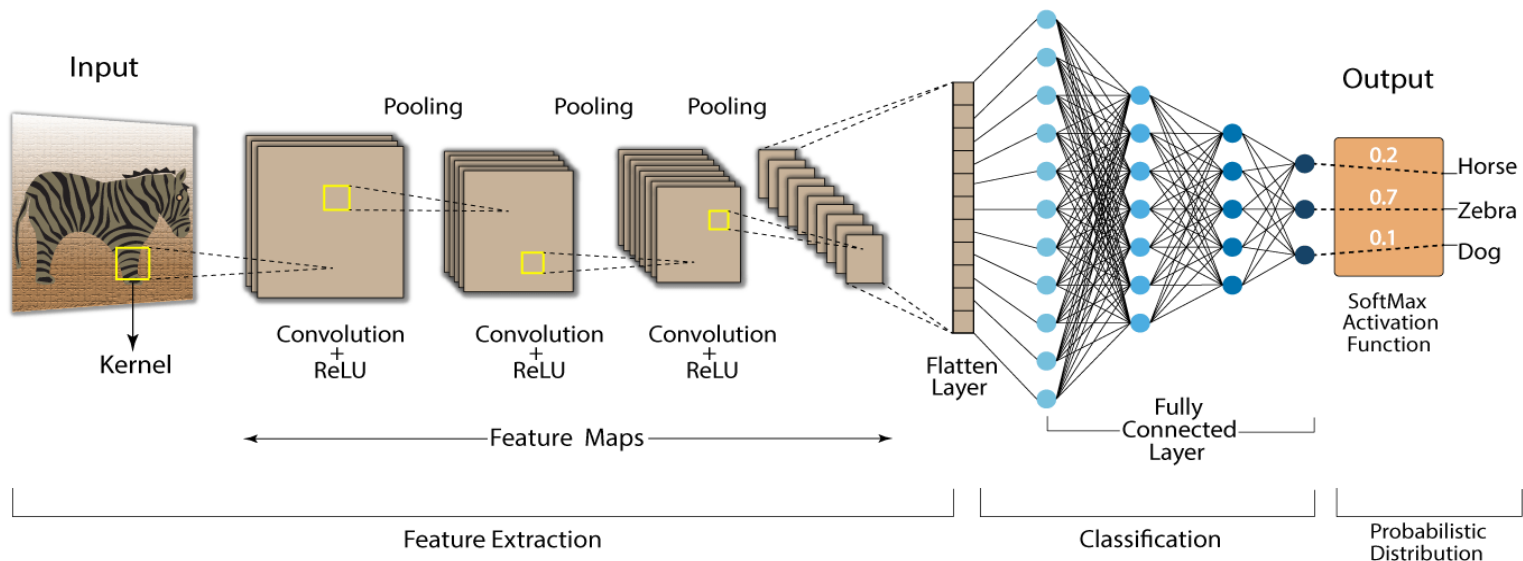


Convolutional Layer

# CNN Prototype for Image Classification

**Feature Extractor : Convolution+ ReLU activations+ Pooling (repeated)**  
**Classification Head : Flattening→ FC Layers→Output Classification**

Convolution Neural Network (CNN)

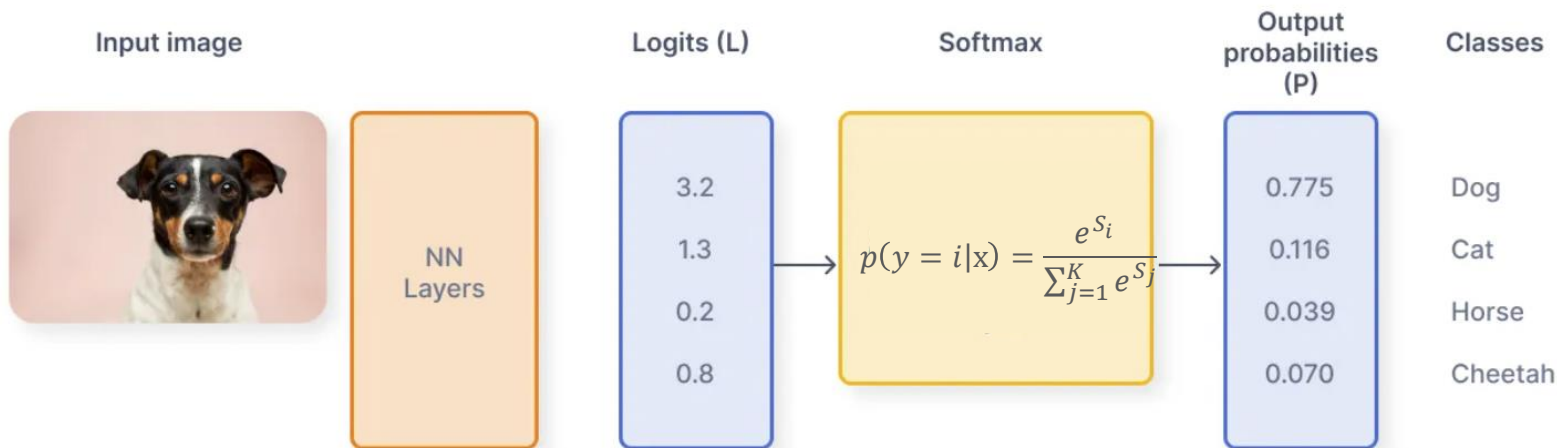


# Softmax: Multi-Class Classification

**Softmax: the normalized exponential function of all scores (logits)**

- **$x$  represents the input features (final layer)**
- **$S_i$  is unnormalized score of class  $i$  (final layer)**

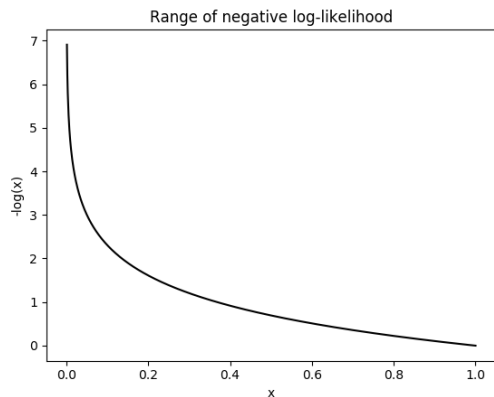
$$p(y = i|x) = \frac{e^{S_i}}{\sum_{j=1}^K e^{S_j}}$$



# Cross-Entropy Loss for Multi-Class Classification

- $y_i$  is the one-hot encoded label for class  $i$
- $p_i$  is the predicted probability of class  $i$

$$\mathcal{L} = - \sum_{i=1}^K y_i \log(p_i)$$



	cat	dog	horse
cat	0.71	0.26	0.04
horse	0.02	0.00	0.98
dog	0.49	0.49	0.02

The correct class is highlighted in red

$-\log(a)$  at the correct classes

0.34
0.02
0.71

Total: **1.07**

# Cross-Entropy Loss for Multi-Class Classification

- $y_i$  is the one-hot encoded label for class  $i$
- $p_i$  is the predicted probability of class  $i$

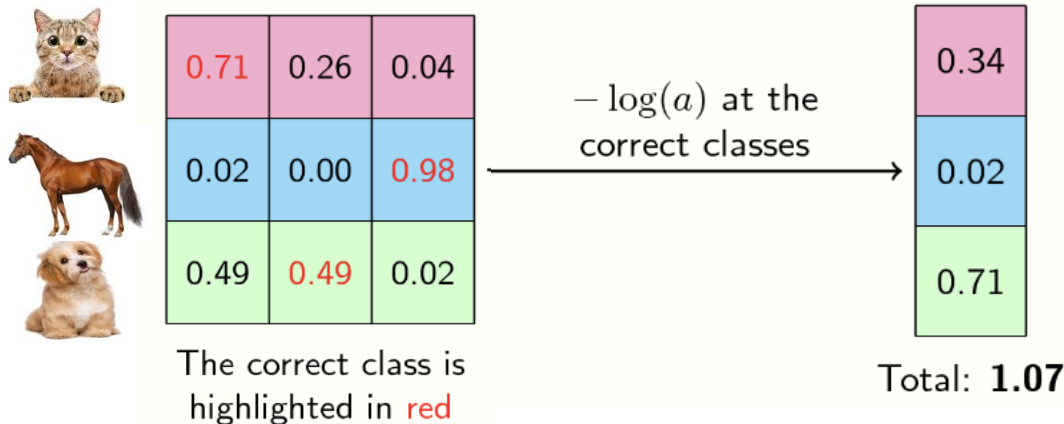
$$\mathcal{L} = - \sum_{i=1}^K y_i \log(p_i)$$

The gradient of loss w.r.t. logit

$$\frac{\partial \mathcal{L}}{\partial s_i} = p_i - y_i$$

For the correct class  $j$  ( $y_j = 1$ )

$$\frac{\partial \mathcal{L}}{\partial s_j} = p_j - 1$$

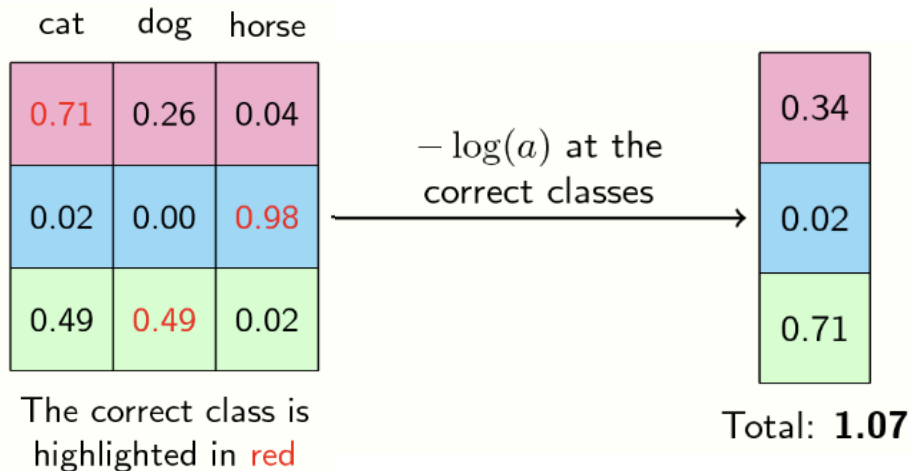




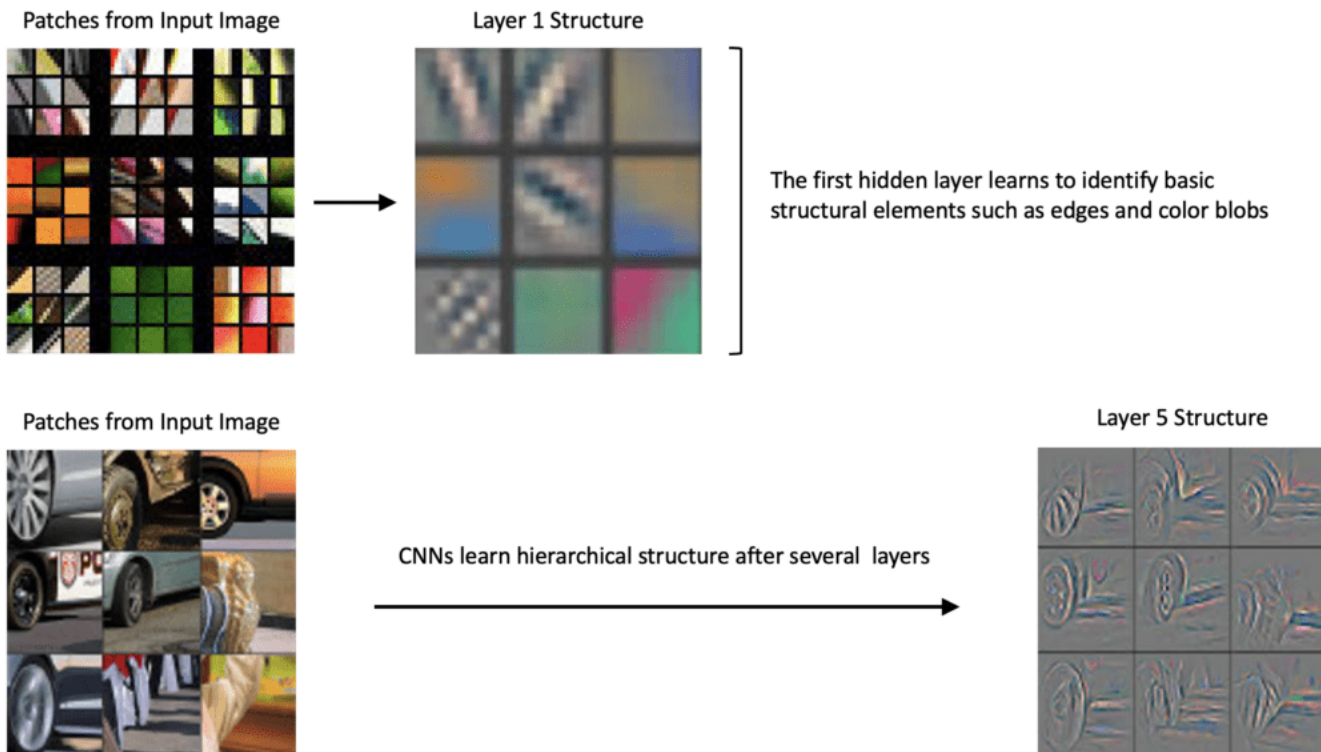
# Cross-Entropy Loss for Multi-Class Classification

- $y_{n,i}$  is the actual label for the  $n$ -th sample for class  $i$
- $p_{n,i}$  is the predicted probability for the  $n$ -th sample of class  $i$
- $N$  is the number of samples in a batch

$$\mathcal{L}_{total} = -\frac{1}{N} \sum_{n=1}^N \sum_{i=1}^K y_{n,i} \log(p_{n,i})$$



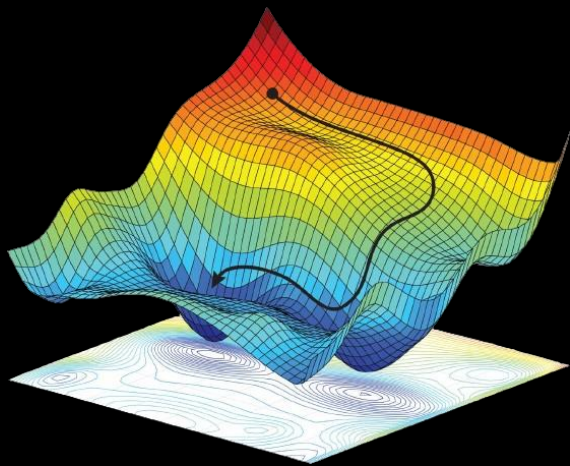
# CNN Learns Hierarchical Features



2014

Visualizing and Understanding Convolutional Networks

# Optimizing Neural Networks




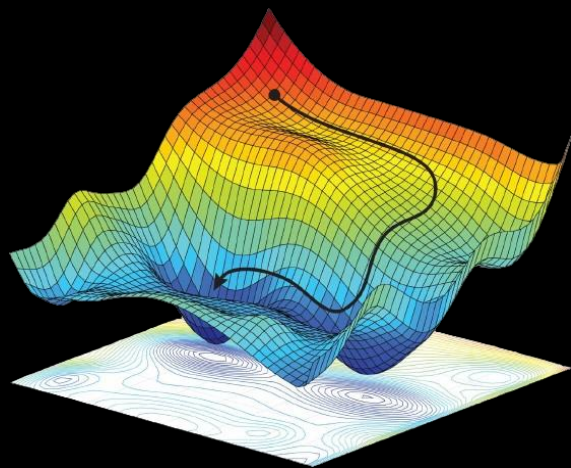
# Loss Optimization

**Finding network's parameters (weights) that achieve the lowest loss**

$$W^* = \arg \min_W \frac{1}{n} \sum_{i=1}^n \mathcal{L}(f(x^{(i)}; W), y^{(i)})$$

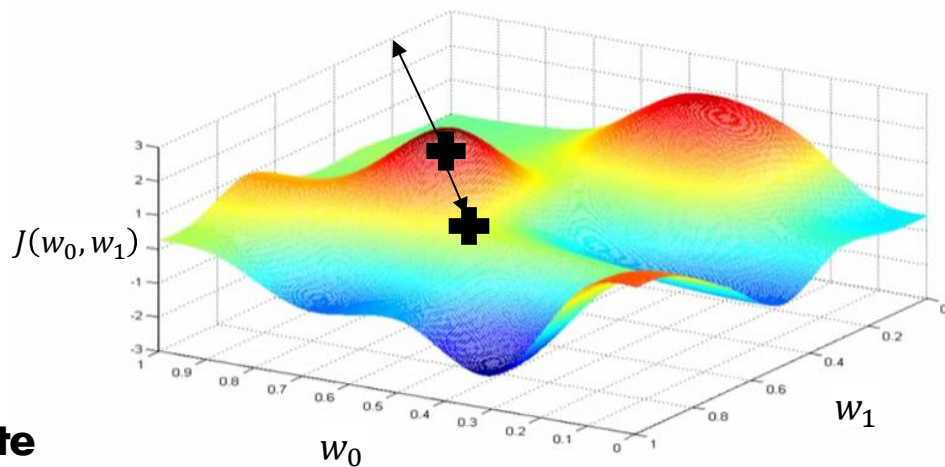
$$W^* = \arg \min_W J(W)$$

$$W = \{W^{(0)}, W^{(1)}, \dots\}$$




# Loss Optimization

- **Randomly pick a point  $(w_0, w_1)$**
- **Compute gradient,  $\frac{\partial J(w)}{\partial w}$**
- **Take a small step in the opposite direction of the gradient**
- **Repeat this process until convergence**



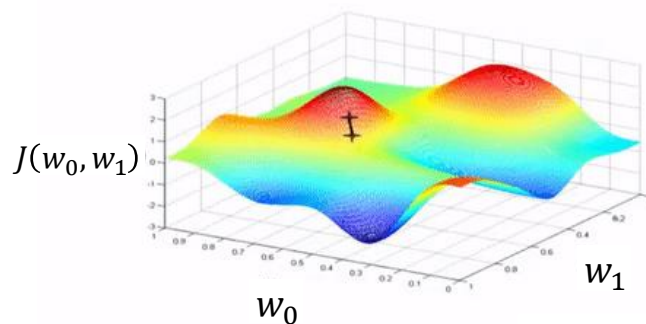
# Mini-Batch Gradient Descent

- **Algorithm:**

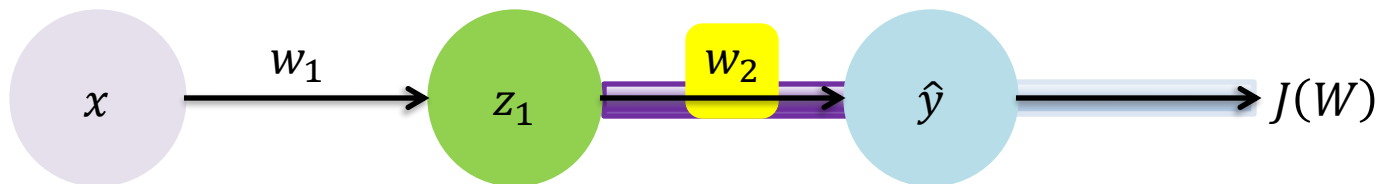
Use a suitable method (e.g., Xavier or He initialization) to ensure stable variance of activations and gradients.

- **Initialize weights randomly**  $\sim \mathcal{N}(0, \sigma^2)$
- **Loop until convergence:**
- **Pick a mini-batch of  $B$  data samples**
- **Compute gradient,**  $\frac{\partial J(W)}{\partial W} = \frac{1}{B} \sum_{k=1}^B \frac{\partial J_k(W)}{\partial W}$
- **Update weights,**  $W \leftarrow W - \alpha \frac{\partial J(W)}{\partial W}$
- **Return weights**

Better estimation of true gradient and fast to compute, smoother convergence

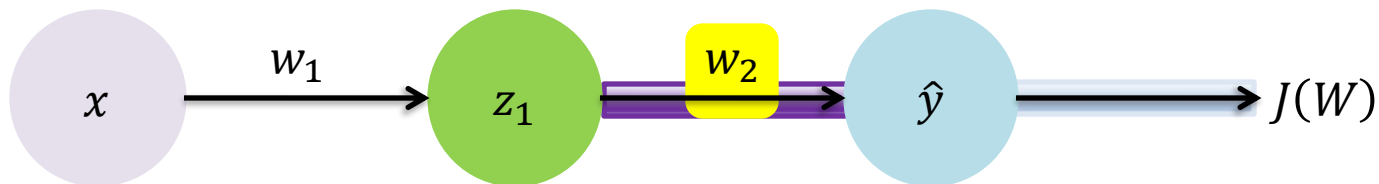


# Backpropagation: Chain Rule in Action



$$\frac{\partial J(W)}{\partial w_2} =$$

# Backpropagation: Chain Rule in Action

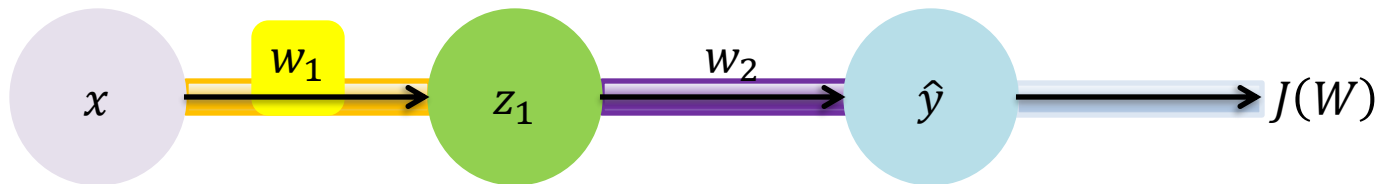


$$\frac{\partial J(W)}{\partial w_2} = \frac{\partial J(W)}{\hat{y}} * \frac{\partial \hat{y}}{\partial w_2}$$

Let's apply chain rule !



# Backpropagation: Chain Rule in Action

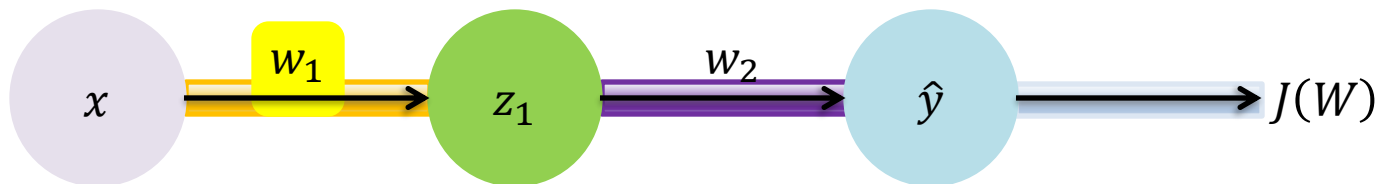


$$\frac{\partial J(W)}{\partial w_1} = \frac{\partial J(W)}{\partial \hat{y}} * \frac{\partial \hat{y}}{\partial w_1}$$

Apply chain rule



# Backpropagation: Chain Rule in Action



$$\frac{\partial J(W)}{\partial w_1} = \underbrace{\frac{\partial J(W)}{\partial \hat{y}}}_{\text{blue}} * \underbrace{\frac{\partial \hat{y}}{\partial z_1}}_{\text{purple}} * \underbrace{\frac{\partial z_1}{\partial w_1}}_{\text{yellow}}$$

# Gradient Dynamics in Deep Network Training

$$\frac{\partial J}{\partial w_1} = \frac{\partial J}{\partial h_n} * \frac{\partial h_n}{\partial h_{n-1}} * \frac{\partial h_{n-1}}{\partial h_{n-2}} * \dots * \frac{\partial h_2}{\partial h_1} * \frac{\partial h_1}{\partial w_1}$$

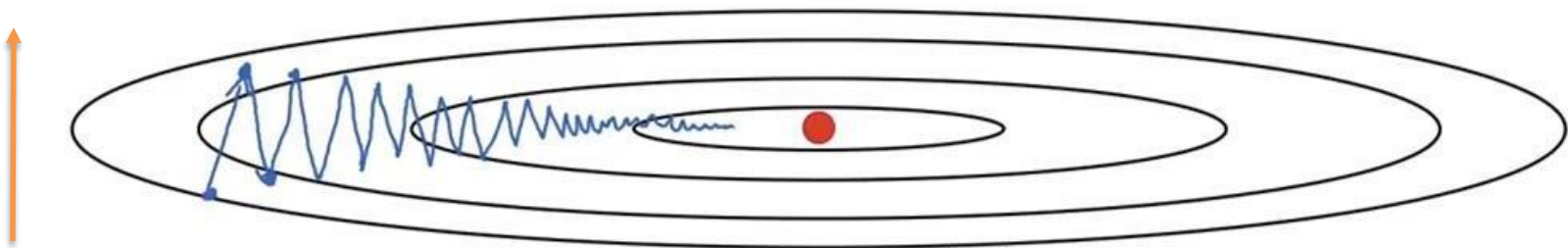
**In most cases, there are two possible solutions:**

- **We get zero if**  $\left\| \frac{\partial h_i}{\partial h_{i-1}} \right\| < 1 \rightarrow \prod_{i=2}^n \frac{\partial h_i}{\partial h_{i-1}} \dots \text{Vanish!}$
- **We get infinity if**  $\left\| \frac{\partial h_i}{\partial h_{i-1}} \right\| > 1 \rightarrow \prod_{i=2}^n \frac{\partial h_i}{\partial h_{i-1}} \dots \text{Explode!}$
- **We only get a reasonable answer if the numbers are all close to one**

# Limitations of Gradient Descent + Alternatives

## Challenges with Vanilla Gradient Descent:

- **Oscillations due to anisotropic curvature of the loss surface**
- **Slow convergence**



Source: A Ng

We take multiple back and forth steps in this direction.

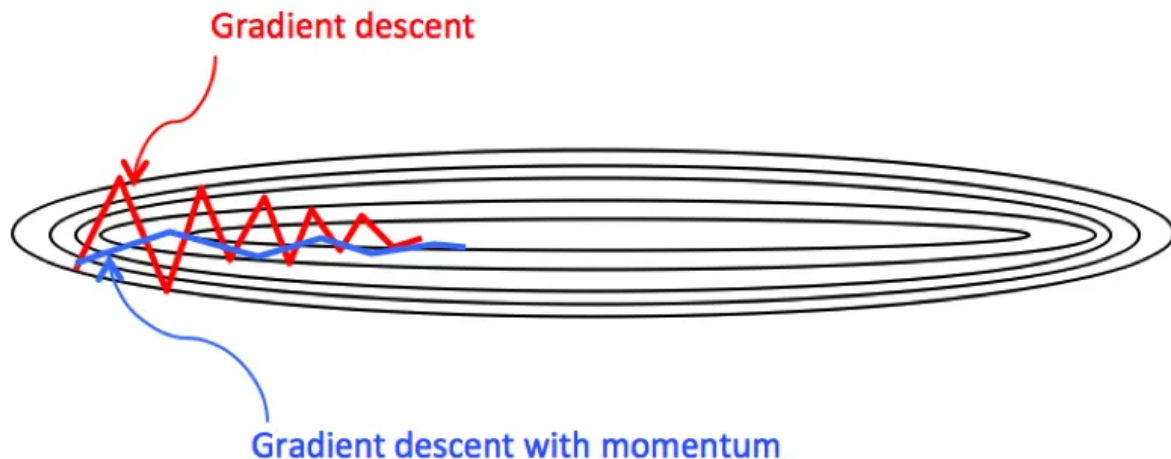
We'd ideally like to move faster in this direction

# Limitations of Gradient Descent + Alternatives

## Gradient Descent with **Momentum**:

- Smoother updates, dampens oscillations
- Speeds up convergence

$$v_t = \gamma v_{t-1} + \alpha \nabla J(W_t)$$
$$W_{t+1} = W_t - v_t$$



# Limitations of Gradient Descent + Alternatives

## Adam (Adaptive Moment Estimation) Optimizer:

- Combines momentum (first moment of gradients) with adaptive learning rates based on the second moment (squared gradients)
- Popular in deep learning due to its robustness

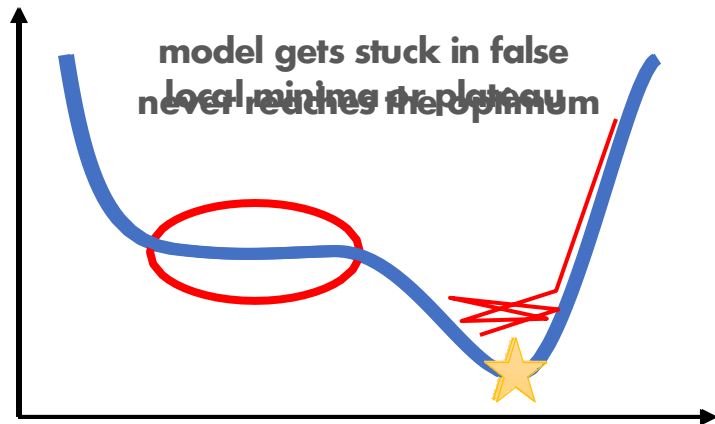
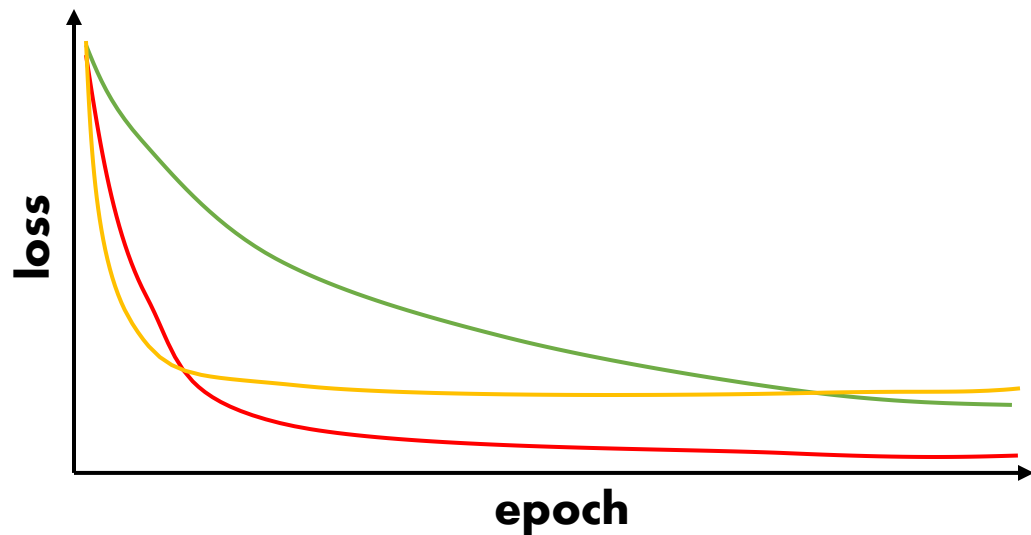
$$m_t = \beta_1 m_{t-1} + (1 - \beta_1) \nabla J(W_t) \quad (\text{First moment: gradient mean})$$

$$v_t = \beta_2 v_{t-1} + (1 - \beta_2) (\nabla J(W_t))^2 \quad (\text{Second moment: gradient variance})$$

$$\hat{m}_t = \frac{m_t}{1 - \beta_1^t}, \quad \hat{v}_t = \frac{v_t}{1 - \beta_2^t} \quad (\text{Bias-corrected})$$

$$W_{t+1} = W_t - \alpha \cdot \frac{\hat{m}_t}{\sqrt{\hat{v}_t} + \epsilon} \quad \beta_1 \approx 0.9, \beta_2 \approx 0.999, \epsilon \approx 10^{-8}.$$

# Learning Rate Tuning

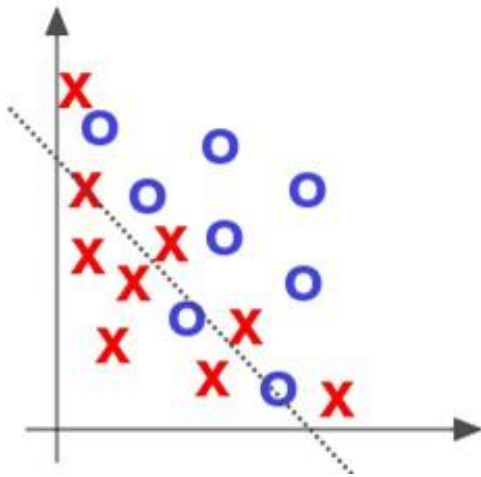


High learning rate  
Low learning rate  
Good learning rate

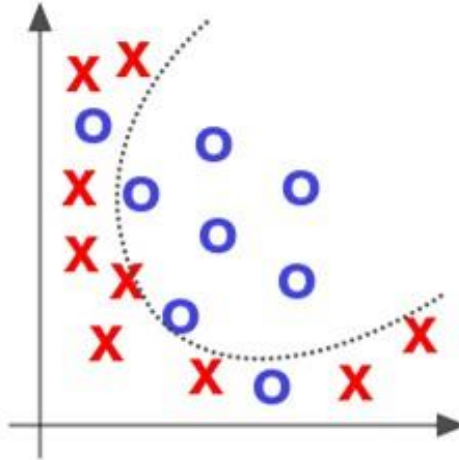
# **Regularization & Data Augmentation**



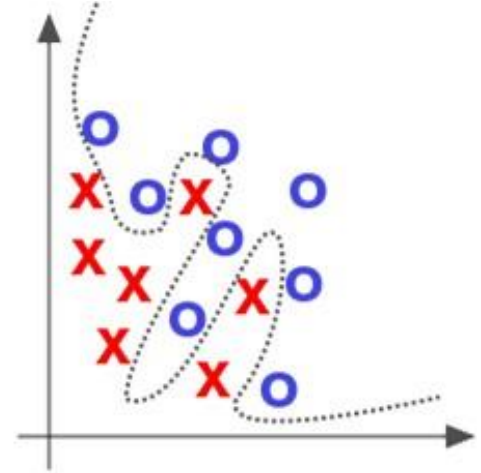
# Over-and Underfitting



**Underfitted**



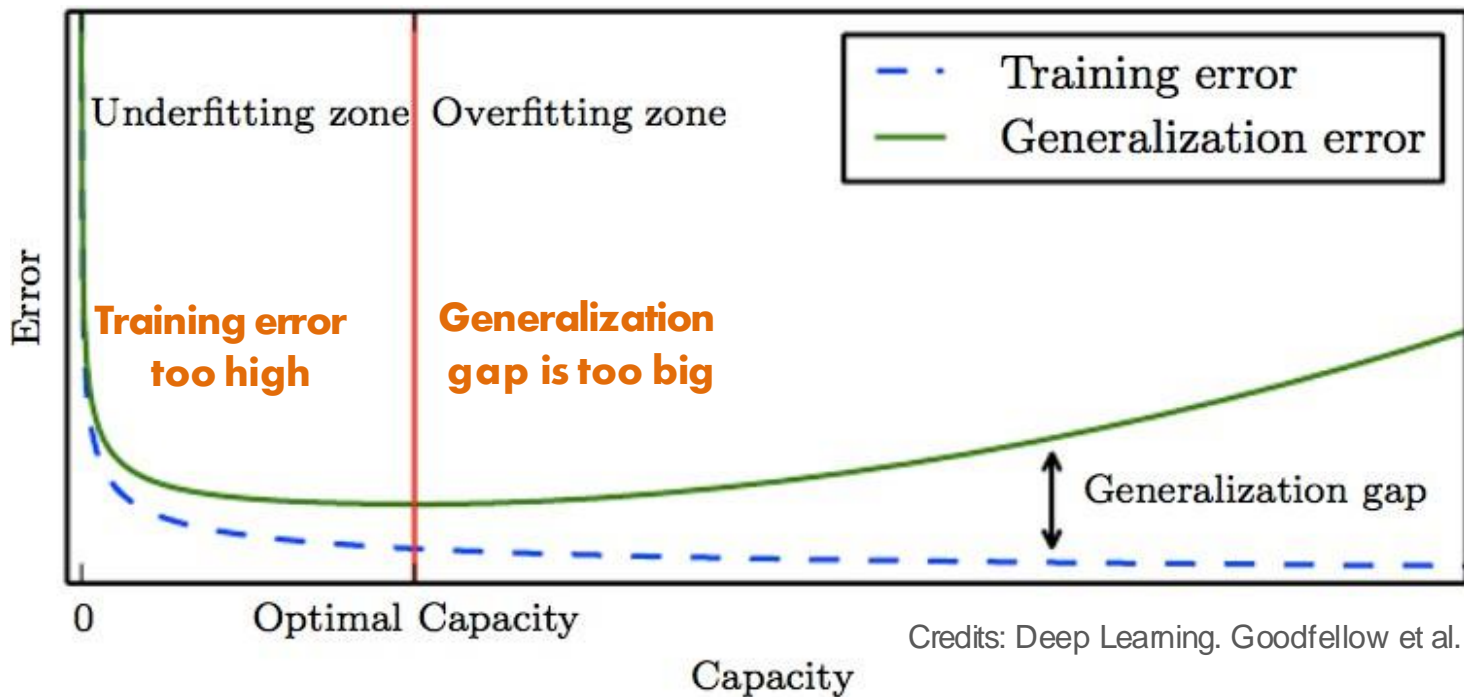
**Appropriate**



**Overfitted**

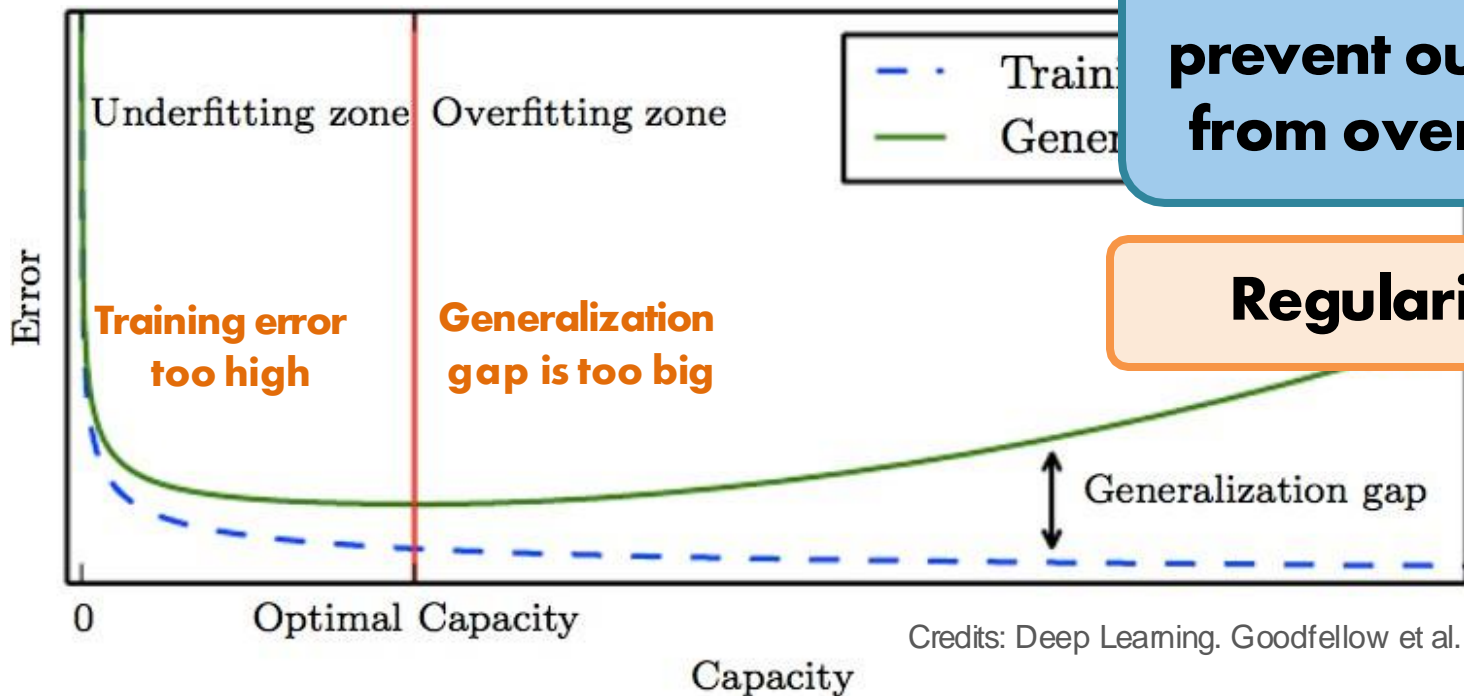
# Over-and Underfitting

## Training/ Validation curve



# Over-and Underfitting

## Training/ Validation curve



How can we prevent our model from overfitting?

Regularization

Credits: Deep Learning. Goodfellow et al.

# Regularization

- **Loss function**  $\mathcal{L}(y, \hat{y}, w) = \sum_{i=1}^n (\hat{y}_i - y_i)^2 + \lambda R(w)$

- **Regularization techniques**

- **L2 regularization**

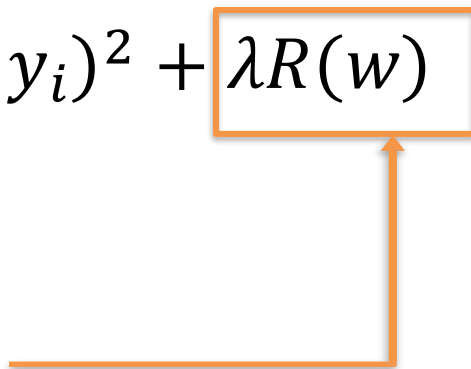
- **L1 regularization**

- **Dropout**



- **Early stopping**

- ...

**Add regularization  
term to loss function**



# Regularization Example

- **Input : 3 features**  $x = [1, 2, 1]$
- **Two linear classifiers that give the same result:**
- $w_1 = [0, 0.9, 0]$   **Ignores 2 features**
- $w_2 = [0.15, 0.75, 0.15]$   **Use all features**

## Regularization Example (L<sub>2</sub>)

- **Loss function**  $\mathcal{L}(y, \hat{y}, w) = \sum_{i=1}^n (x_i w_{ji} - y_i)^2 + \lambda R(w)$
- **L<sub>2</sub> regularization**  $R(w) = \|w\|_2^2 = \sum_{i=1}^n w_i^2$

$$R(w_1) = 0 + 0.9^2 + 0 = 0.81$$

$$R(w_2) = 0.15^2 + 0.75^2 + 0.15^2 = 0.6075$$

**Minimization  
Promotes weight  
uniformity**

$$x = [1, 2, 1], w_1 = [0, 0.9, 0], w_2 = [0.15, 0.75, 0.15]$$

## Regularization Example (L1)

- **Loss function**  $\mathcal{L}(y, \hat{y}, w) = \sum_{i=1}^n (x_i w_{ji} - y_i)^2 + \lambda R(w)$
- **L1 regularization**  $R(w) = \|w\|_1 = \sum_{i=1}^n |w_i|$

$$R(w_1) = 0 + 0.9 + 0 = 0.9$$

Minimization  
enforces sparsity

$$R(w_2) = 0.15 + 0.75 + 0.15 = 1.05$$

$$x = [1, 2, 1], w_1 = [0, 0.9, 0], w_2 = [0.15, 0.75, 0.15]$$

# Regularization: Effect ( $L_1$ )

- Dog classifier takes different inputs

Furry

Has two eyes

Has a tail

Has paws

Has two ears

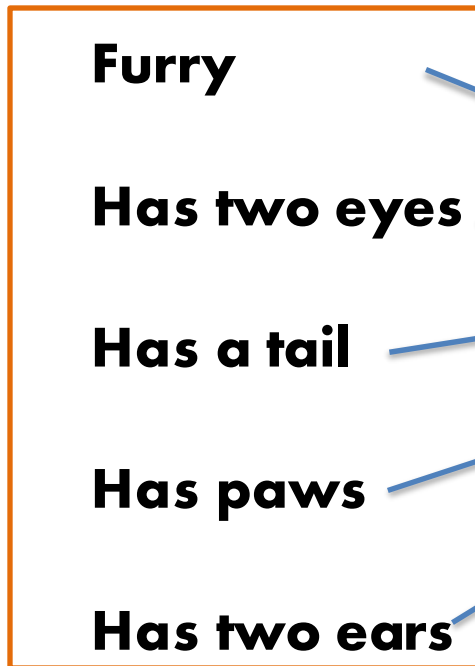


**$L_1$  regularization encourages the model to rely on only a few key features**



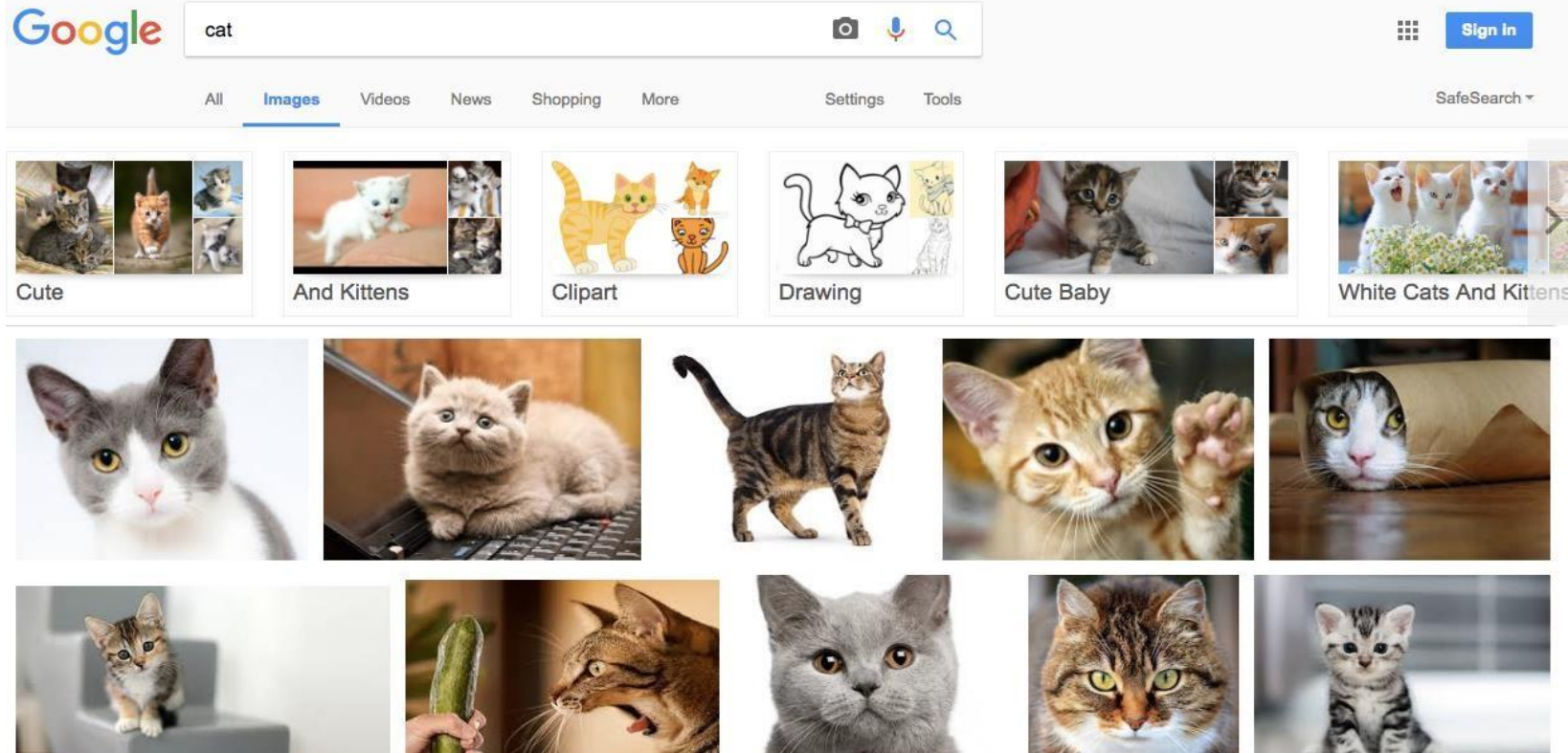
## Regularization: Effect (L<sub>2</sub>)

- **Dog classifier takes different inputs**



**L<sub>2</sub> regularization  
leverages all  
information to  
influence model  
learning**

# Data Augmentation: Motivation



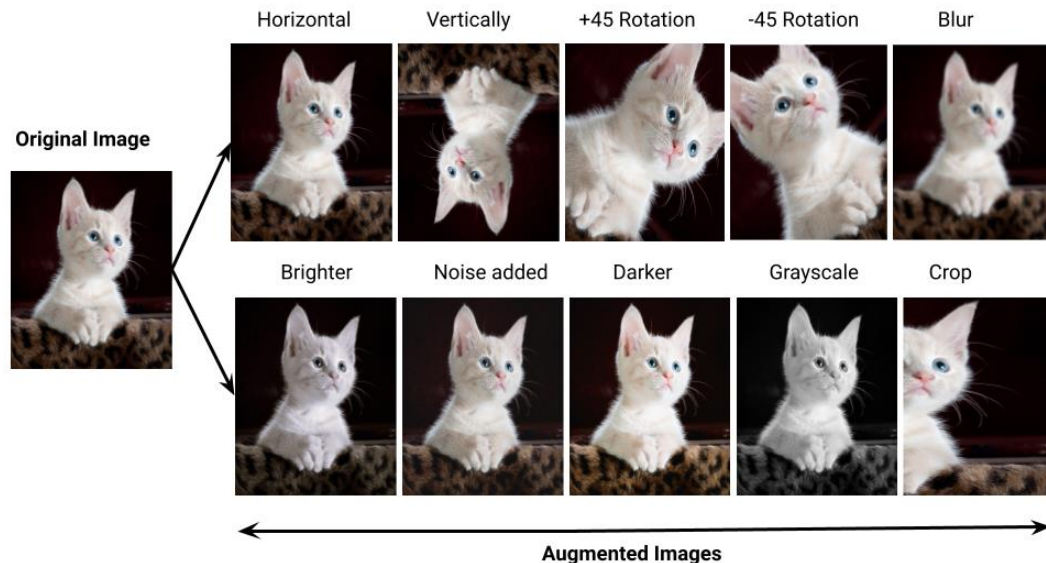
**Pose**

**Appearance**

**Illumination**

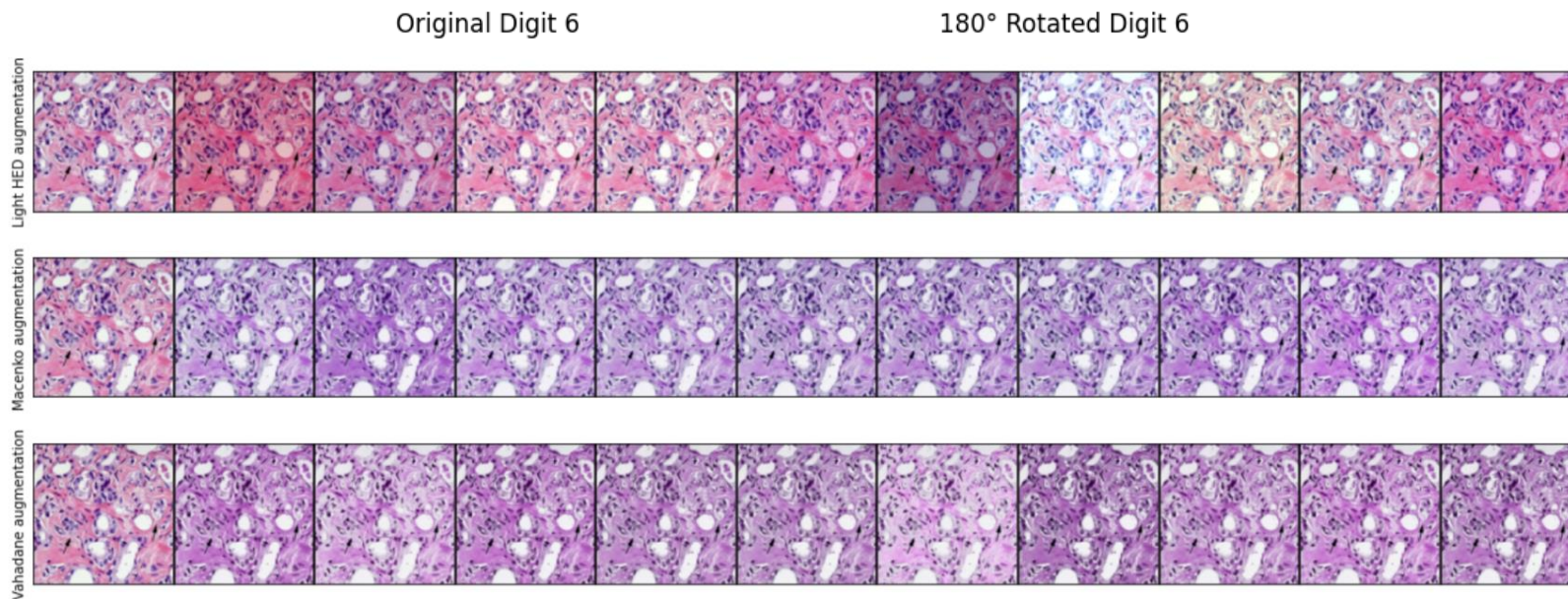
# Data Augmentation

- A classifier has to be invariant to a wide variety of transformations
  - Augmentation: simulating plausible transformations
- 📁 Libraries: [torchvision.transforms](#), [Kornia](#), [Albumentations](#)

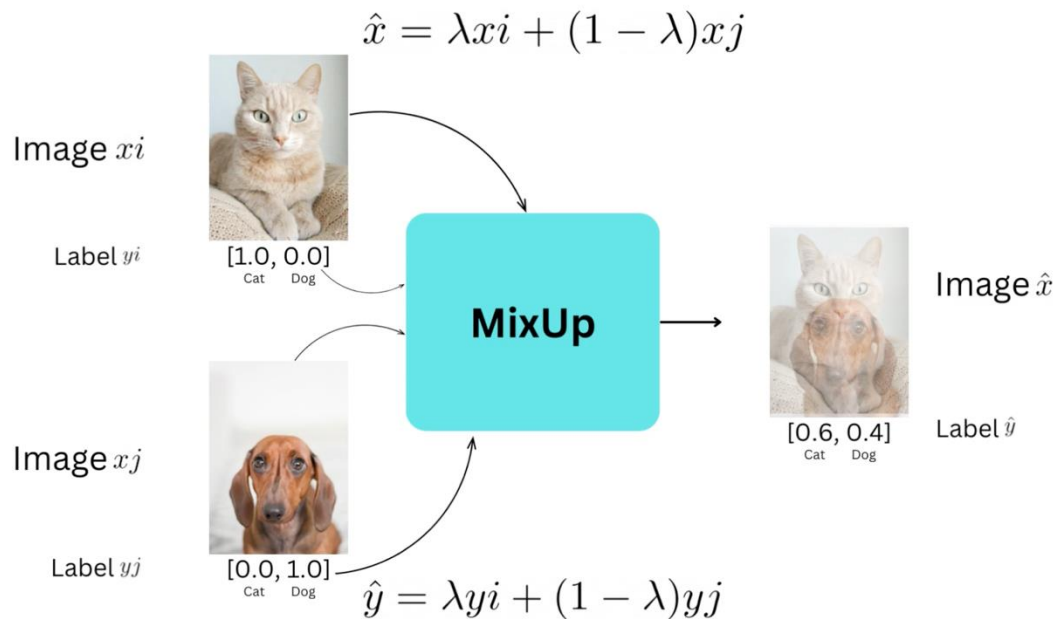


# Valid & Plausible Transformations for Data Augmentation

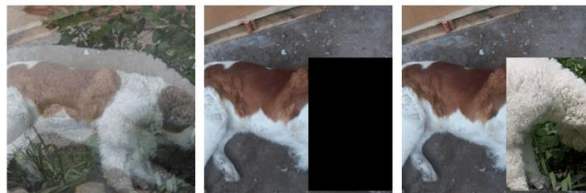
- **Any operation that does not alter the original label**



# Data Augmentation: Advanced (Mixup & Variants)



Original samples



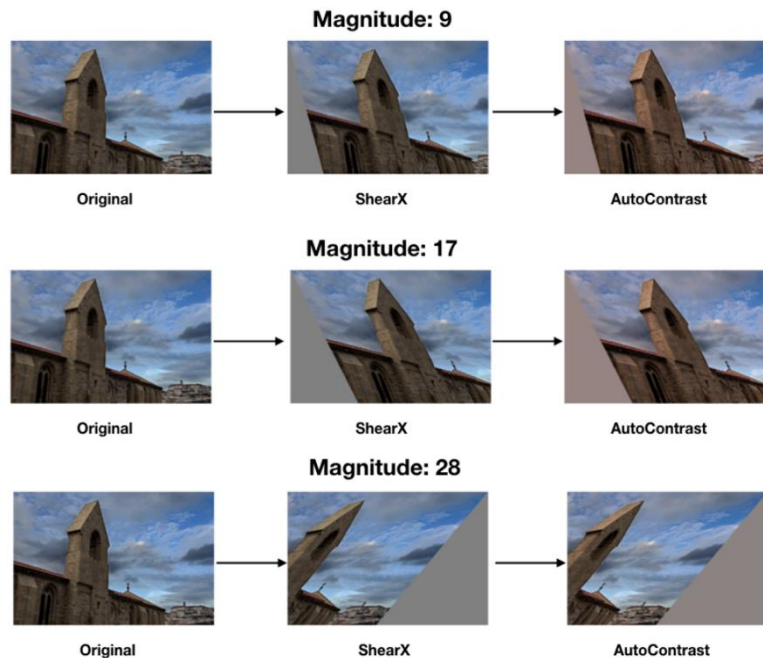
Mixup

Cutout

Cutmix



# Data Augmentation: Advanced (RandAugment)



```
transforms = [  
    'Identity', 'AutoContrast', 'Equalize',  
    'Rotate', 'Solarize', 'Color', 'Posterize',  
    'Contrast', 'Brightness', 'Sharpness',  
    'ShearX', 'ShearY', 'TranslateX', 'TranslateY']
```

```
def randaugment(N, M):
```

```
    """Generate a set of distortions.
```

```
    Args:
```

```
        N: Number of augmentation transformations to  
            apply sequentially.
```

```
        M: Magnitude for all the transformations.
```

```
    """
```

```
    sampled_ops = np.random.choice(transforms, N)
```

```
    return [(op, M) for op in sampled_ops]
```

Figure 2. Python code for RandAugment based on numpy.

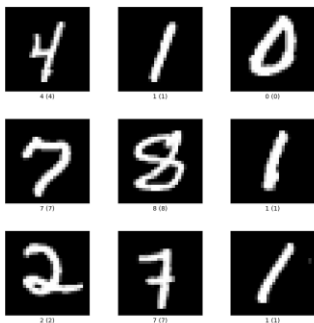
# **Vision Benchmarks, ResNet, BatchNorm & Transfer Learning**

# Key Datasets as Benchmarks for Image Classification

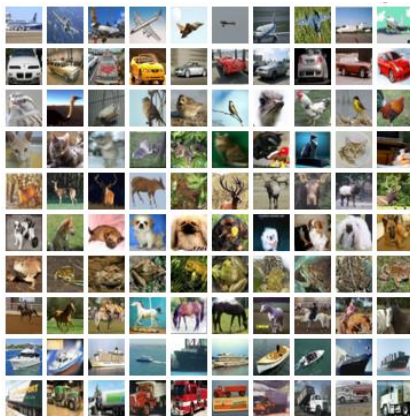
- **Example datasets:**

- **MNIST** (handwritten digits), 1990s-today: 60,000 images
- **CIFAR 10 & CIFAR 100**, 2009: ~60,000 images
- **ILSVRC (ImageNet-1K)**, 2009: 1.2 million training images, 1000 categories

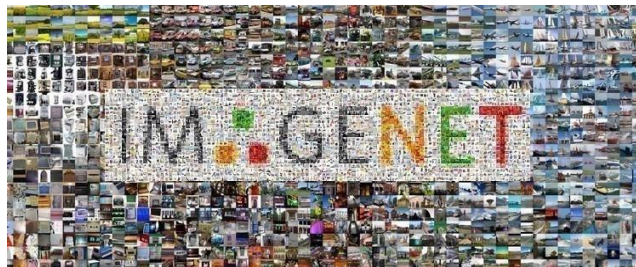
**MNIST**



**CIFAR - 10**



**ImageNet- 1K**



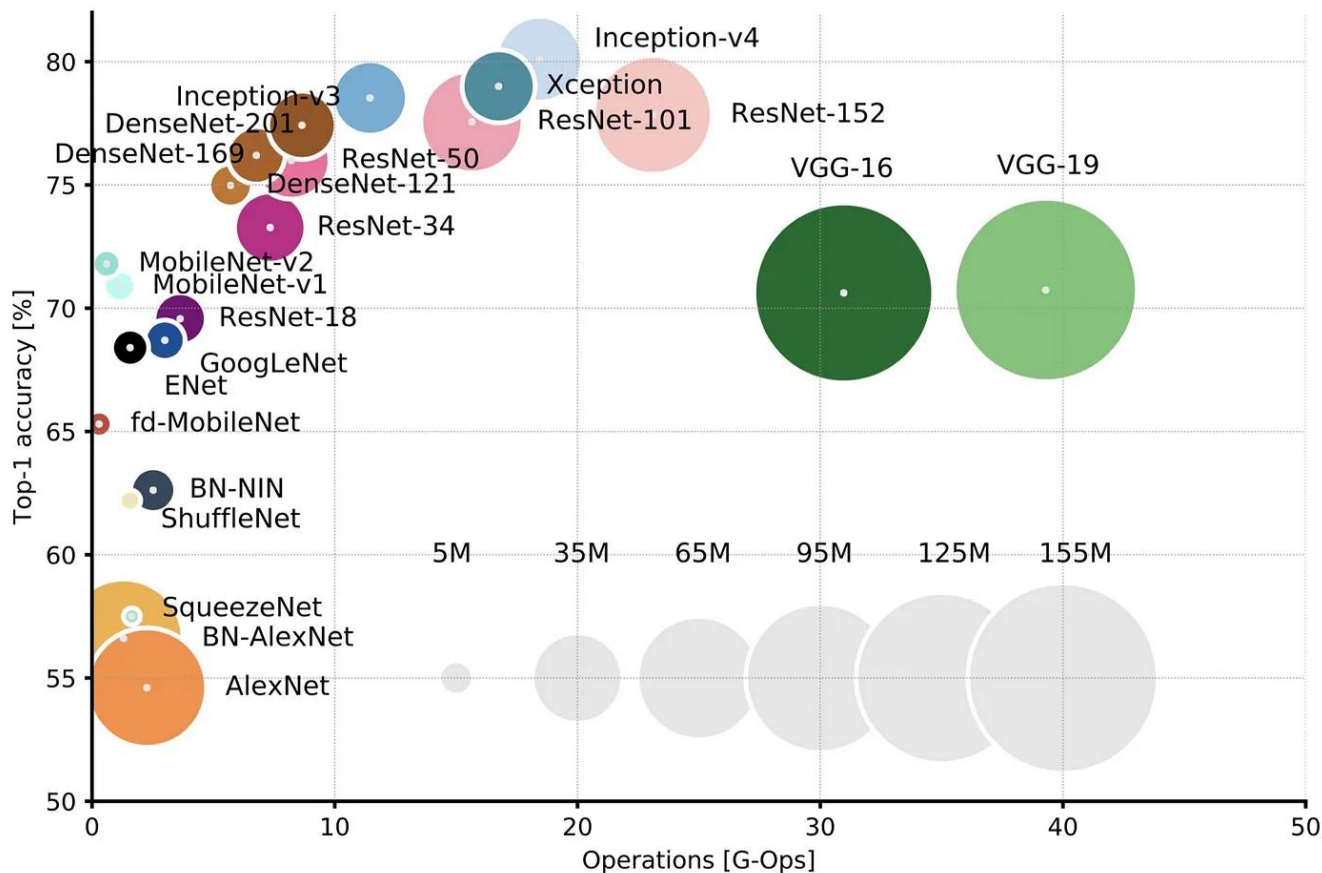
**Google's JFT-300M**

**ImageNet- 21K**

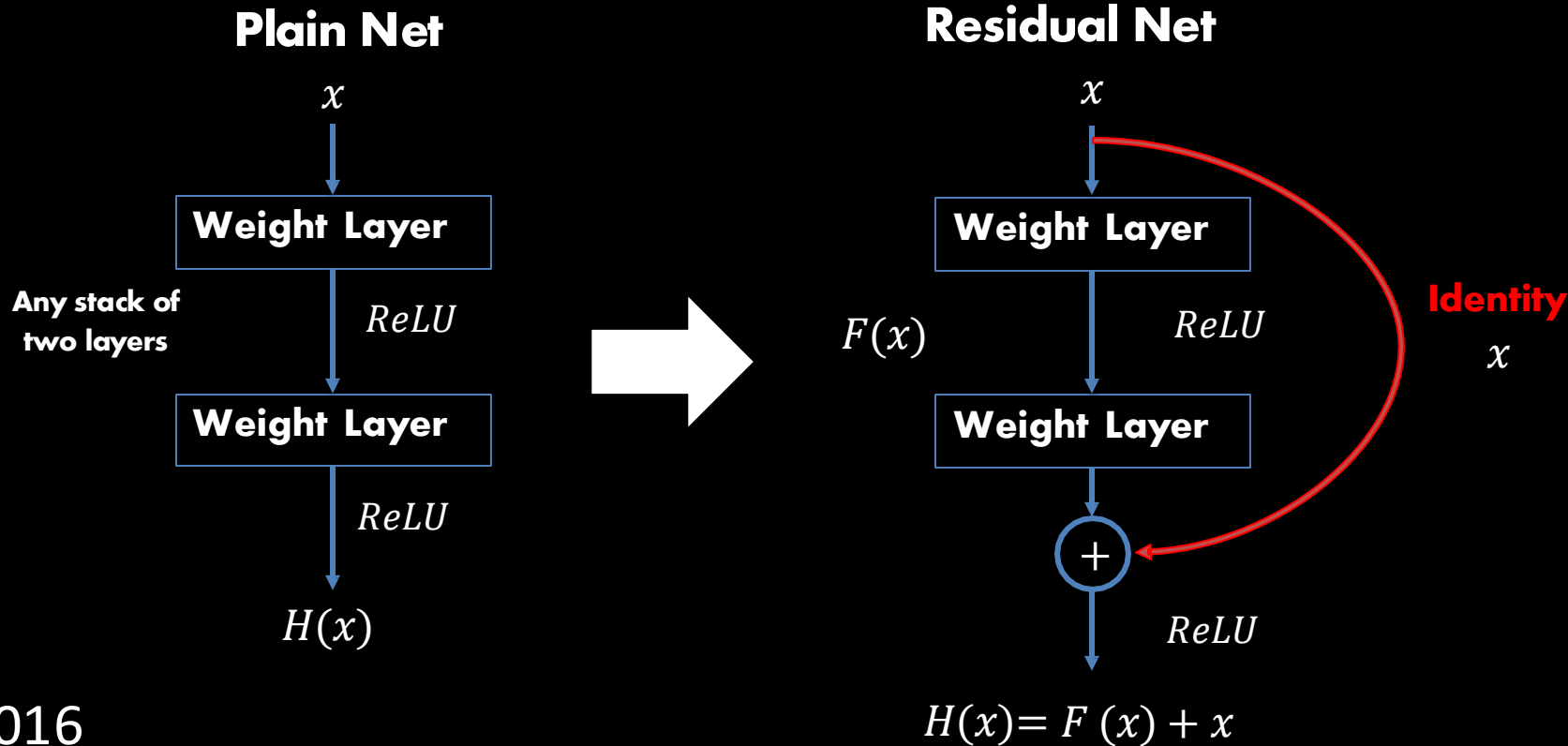
**LAION- 400M**



# CNN Architectures: Accuracy vs. Complexity



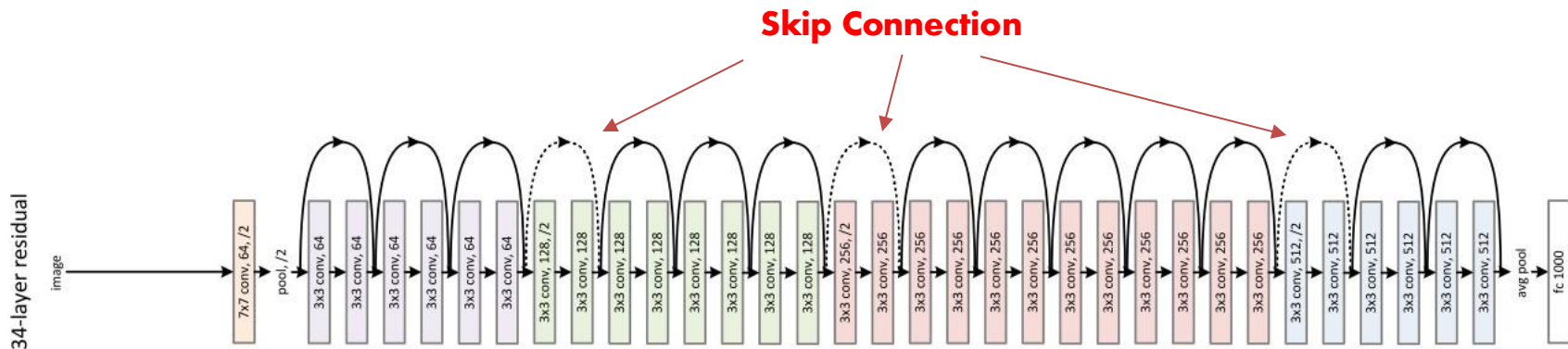
# Residual Networks (ResNets)



2016

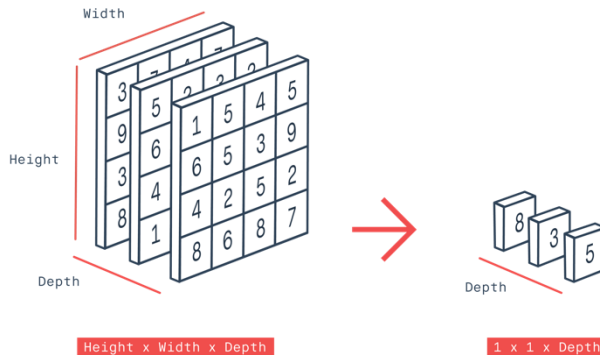
Deep Residual Learning for Image Recognition

# ResNets

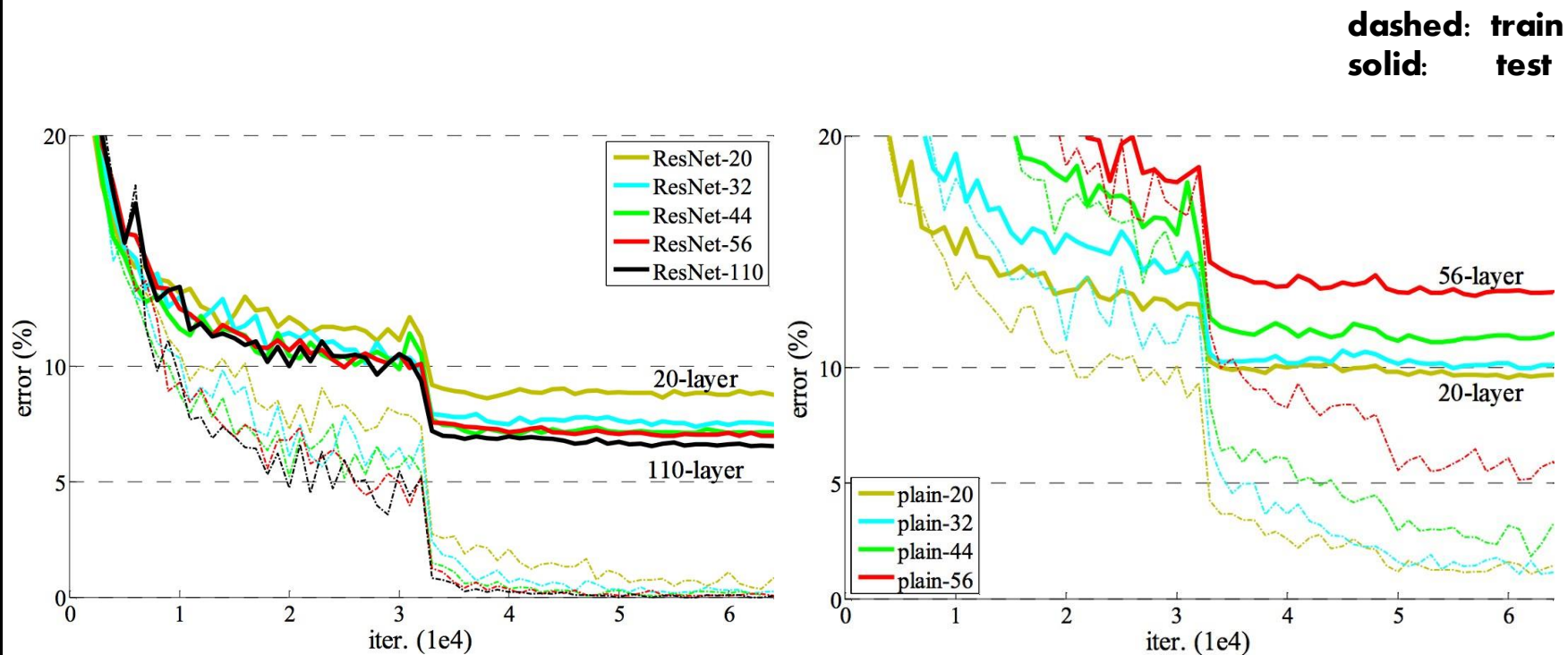


## Why is this model important?

- Frequently used today
- **Skip connections** and use of **batch normalization**
- Use of **global average pooling** instead of FC layers



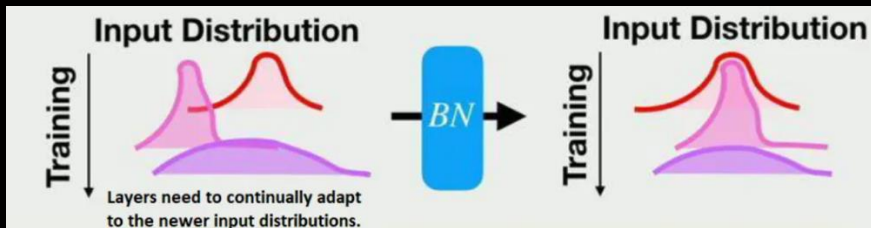
# Depth vs. Performance



**CIFAR-10 Experiments**

# Batch Normalization

Reduces “internal covariate shift”



## Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift

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### Abstract

Training Deep Neural Networks is complicated by the fact that the distribution of each layer's inputs changes during training, as the parameters of the previous layers change. This slows down the training by requiring lower learning rates and careful parameter initialization, and makes it notoriously hard to train models with saturating nonlinearities. We refer to this phenomenon as *internal covariate shift*, and address the problem by normalizing layer inputs. Our method draws its strength from making normalization a part of the model architecture and performing the normalization *for each training mini-batch*. Batch Normalization allows us to use much higher learning rates and be less careful about initialization, and in some cases eliminates the need for Dropout. Applied to a state-of-the-art image classification model, Batch Normalization achieves the same accuracy with 14 times fewer training steps, and beats the original model by a significant margin. Using an ensemble of batch-normalized networks, we improve upon the best published result on ImageNet classification: reaching 4.82% top-5 test error, exceeding the accuracy of human raters.

minimize the loss

$$\Theta = \arg \min_{\Theta} \frac{1}{N} \sum_{i=1}^N \ell(x_i, \Theta)$$

where  $x_{1..N}$  is the training data set. With SGD, the training proceeds in steps, at each step considering a *mini-batch*  $x_{1..m}$  of size  $m$ . Using mini-batches of examples, as opposed to one example at a time, is helpful in several ways. First, the gradient of the loss over a mini-batch  $\frac{1}{m} \sum_{i=1}^m \frac{\partial \ell(x_i, \Theta)}{\partial \Theta}$  is an estimate of the gradient over the training set, whose quality improves as the batch size increases. Second, computation over a mini-batch can be more efficient than  $m$  computations for individual examples on modern computing platforms.

While stochastic gradient is simple and effective, it requires careful tuning of the model hyper-parameters, specifically the learning rate and the initial parameter values. The training is complicated by the fact that the inputs to each layer are affected by the parameters of all preceding layers – so that small changes to the network parameters amplify as the network becomes deeper.

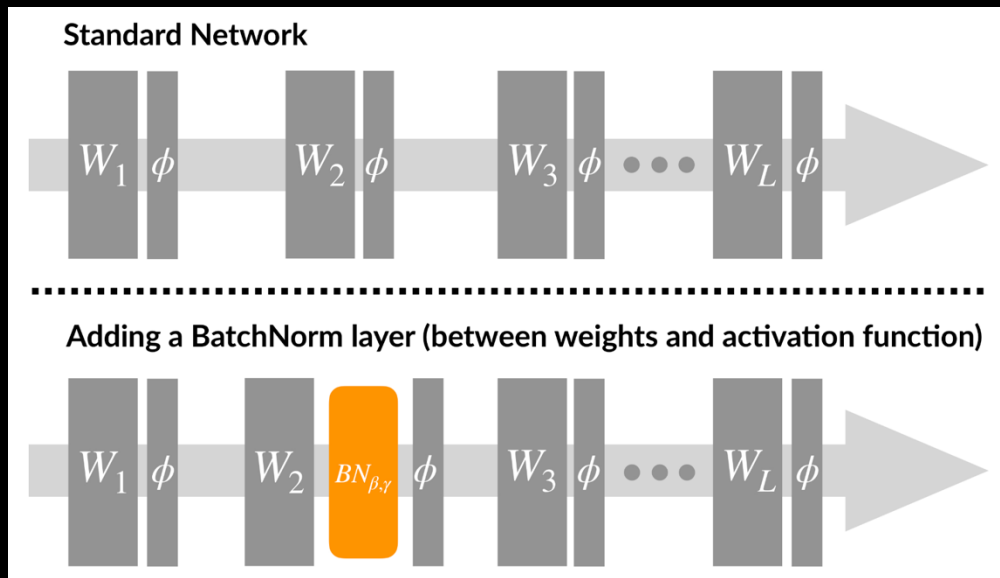
The change in the distributions of layers' inputs presents a problem because the layers need to continuously adapt to the new distribution. When the input distribution to a learning system changes, it is said to experience *covariate shift* (Shimodaira, 2000). This is typically handled

# Batch Normalization

**Reduces “internal covariate shift”**

**Normalizes activations batch-wise;  
fully differentiable for backprop**

$$\hat{x}^{(k)} = \frac{x^{(k)} - \mathbb{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$



# Batch Normalization (Fully Connected Version)

**Input:**  $x : N \times D$

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

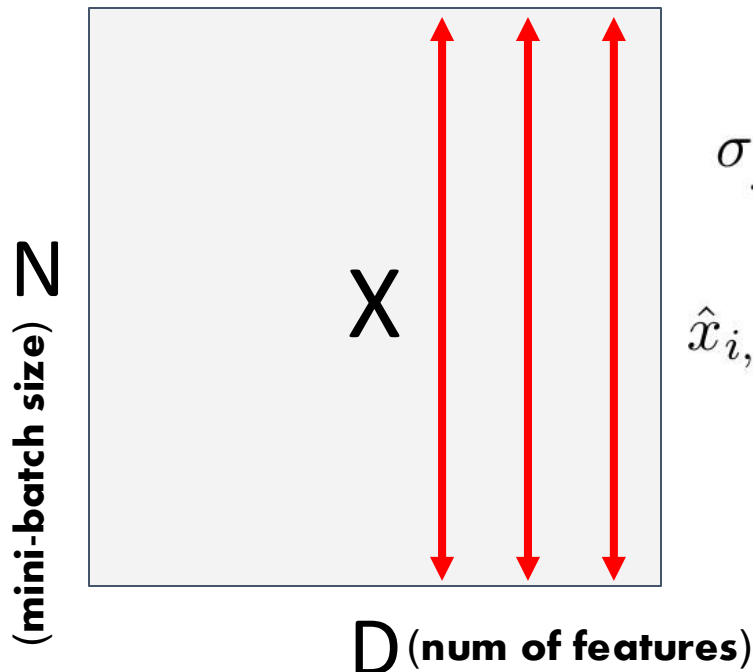
**Per-feature  
mean, shape is D**

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

**Per-feature  
std, shape is D**

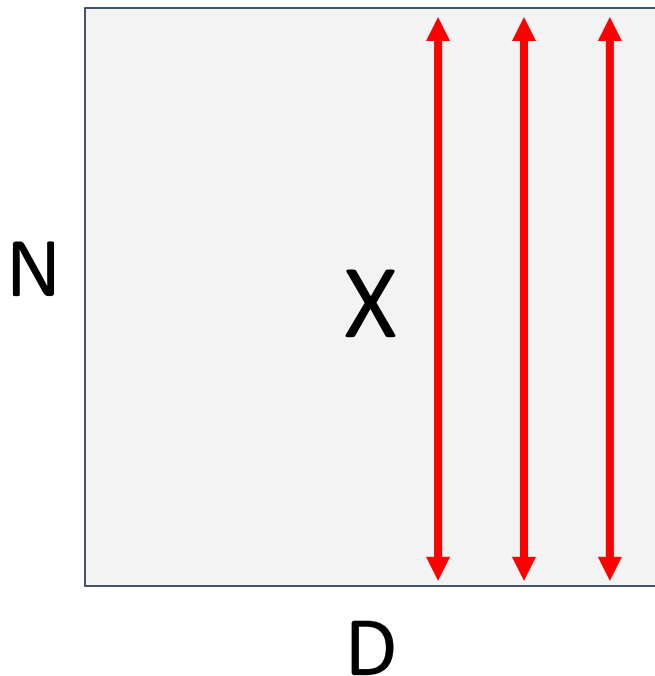
$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

**Normalized  $x$ ,  
Shape is  $N \times D$**



# Batch Normalization (Fully Connected Version)

**Input:**  $x : N \times D$



$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

**Per-feature  
mean, shape is  $D$**

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

**Per-feature  
std, shape is  $D$**

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

**Normalized  $x$ ,  
Shape is  $N \times D$**

**Problem: What if zero-mean, unit  
variance is too hard of a constraint?**



# Batch Normalization (Fully Connected Version)

**Input:**  $x : N \times D$

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

**Per-feature  
mean, shape is D**

**Learnable scale and  
shift parameters:**

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

**Per-feature  
std, shape is D**

$$\gamma, \beta : D$$

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

**Normalized x,  
Shape is N x D**

**Learning  $\gamma = \sigma$ ,  
 $\beta = \mu$ , will recover the  
identity function!**

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

**Output,  
Shape is N x D**

**Batchnorm eliminates the need for bias terms**

# Batch Normalization : Test-Time

Minibatch-dependent estimates

**Input:**  $x : N \times D$

**Learnable scale and shift parameters:**

$$\gamma, \beta : D$$

**Learning**  $\gamma = \sigma$ ,  
 $\beta = \mu$ , **will recover the identity function!**

$$\mu_j = \frac{1}{N} \sum_{i=1}^N x_{i,j}$$

**Per-feature mean, shape is D**

$$\sigma_j^2 = \frac{1}{N} \sum_{i=1}^N (x_{i,j} - \mu_j)^2$$

**Per-feature std, shape is D**

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

**Normalized x,  
Shape is N x D**

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

**Output,  
Shape is N x D**

# Batch Normalization : Test-Time

**Input:**  $x : N \times D$

$\mu_j$  = (Running) average of values seen during training

**Per-feature mean, shape is D**

**Learnable scale and shift parameters:**

$\sigma_j^2$  = (Running) average of values seen during training

**Per-feature std, shape is D**

$\gamma, \beta : D$

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \epsilon}}$$

**Normalized x,  
Shape is N x D**

-During testing, batchnorm becomes a fixed linear (affine) transformation.

-Can be fused with previous weight layer with no extra overhead

$$y_{i,j} = \gamma_j \hat{x}_{i,j} + \beta_j$$

**Output,  
Shape is N x D**

# Batch Normalization for ConvNets

**Batch Normalization for  
fully-connected networks**

$$\mathbf{x} : N \times D$$

Normalize 

$$\mu, \sigma : 1 \times D$$

$$\gamma, \beta : 1 \times D$$

$$\mathbf{y} = \gamma (\mathbf{x} - \mu) / \sigma + \beta$$

**Batch Normalization for  
convolutional networks  
(Spatial Batchnorm, BatchNorm2D)**

$$\mathbf{x} : N \times C \times H \times W$$

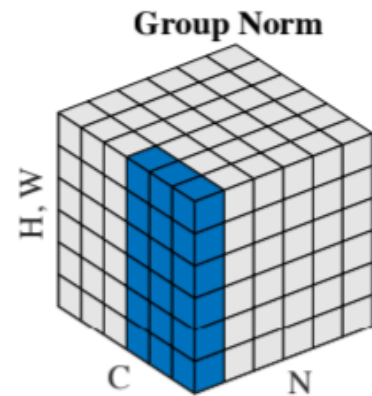
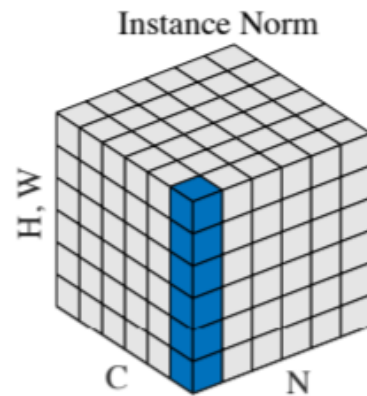
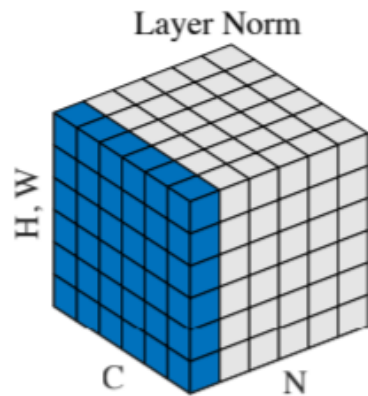
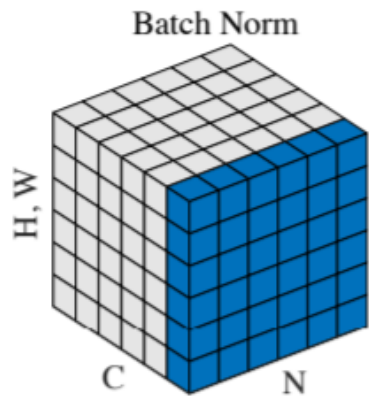
Normalize   

$$\mu, \sigma : 1 \times C \times 1 \times 1$$

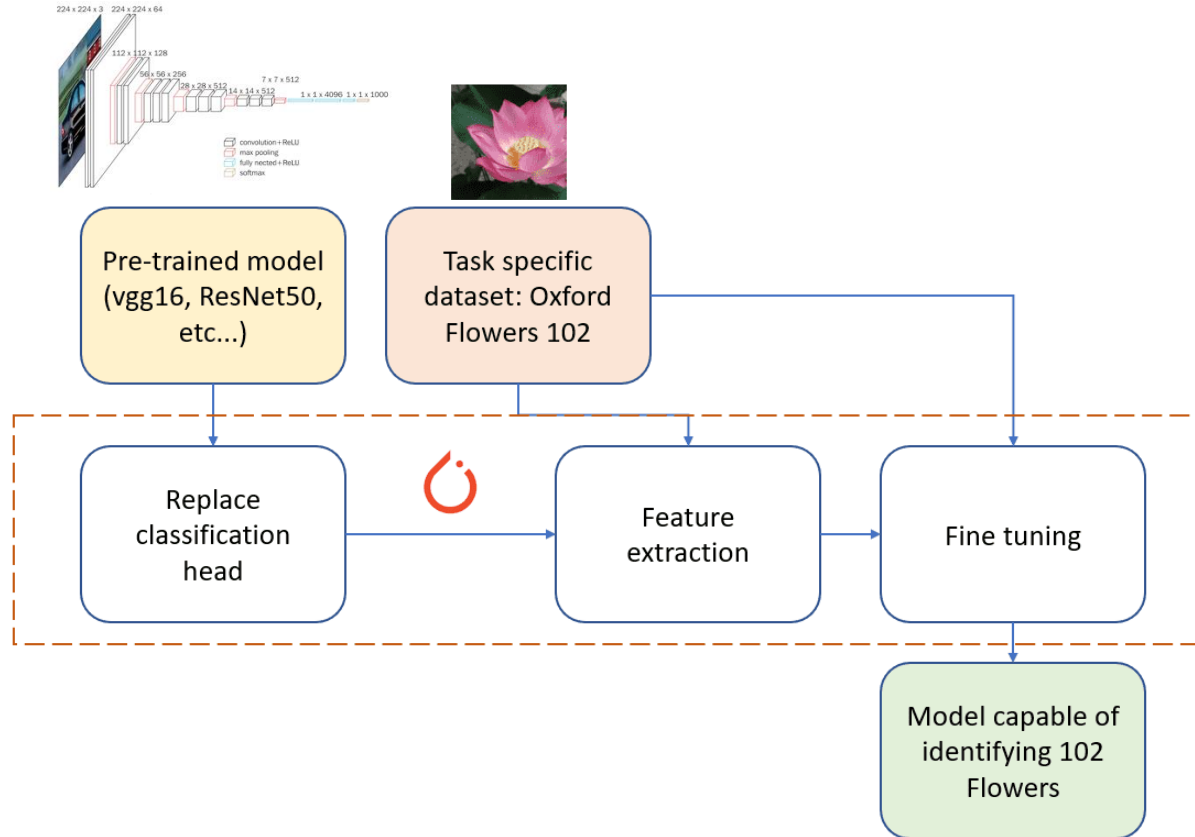
$$\gamma, \beta : 1 \times C \times 1 \times 1$$

$$\mathbf{y} = \gamma (\mathbf{x} - \mu) / \sigma + \beta$$

# Other Normalizations

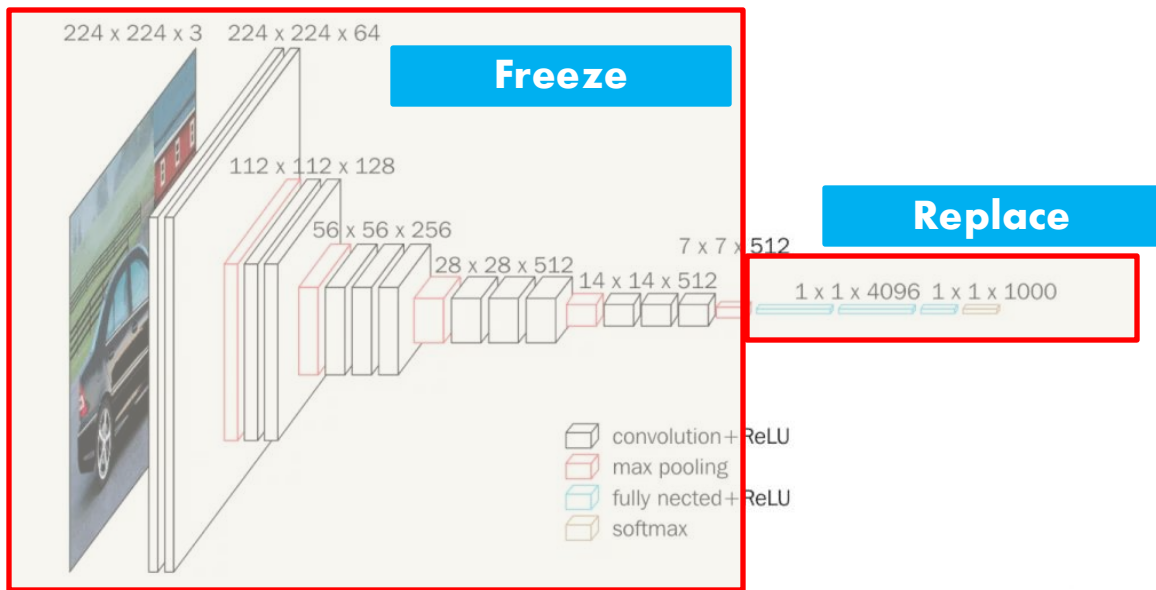


# Transfer Learning: Fine-Tuning for a New Task

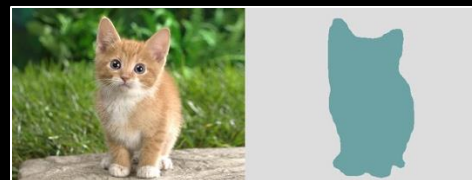
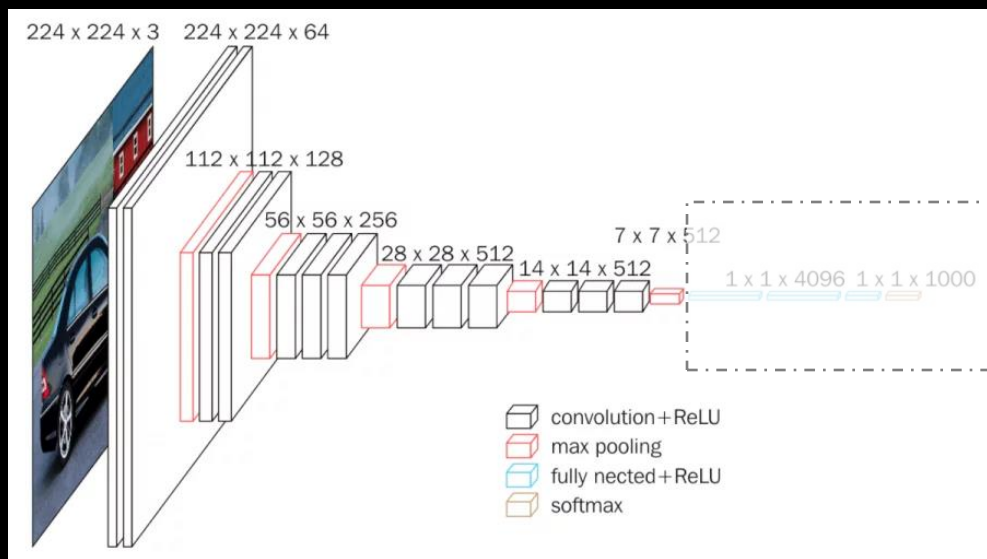


# Transfer Learning

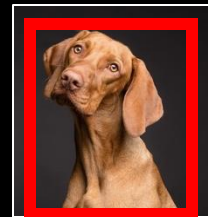
- **Optimized learning with scarce data, freeze early layers, replace final classification layers**
- **Optionally fine-tune deeper layers if the new domain differs significantly**



# Versatile Applications of CNNs



**Segmentation**

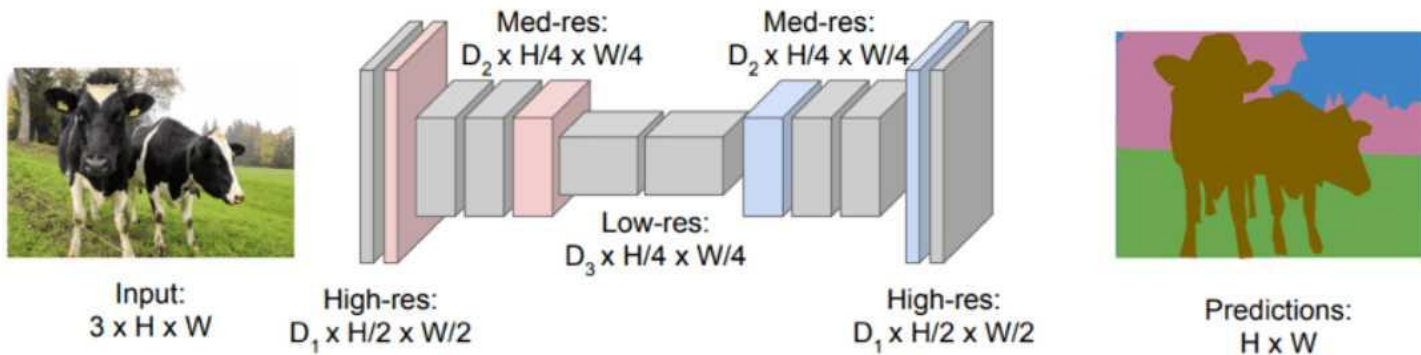


**Object Detection**



# Semantic Segmentation: Fully Convolutional Networks

- Network designed with only convolutional layers, handling arbitrary input sizes
- Uses downsampling and upsampling operations (transpose convolutions)
- 📌 Recent approaches like U-Net and other encoder-decoder designs follow a similar paradigm



`torch.nn.ConvTranspose2d`

# You Only Look Once (YOLO)

## You Only Look Once: Unified, Real-Time Object Detection

Joseph Redmon\*, Santosh Divvala\*<sup>†</sup>, Ross Girshick<sup>¶</sup>, Ali Farhadi\*<sup>‡</sup>

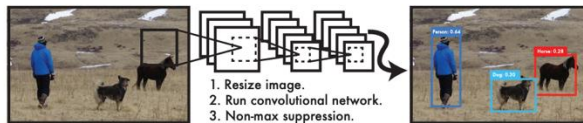
University of Washington\*, Allen Institute for AI<sup>†</sup>, Facebook AI Research<sup>¶</sup>

<http://pjreddie.com/yolo/>

### Abstract

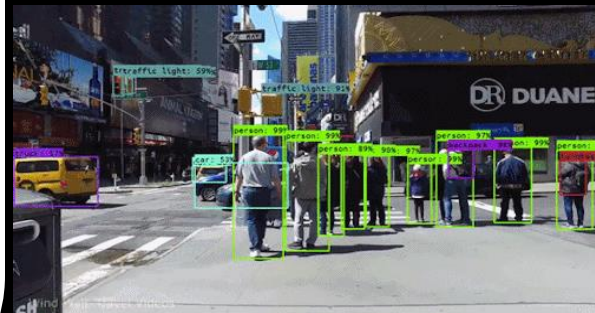
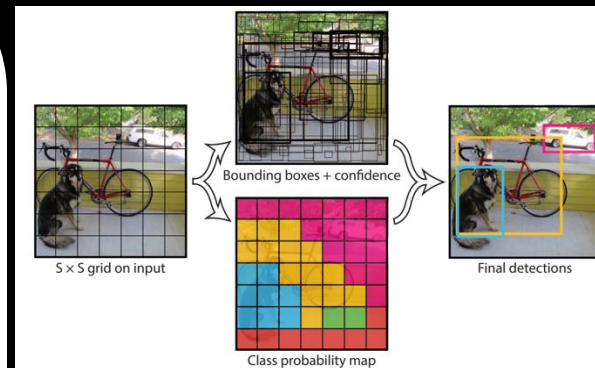
We present YOLO, a new approach to object detection. Prior work on object detection repurposes classifiers to perform detection. Instead, we frame object detection as a regression problem to spatially separated bounding boxes and associated class probabilities. A single neural network predicts bounding boxes and class probabilities directly from full images in one evaluation. Since the whole detection pipeline is a single network, it can be optimized end-to-end directly on detection performance.

Our unified architecture is extremely fast. Our base YOLO model processes images in real-time at 45 frames per second. A smaller version of the network, Fast YOLO, processes an astounding 155 frames per second while still achieving double the mAP of other real-time detectors. Compared to state-of-the-art detection systems, YOLO makes more localization errors but is less likely to predict positives on background. Finally, YOLO learns very



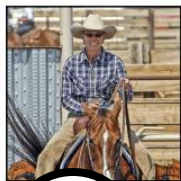
**Figure 1: The YOLO Detection System.** Processing images with YOLO is simple and straightforward. Our system (1) resizes the input image to  $448 \times 448$ , (2) runs a single convolutional network on the image, and (3) thresholds the resulting detections by the model's confidence.

methods to first generate potential bounding boxes in an image and then run a classifier on these proposed boxes. After classification, post-processing is used to refine the bounding boxes, eliminate duplicate detections, and rescore the boxes based on other objects in the scene [13]. These complex pipelines are slow and hard to optimize because



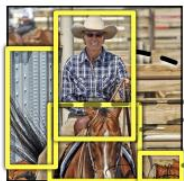
# Prior Two-Step Object Detection Approaches

## R-CNN: *Regions with CNN features*

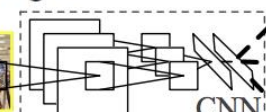


1

2. Extract region proposals (~2k)



warped region



CNN

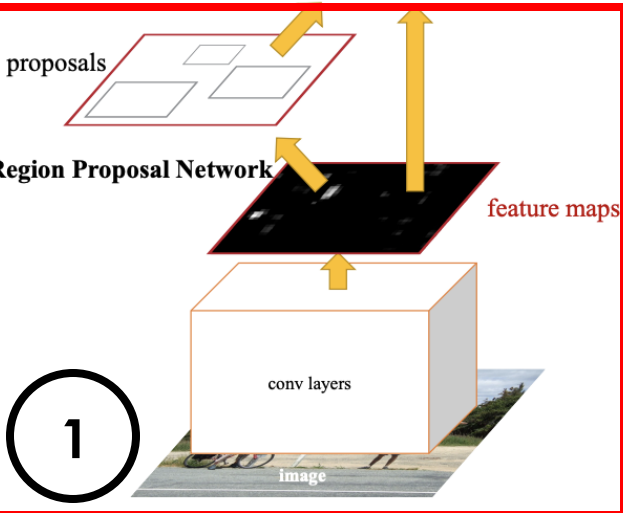
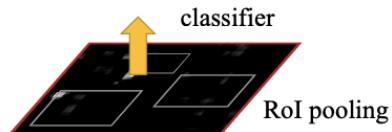
aeroplane? no.  
:  
person? yes.  
:  
tvmonitor? no.

2

compute features

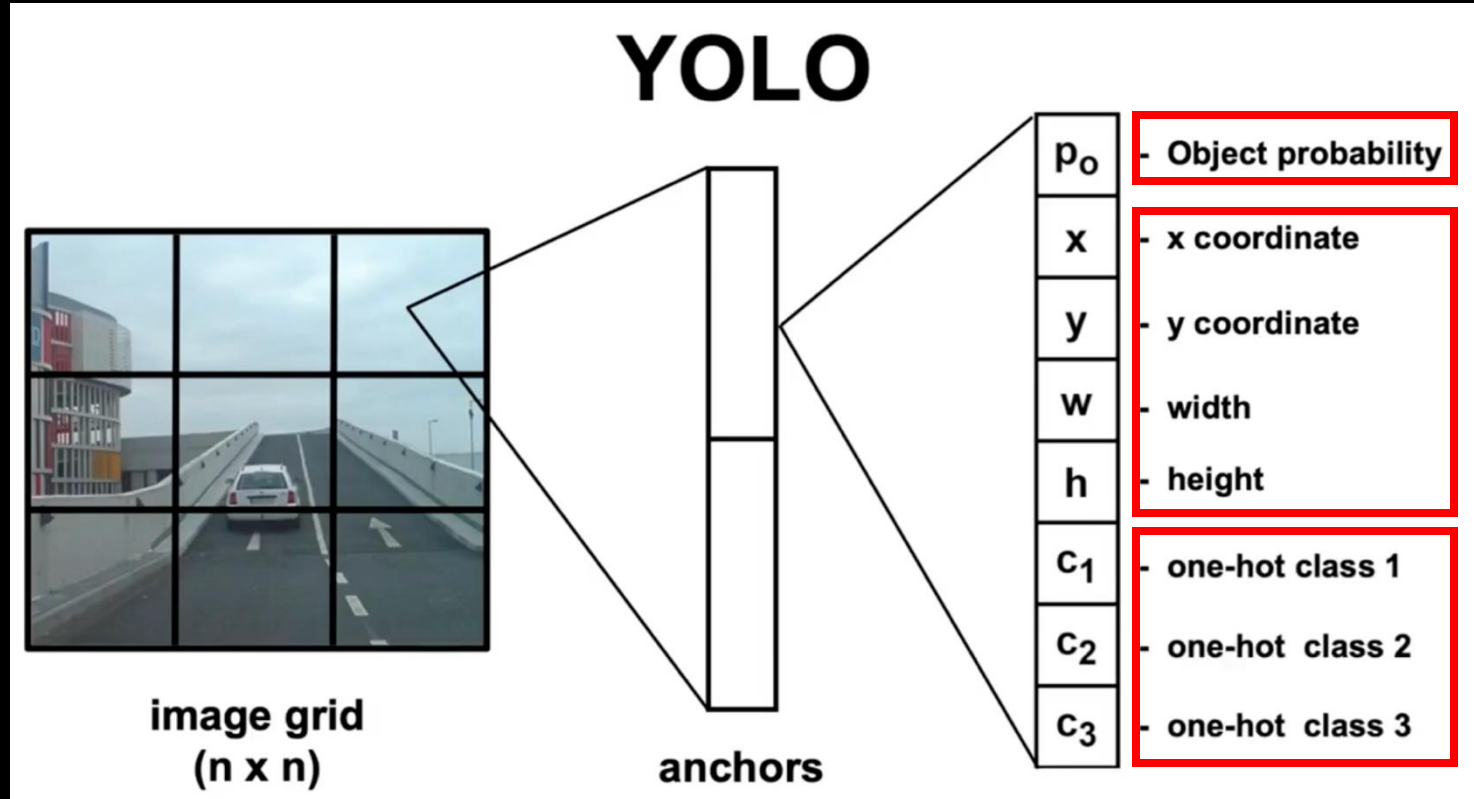
4. Classify regions

2



[Girshick et al., CVPR'14] Rich feature hierarchies for accurate object detection and semantic segmentation  
[Ren et al., NIPS'15] Faster R-CNN: Towards real-time object detection with region proposal networks

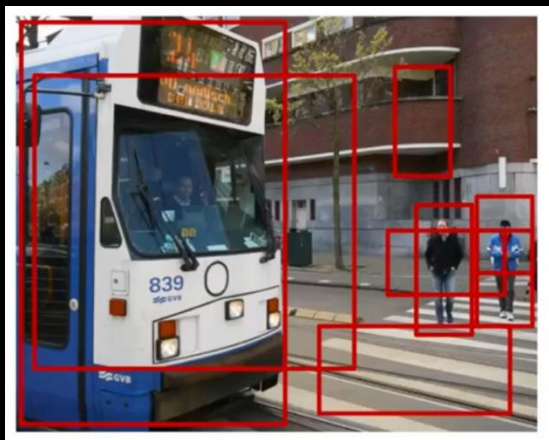
# YOLO Grid-Based Prediction



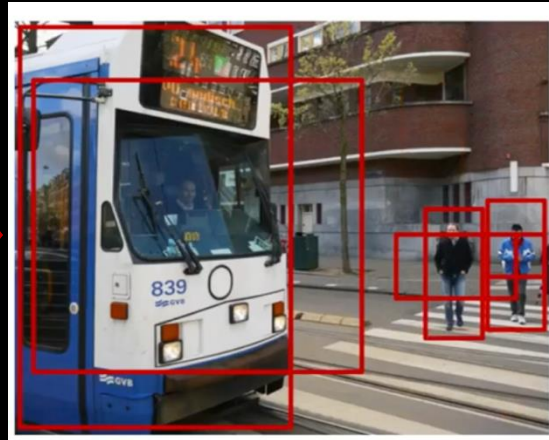
# Prediction Post-Processing in YOLO

1- Remove the low probability bounding boxes

2- Apply non-max suppression (NMS)



1



2





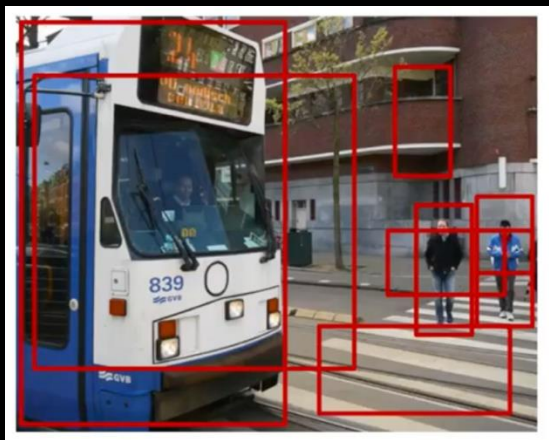
# Prediction Post-Processing in YOLO

1- Remove the low probability bounding boxes

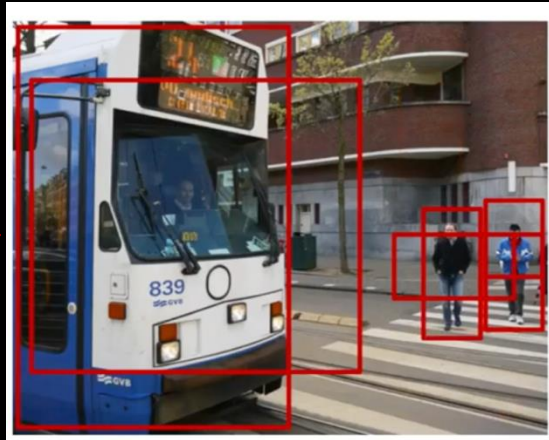
2- Apply non-max suppression (NMS)

Limitations: struggles with small objects/crowded scene

🚀 YOLOv3, v4, v5, v7, and YOLOv8 add multi-scale predictions & stronger backbones.



1



2



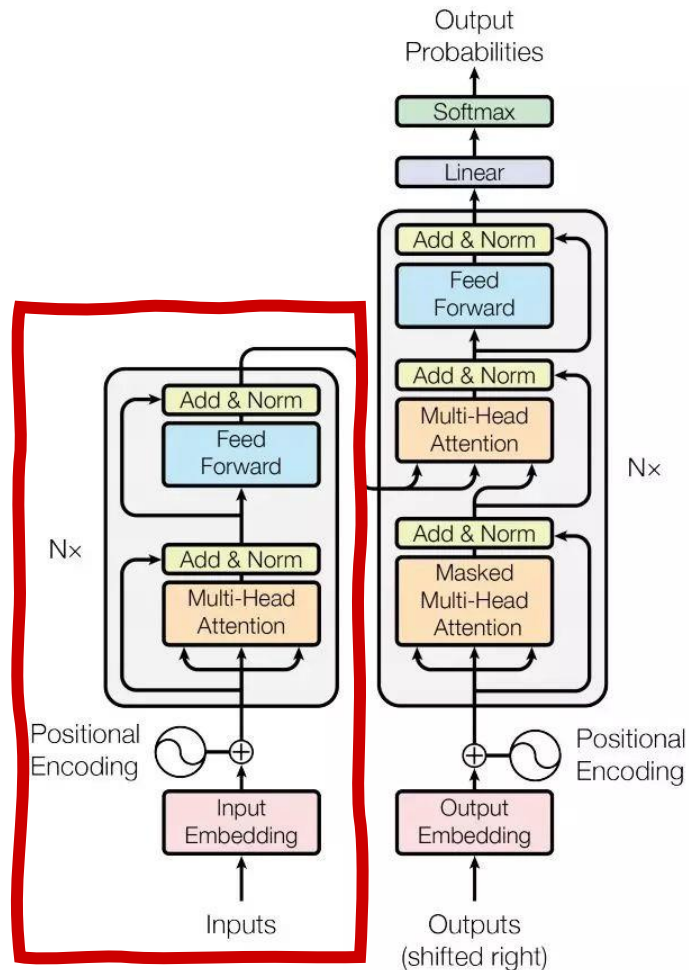
# Vision Transformer



Intuition and  
Overview

2017

Attention Is All You Need





# AN IMAGE IS WORTH 16x16 WORDS: TRANSFORMERS FOR IMAGE RECOGNITION AT SCALE

Alexey Dosovitskiy<sup>\*,†</sup>, Lucas Beyer<sup>\*</sup>, Alexander Kolesnikov<sup>\*</sup>, Dirk Weissenborn<sup>\*</sup>,  
Xiaohua Zhai<sup>\*</sup>, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer,  
Georg Heigold, Sylvain Gelly, Jakob Uszkoreit, Neil Houlsby<sup>\*,†</sup>

<sup>\*</sup>equal technical contribution, <sup>†</sup>equal advising  
Google Research, Brain Team  
{adosovitskiy, neilhoulby}@google.com

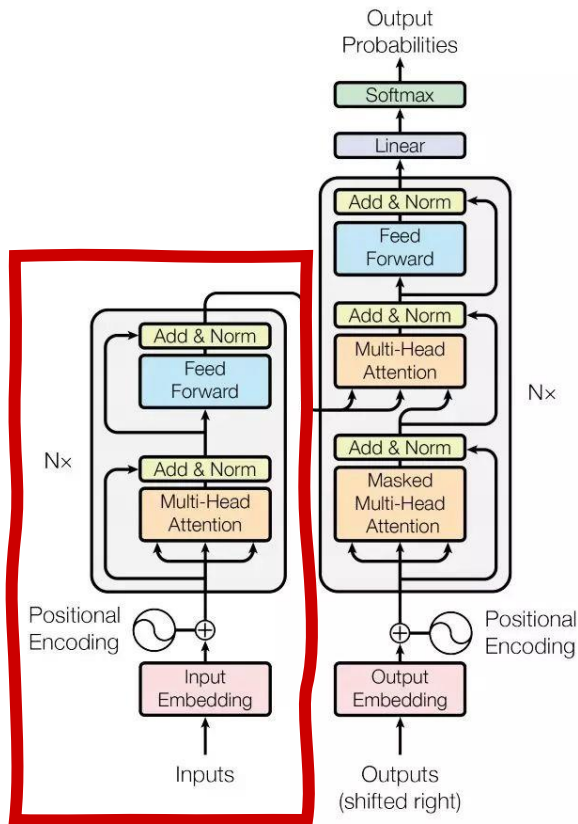
## ABSTRACT

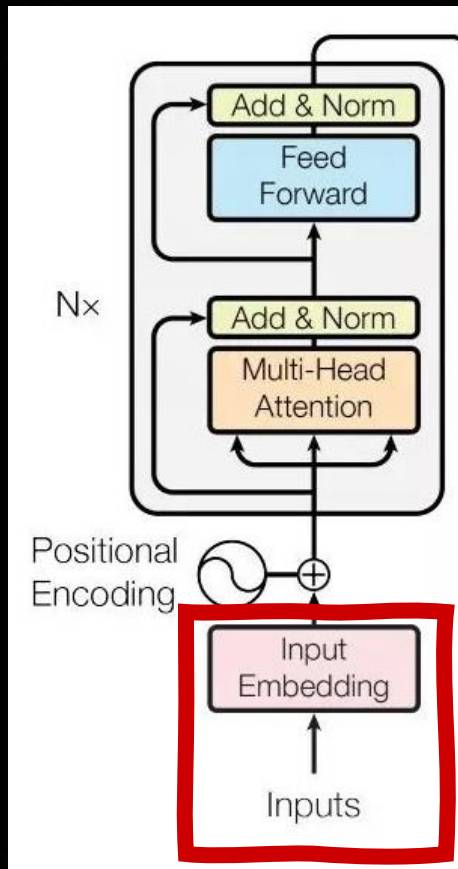
While the Transformer architecture has become the de-facto standard for natural language processing tasks, its applications to computer vision remain limited. In vision, attention is either applied in conjunction with convolutional networks, or used to replace certain components of convolutional networks while keeping their overall structure in place. We show that this reliance on CNNs is not necessary and a pure transformer applied directly to sequences of image patches can perform very well on image classification tasks. When pre-trained on large amounts of data and transferred to multiple mid-sized or small image recognition benchmarks (ImageNet, CIFAR-100, VTAB, etc.), Vision Transformer (ViT) attains excellent results compared to state-of-the-art convolutional networks while requiring substantially fewer computational resources to train.<sup>1</sup>

## 1 INTRODUCTION

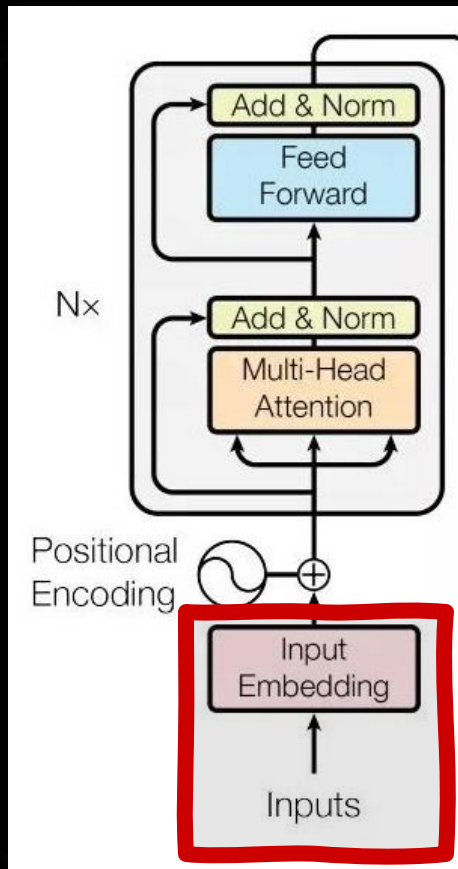
Self-attention-based architectures, in particular Transformers (Vaswani et al., 2017), have become the model of choice in natural language processing (NLP). The dominant approach is to pre-train on a large text corpus and then fine-tune on a smaller task-specific dataset (Devlin et al., 2019). Thanks to Transformers’ computational efficiency and scalability, it has become possible to train models of unprecedented size, with over 100B parameters (Brown et al., 2020; Lepikhin et al., 2020). With the models and datasets growing, there is still no sign of saturating performance.

In computer vision, however, convolutional architectures remain dominant (LeCun et al., 1989; Krizhevsky et al., 2012; He et al., 2016). Inspired by NLP successes, multiple works try combining CNN-like architectures with self-attention (Wang et al., 2018; Carion et al., 2020), some replacing the convolutions entirely (Ramachandran et al., 2019; Wang et al., 2020a). The latter models, while theoretically efficient, have not yet been scaled effectively on modern hardware accelerators due to the use of specialized attention patterns. Therefore, in large-scale image recognition, classic ResNet-like architectures are still state of the art (Mahajan et al., 2018; Xie et al., 2020; Kolesnikov et al., 2020).

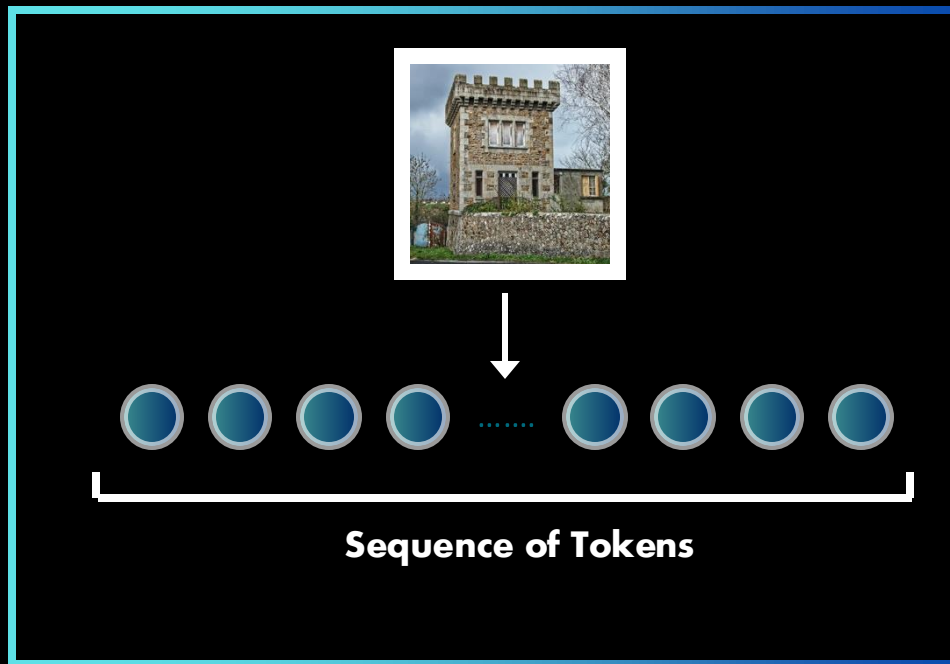




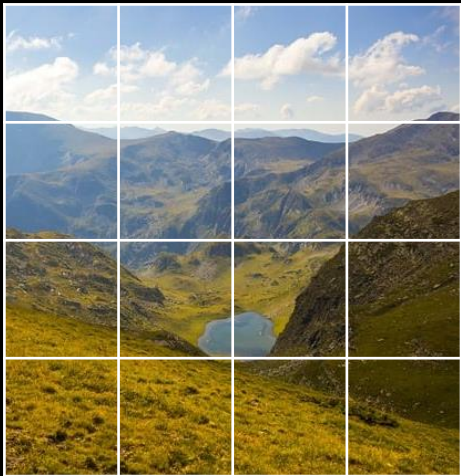
By Francisco Castillo Carrasco (towards data science)

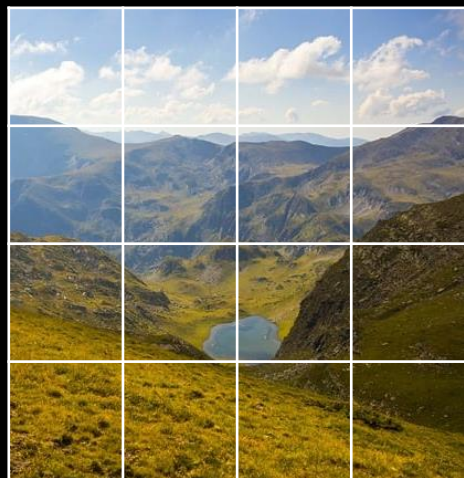
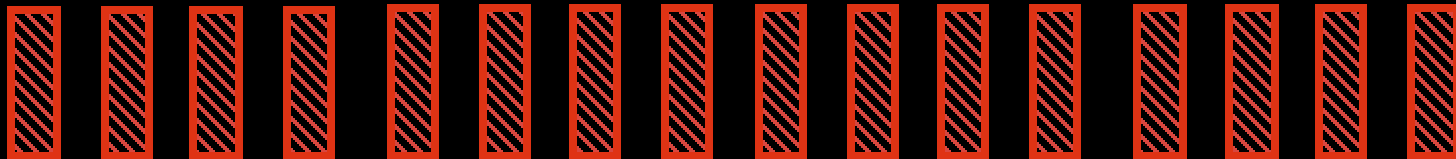


## Input Embedding



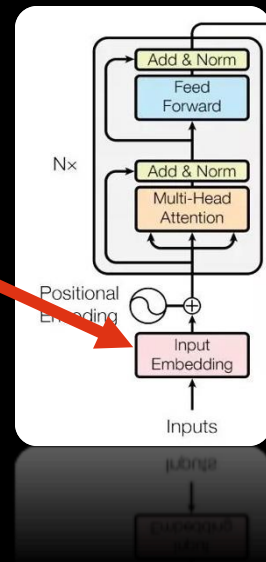
# Image Patching

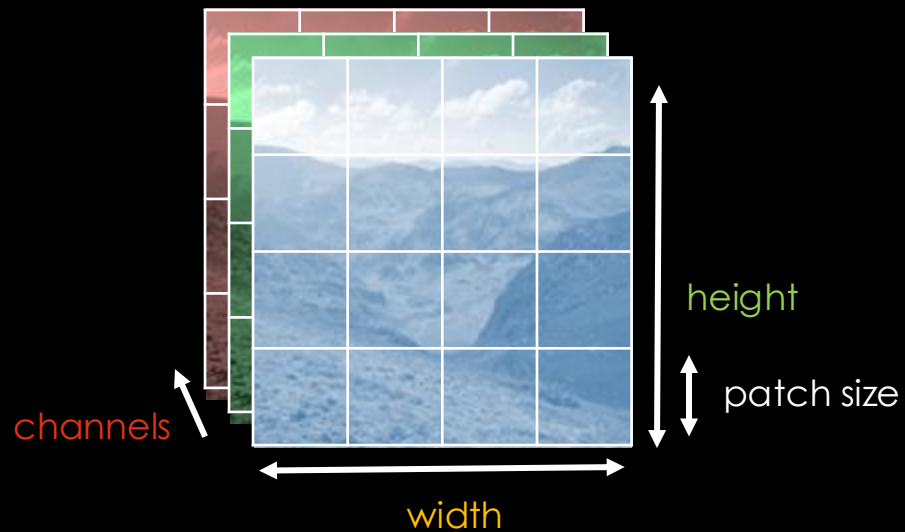




16 px

16 px





Number of Patches x c x p1 x p2





Number of Patches  $\times c \times p1 \times p2$



Number of Patches  $\times (c \times p1 \times p2)$



Number of Patches  $\times D$

D = Dimension at which transformer layers will operate



Number of Patches  $\times c \times p1 \times p2$



Number of Patches  $\times (c \times p1 \times p2)$

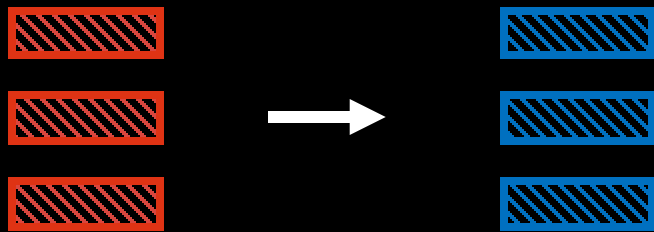


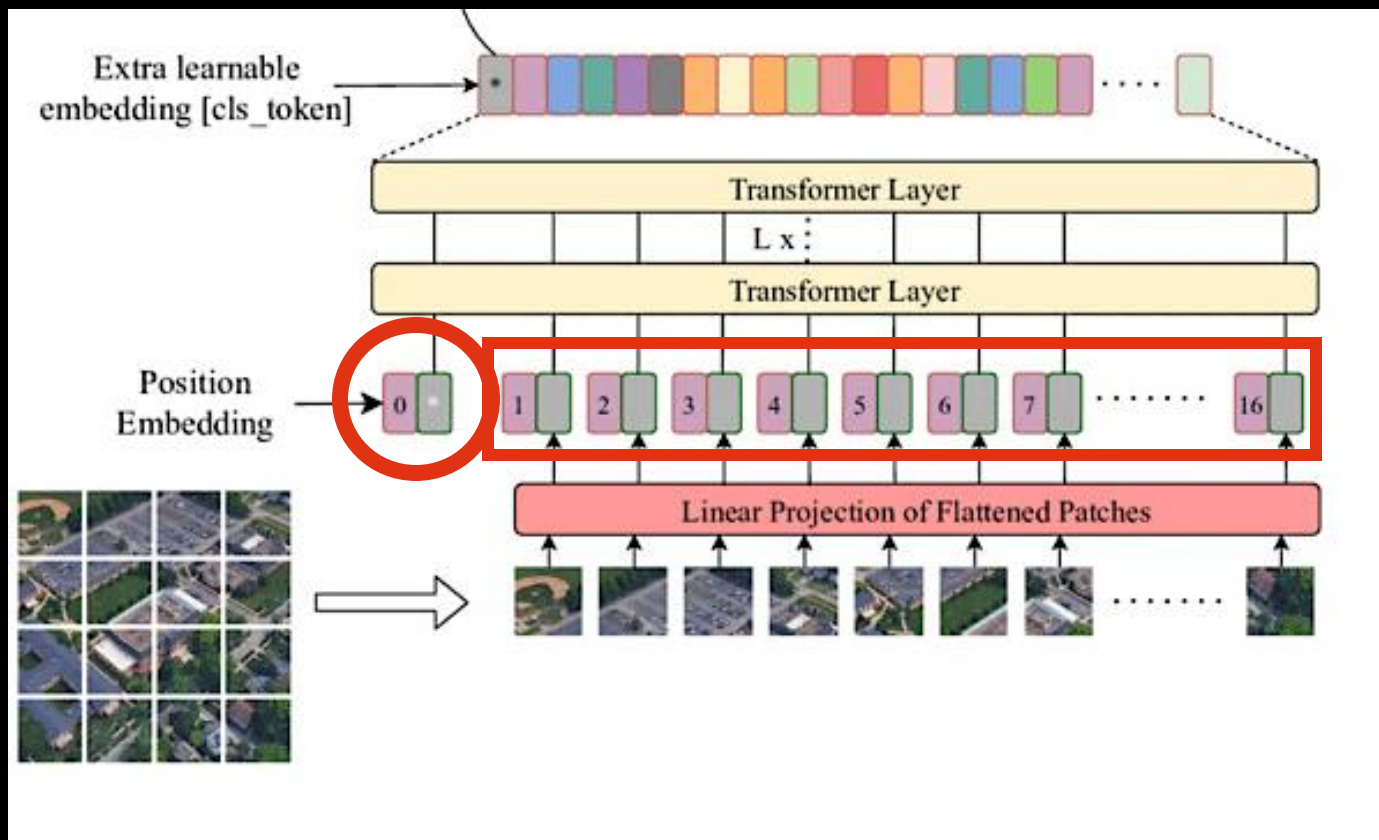
$N \times D$

D = Dimension at which transformer layers will operate  
N = Number of patches (size of the sequence of tokens)



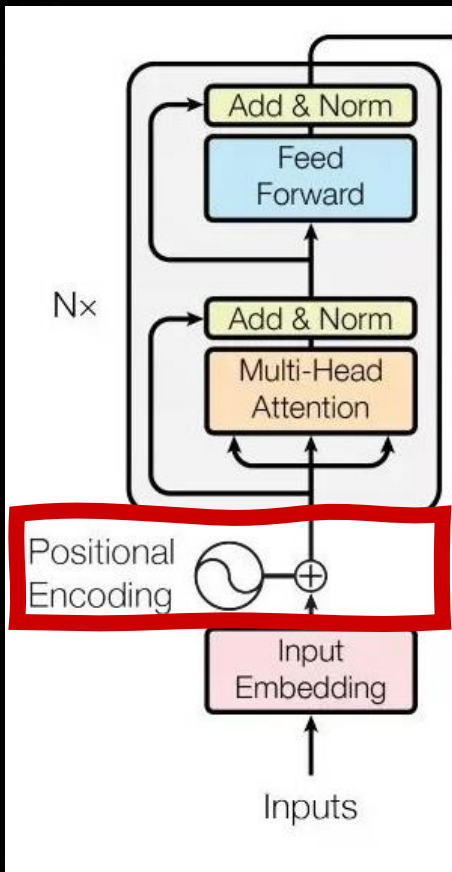
# The CLS Token





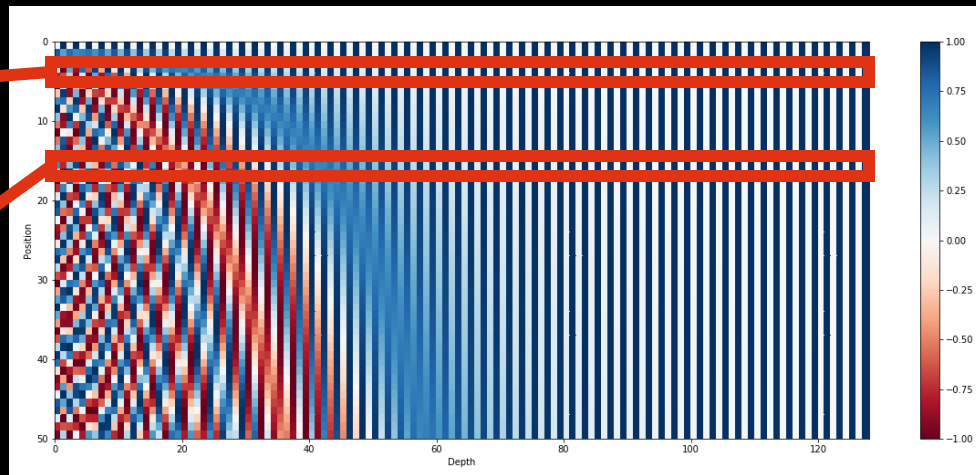
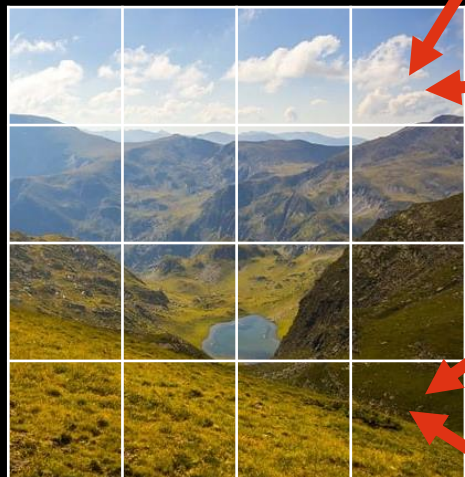
Source: A Transformer-Based Feature Segmentation and Region Alignment Method For UAV-View Geo-Localization

# The Positional Embedding



# Fixed vs. Learned Positional Embeddings

That's patch #4



Source: Amirhossein Kazemnejad's Blog

That's patch #16

# Fixed vs. Learned Positional Embeddings

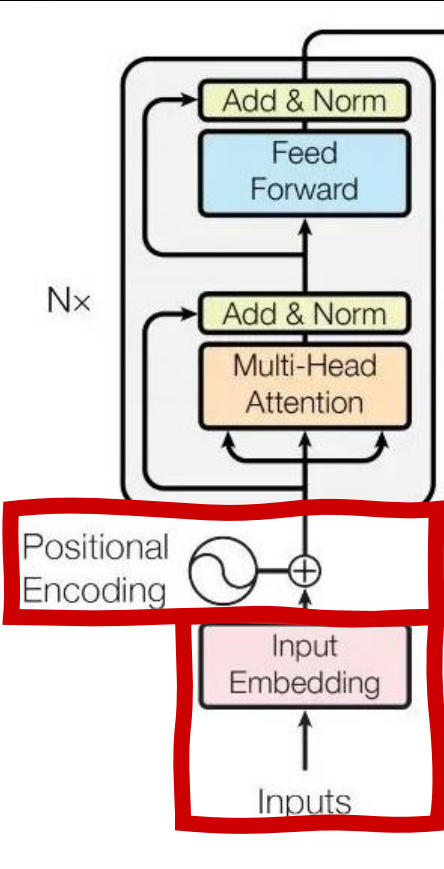
Pos. Emb.	Default/Stem	Every Layer	Every Layer-Shared
No Pos. Emb.	0.61382	N/A	N/A
1-D Pos. Emb.	0.64206	0.63964	0.64292
2-D Pos. Emb.	0.64001	0.64046	0.64022
Rel. Pos. Emb.	0.64032	N/A	N/A

Table 8: Results of the ablation study on positional embeddings with ViT-B/16 model evaluated on ImageNet 5-shot linear.

2020

An Image is Worth  $16 \times 16$  Words

# Patch Embedding

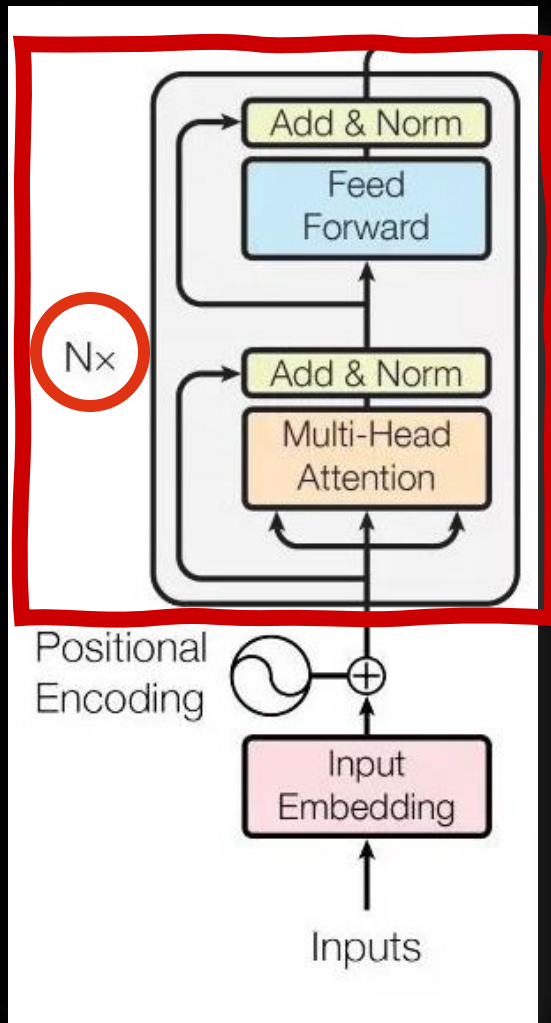


Convert Image into Sequence of Patches

Add CLS token to sequence of Patches

Add Positional Information to Patches

# **Attention Mechanism and Transformer Encoder**





# Attention

**Block used in transformers that fulfils two responsibilities:**

- **Identifying what is relevant to an input out of everything in its context**
- **Add more meaning to the representation of an entity by using the representation of its context**

But why ?



# Attention

**Block used in transformers that fulfils two responsibilities:**

- **Identifying what is relevant to an input out of everything in its context**
- **Add more meaning to the representation of an entity by using the representation of its context**

But why ?

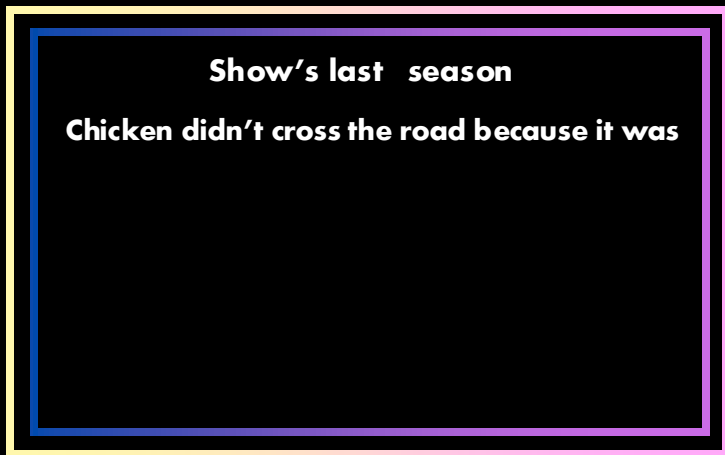


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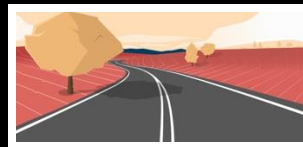
**Show's last season**

**Chicken didn't cross the road because it was  
happy on this side itself**

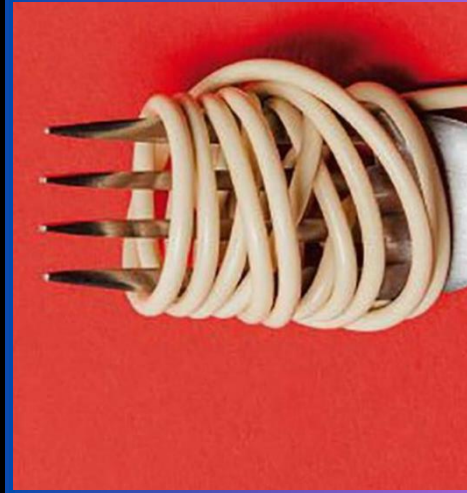


**I hate rainy season**

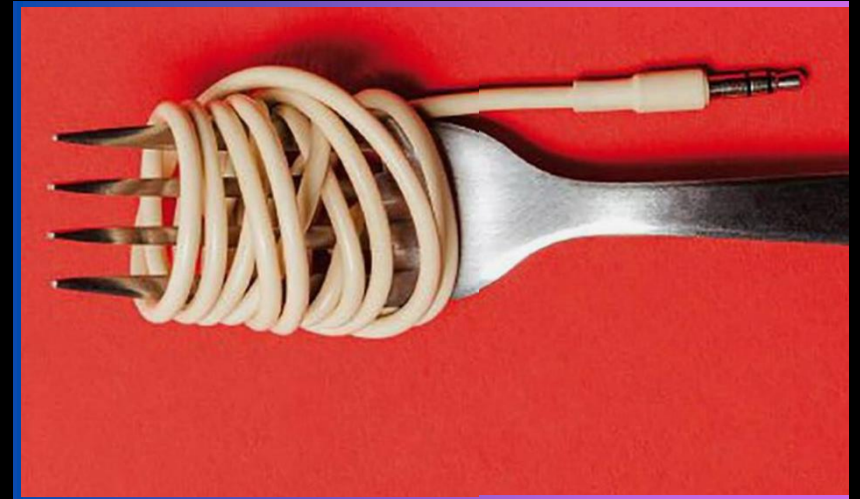
**Chicken didn't cross the road because it was  
too wide**



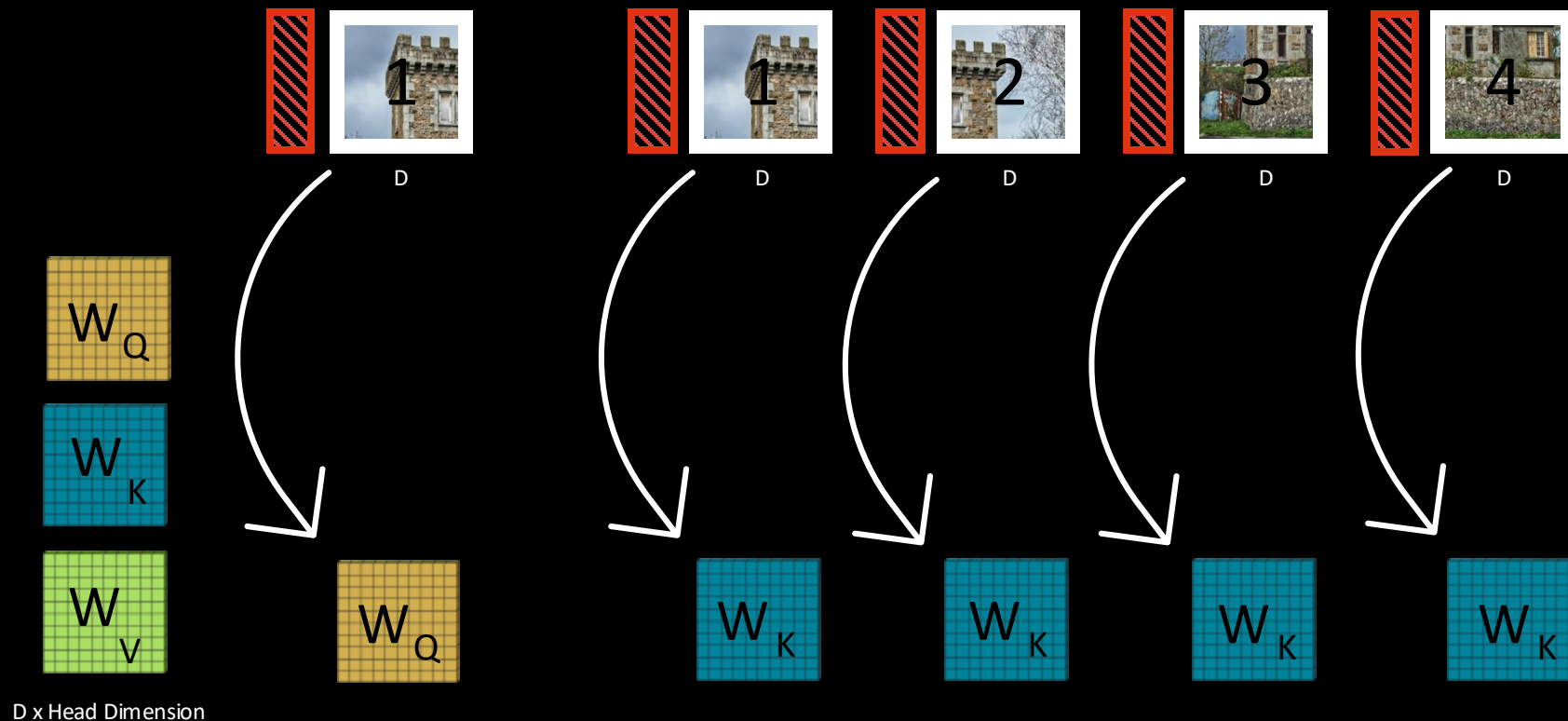
# Attention



# Attention



# Determining Relevance

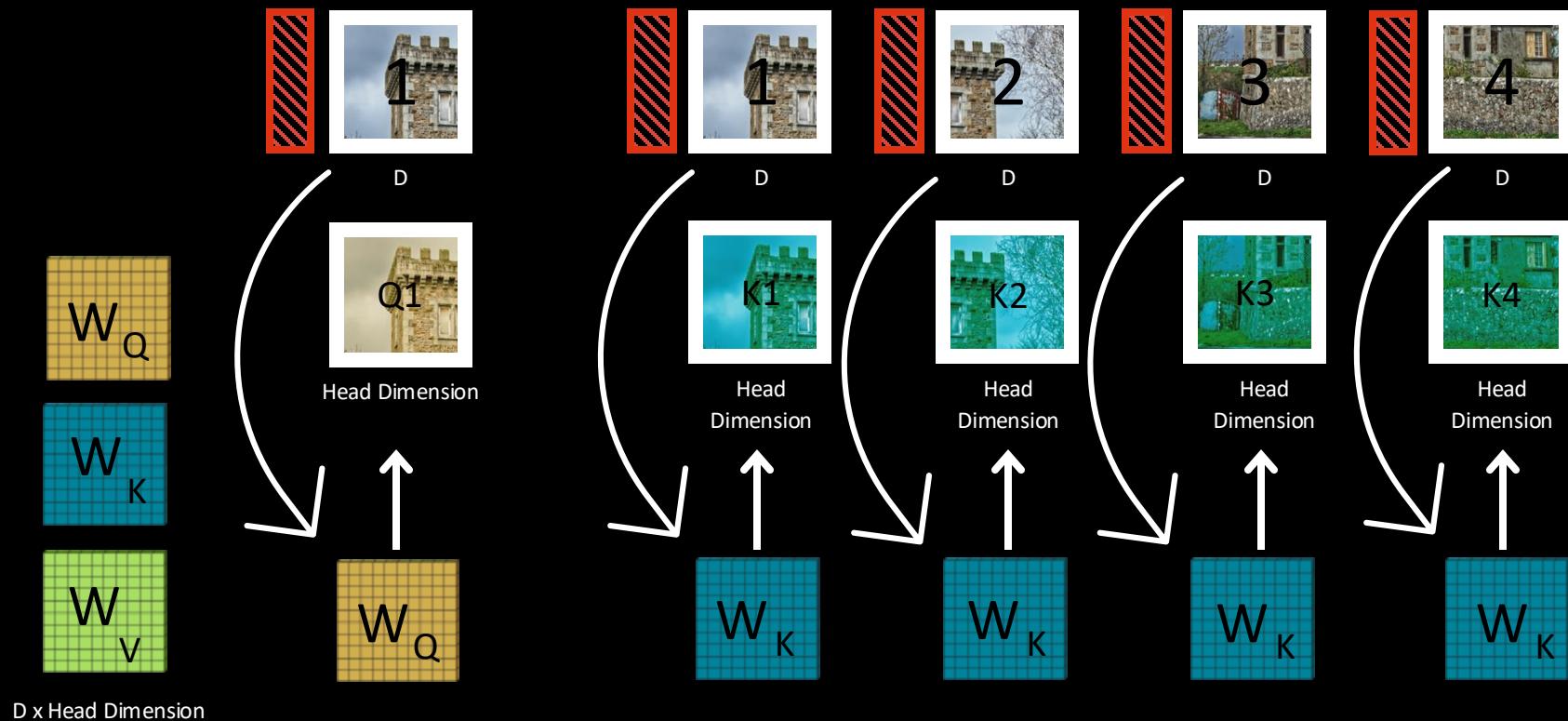


Query : Input representation. We are trying to quantify how much is every context item relevant to this representation

Key : Context representation. Used to quantify relevance to the query representation

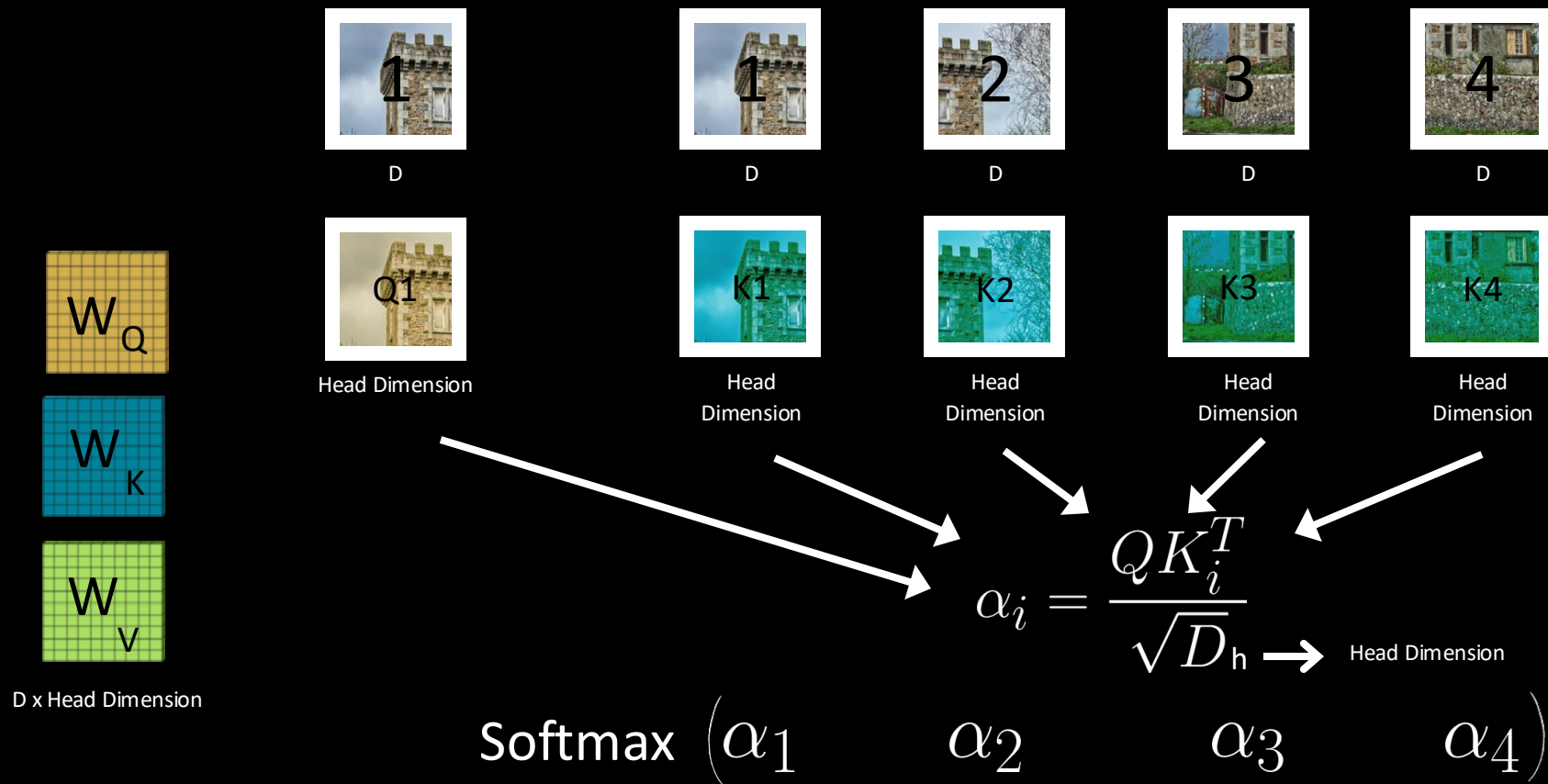
Value : Context representation which will be used to add understanding of relevant context into the input

# Determining Relevance

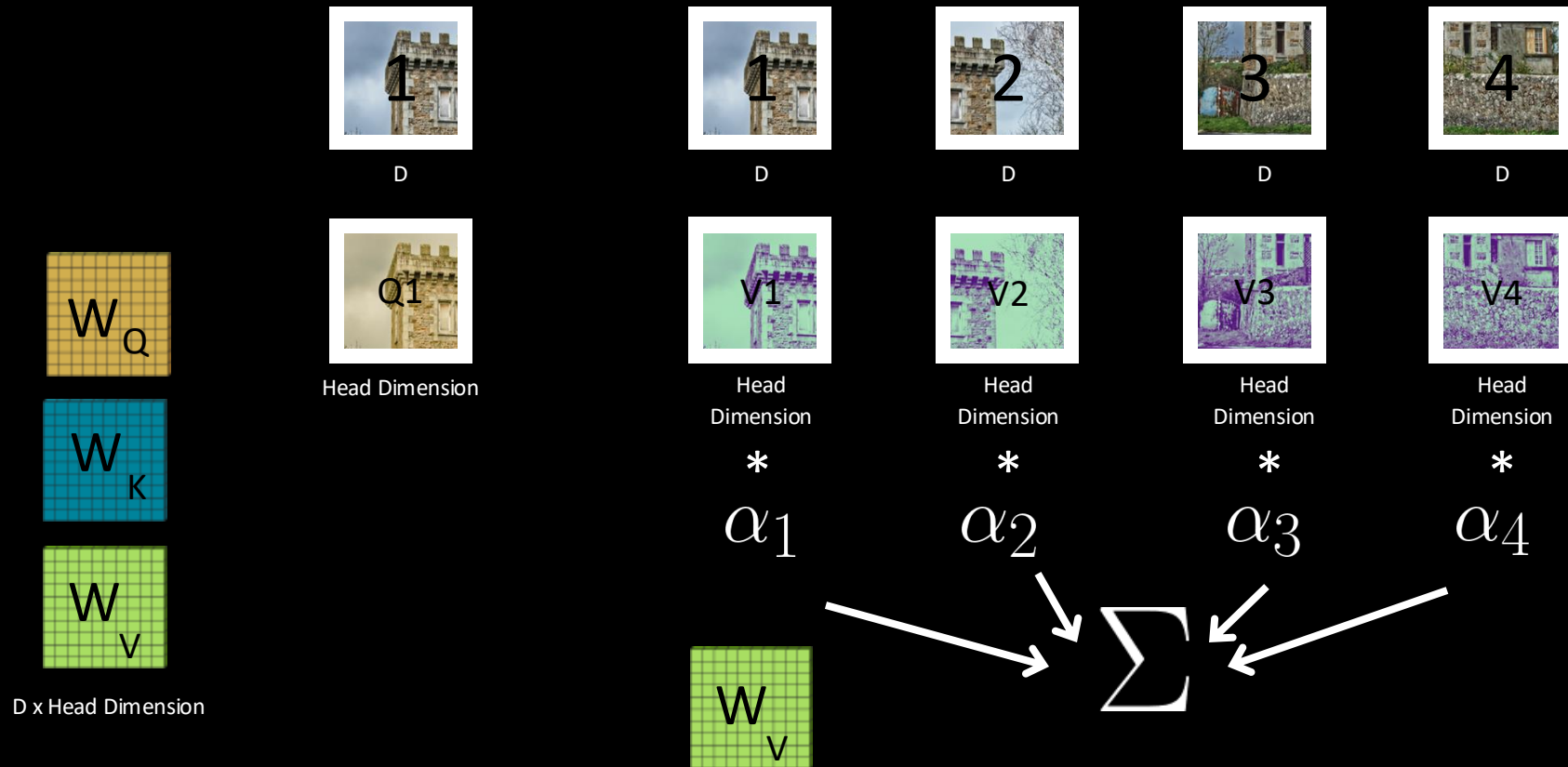




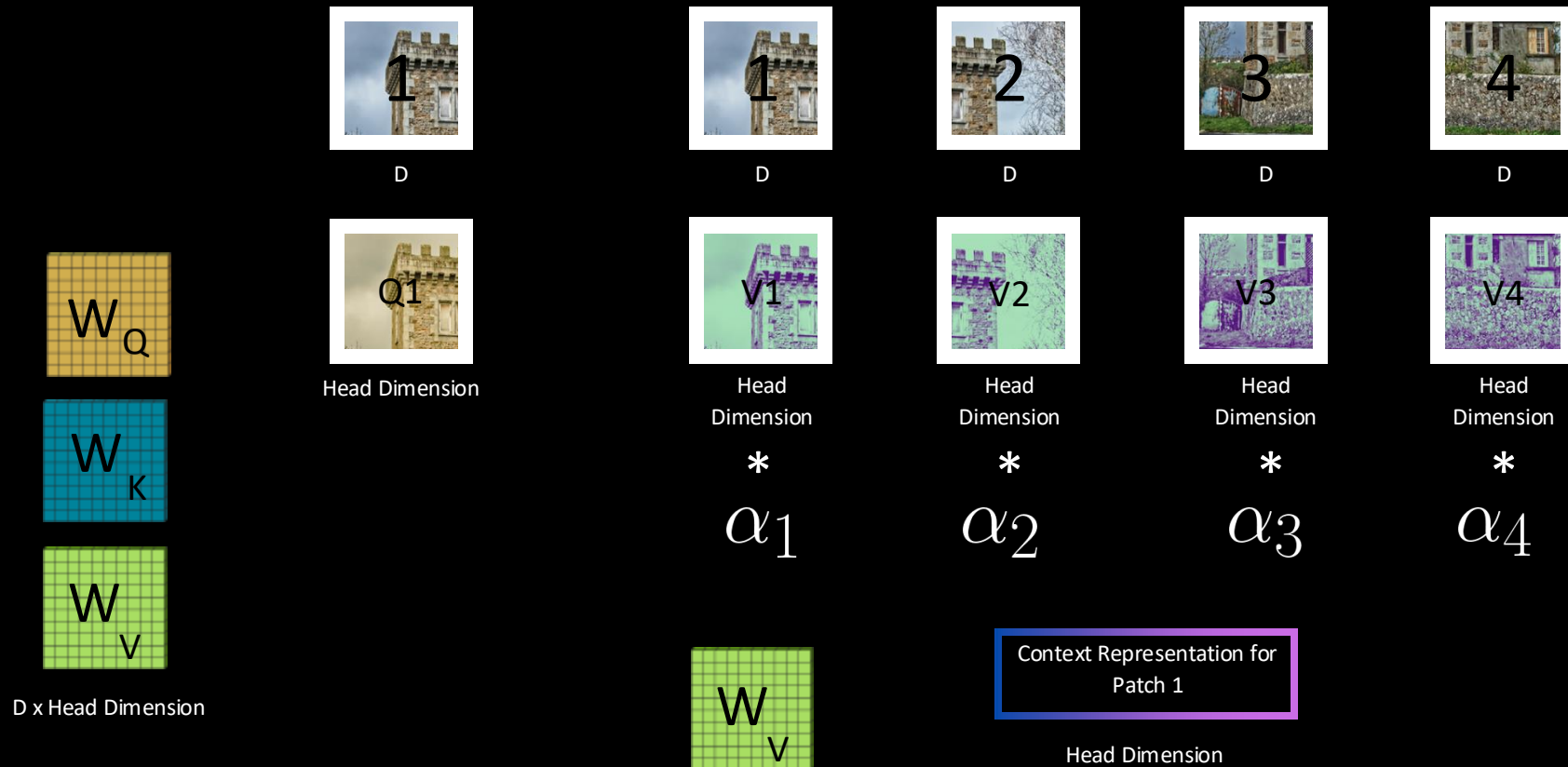
# Determining Relevance



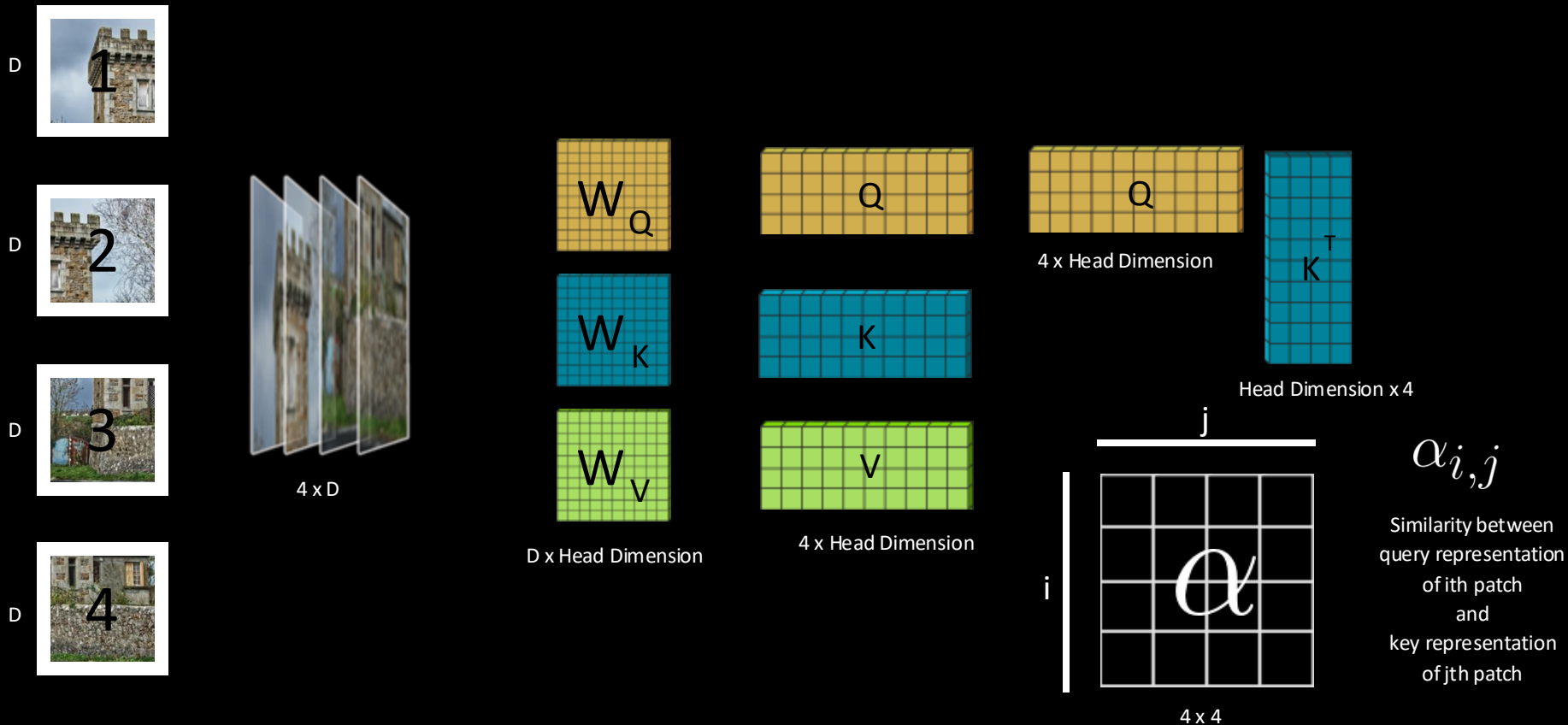
# Context-Aware Input Updating



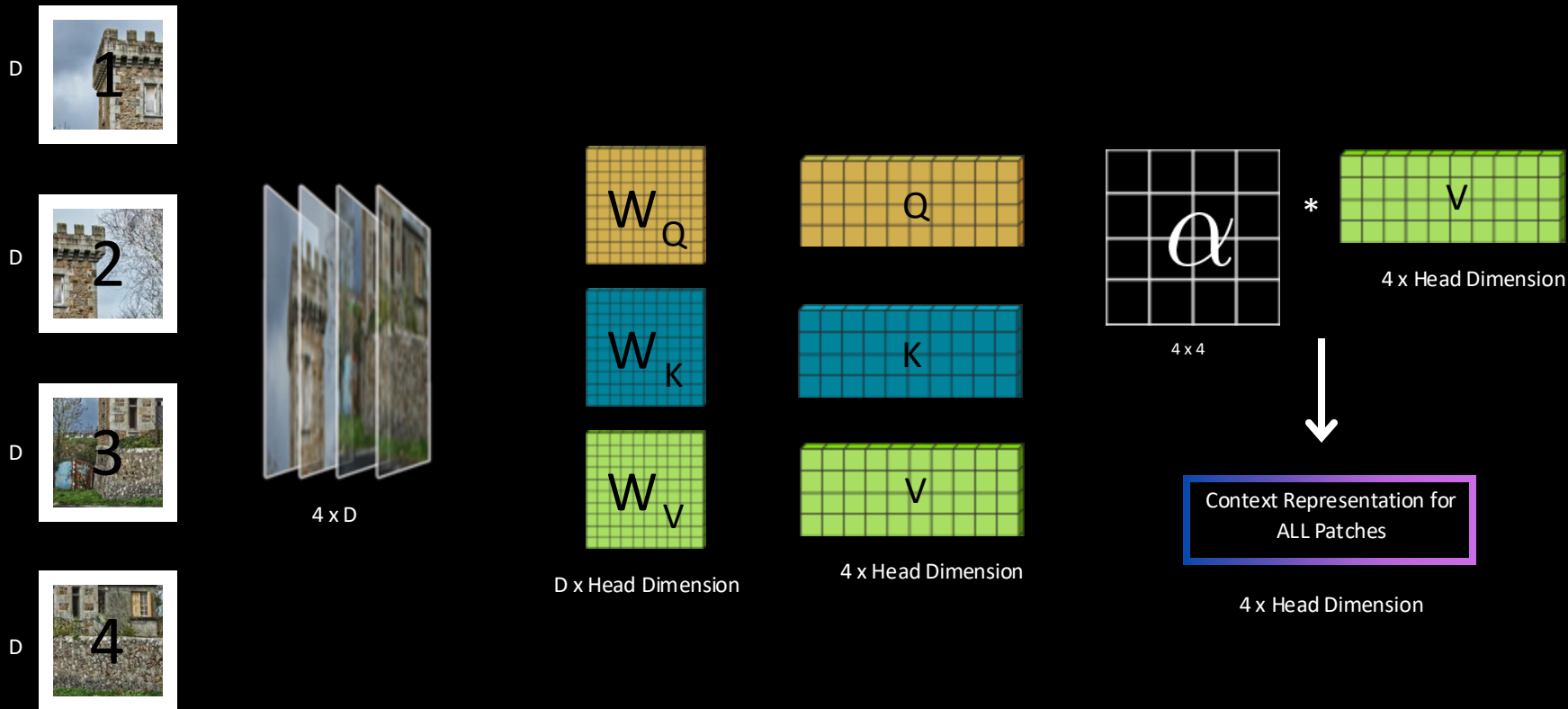
# Context-Aware Input Updating



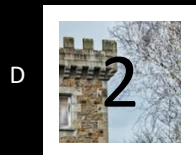
# Context-Aware Input Updating (For ALL Patches)



# Context-Aware Input Updating (For ALL Patches)

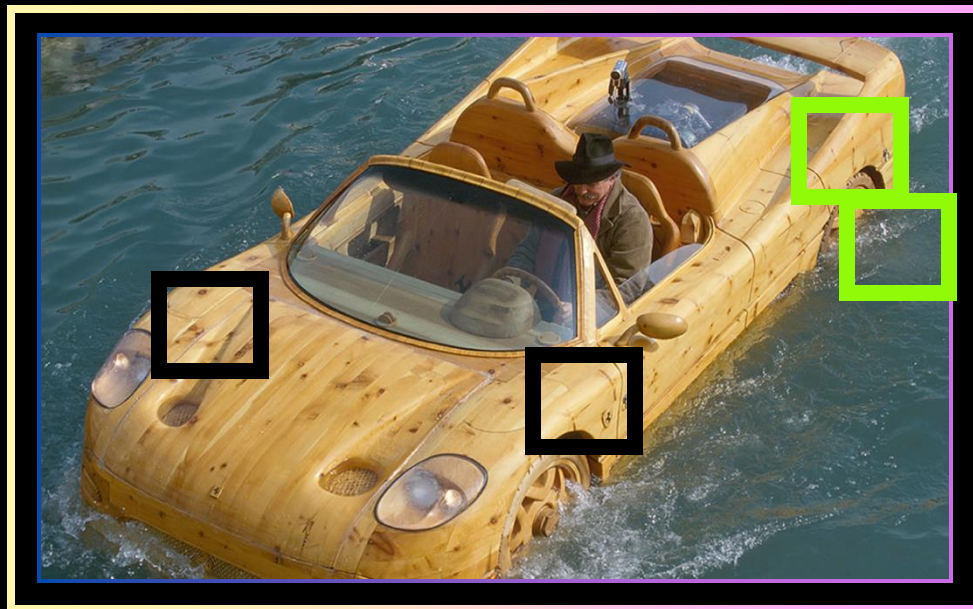


# Multi Head Attention



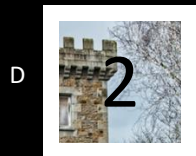
4 x D

Why ?

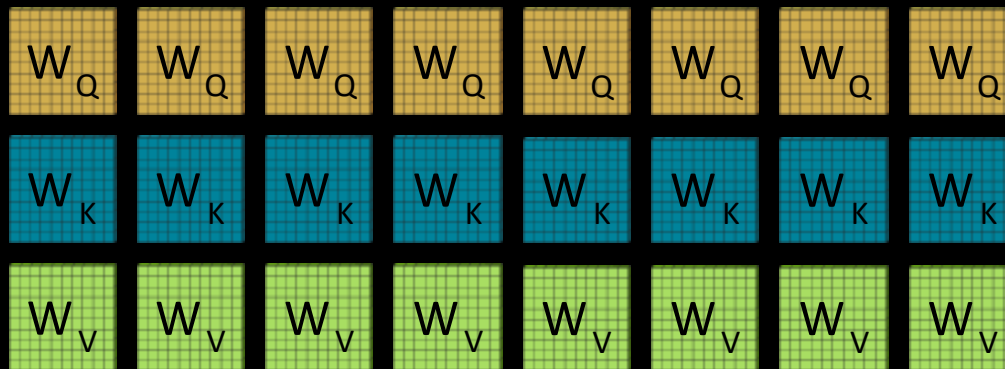


There can be multiple factors of relevance

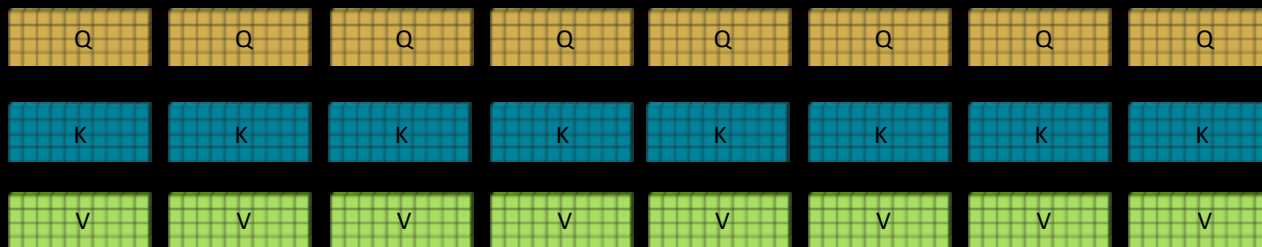
## Each head has unique weight matrices, despite the uniform color representation



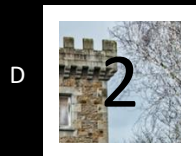
4 x D



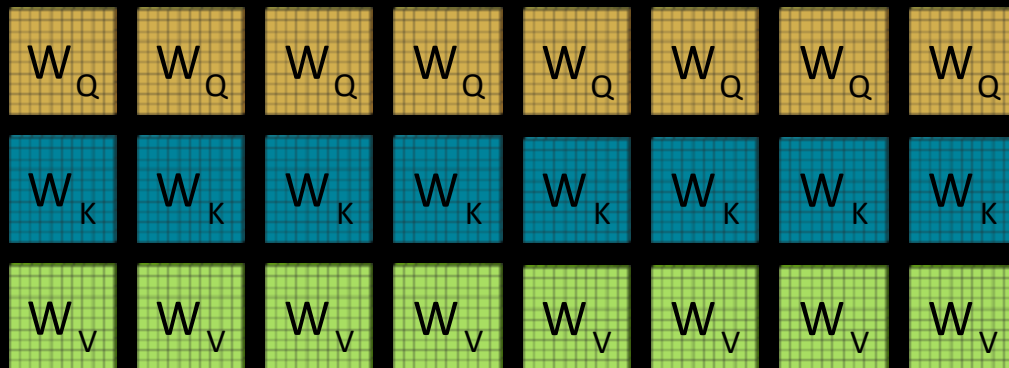
Each D x Head Dimension



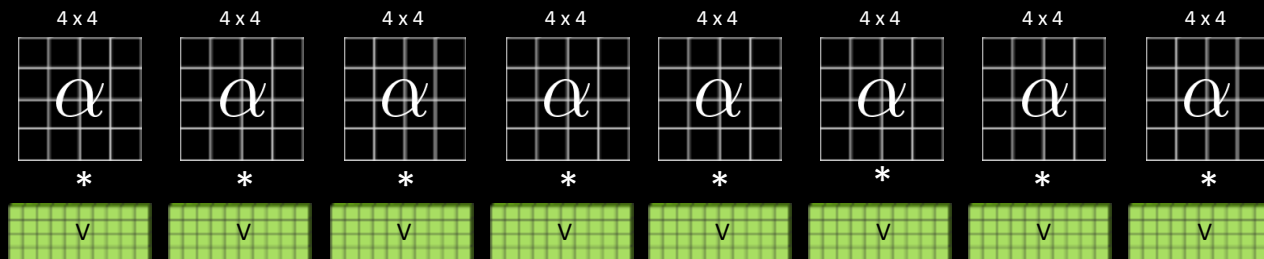
4 x Head Dimension



4 x D

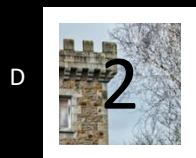
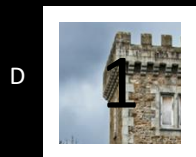


Each D x Head Dimension

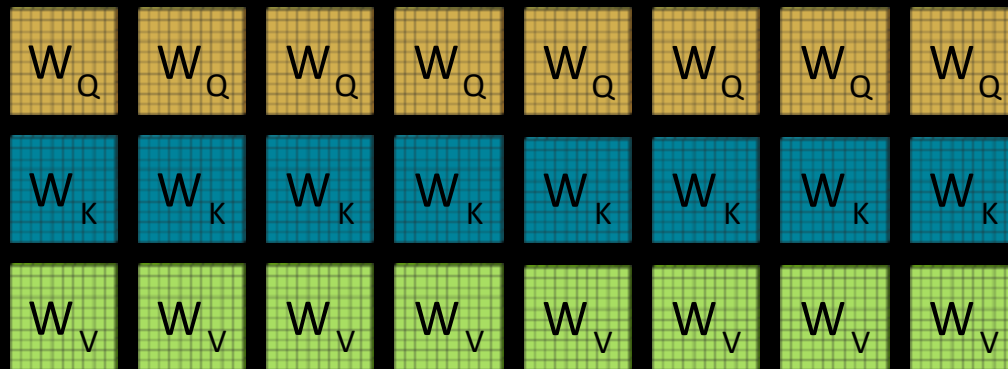


4 x Head Dimension





4 x D



Each D x Head Dimension



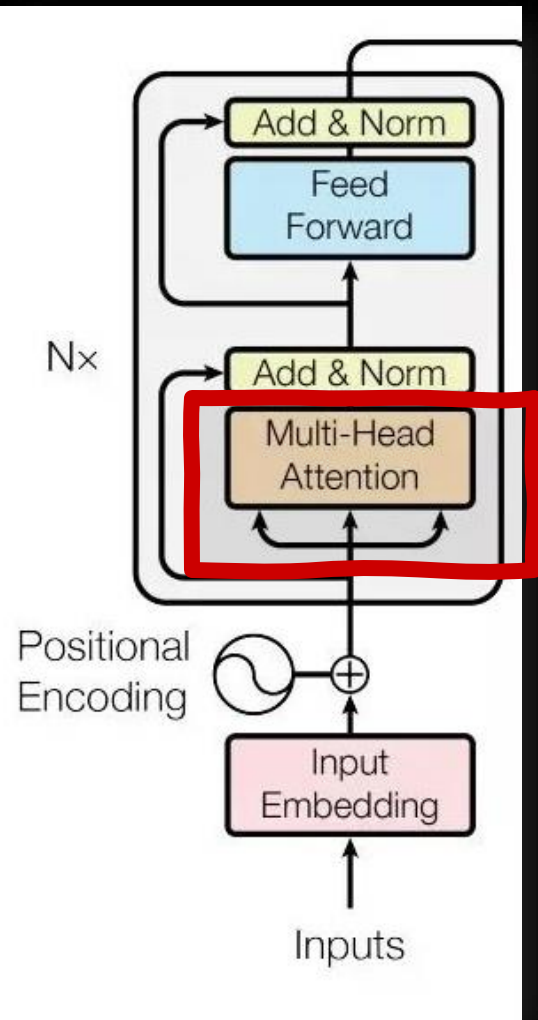
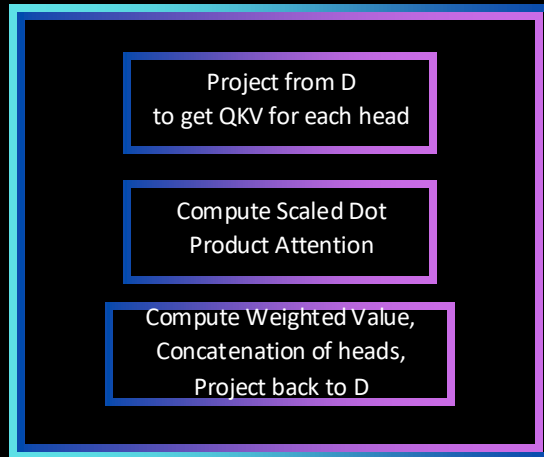
4 x (8 \* Head Dimension) →

Output FC Layer

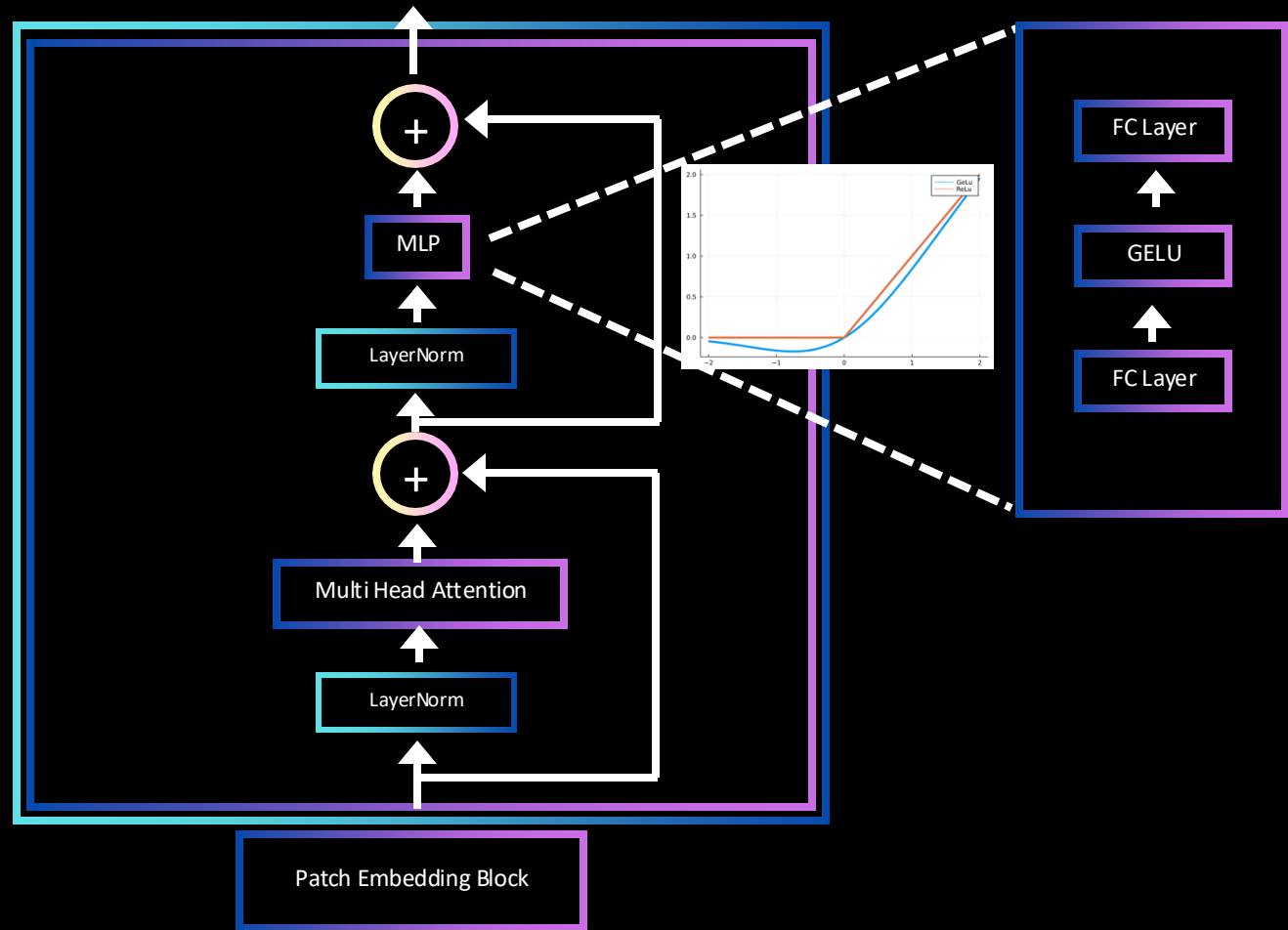
4 x D

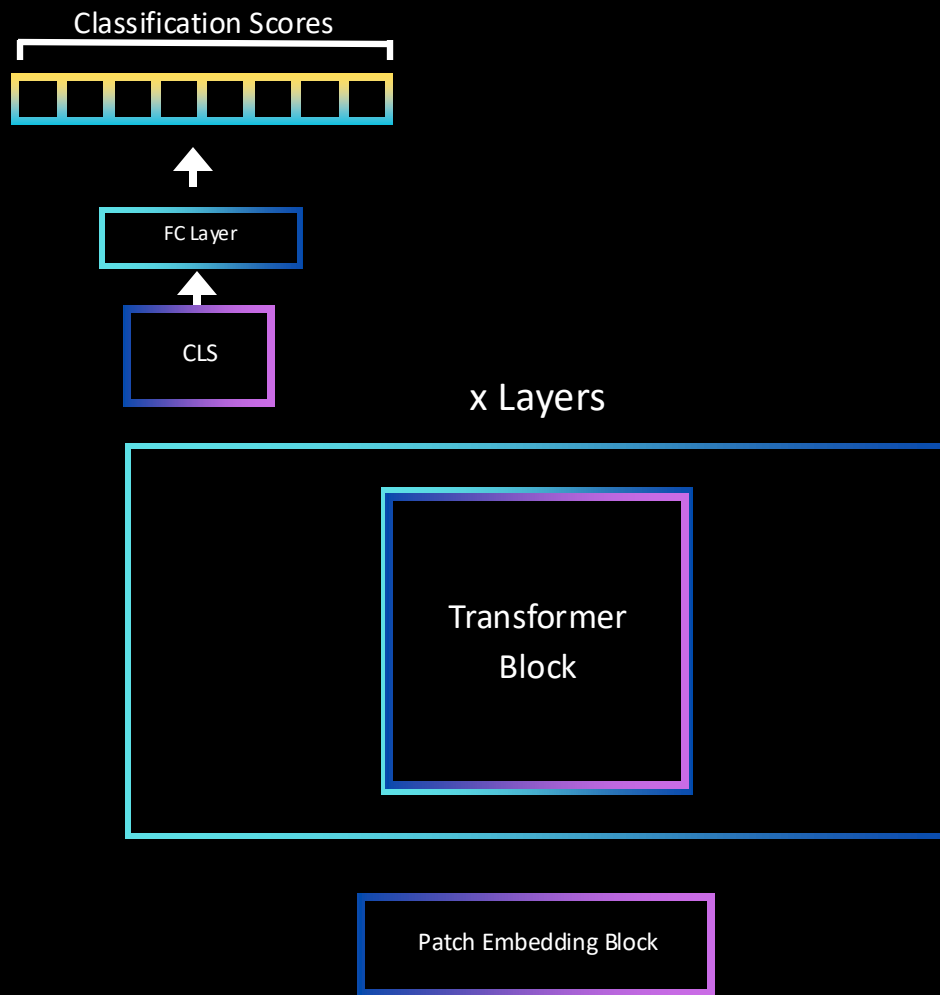
Concatenate

## Self Attention Block



# Transformer Block





**ViT**



**CNN**



Strong inductive bias  
(translation invariance)



Not too data-hungry



Hierarchical structure  
(receptive field)

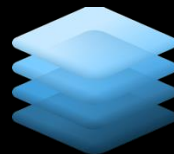
CNNs



No strong inductive bias



Data-hungry



Global structure  
(attention)

ViT

# Attention Map Visualization

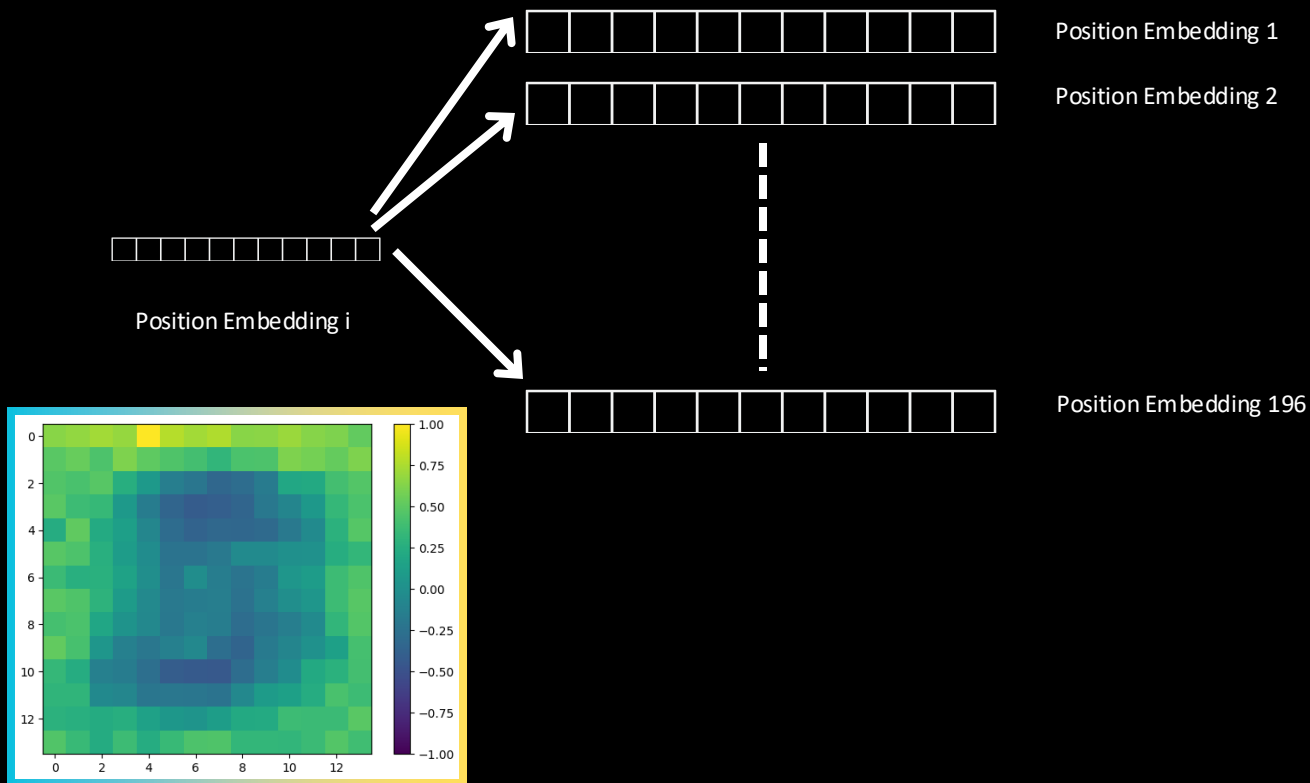


Source: Exploring Explainability for Vision Transformers  
(Jacob Gildenblat)

2020

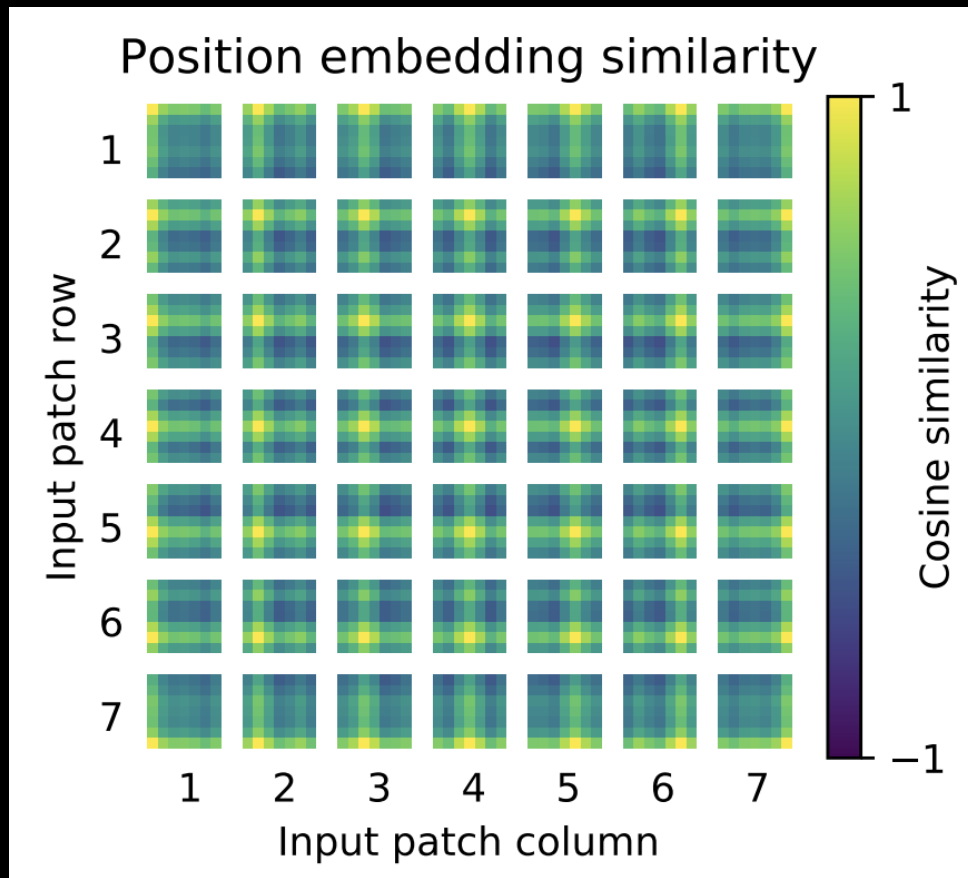
Quantifying Attention Flow in Transformers

# Position Embedding Visualization





# Position Embedding Visualization



# References

## Books & Core Reading

- **Goodfellow, I., Bengio, Y., & Courville, A. (2016).** *Deep Learning*. MIT Press. → Chapter 6: Deep Feedforward Networks

## Courses & Tutorials

- **Alexander Amini.** *Introduction to Deep Learning*, MIT 6.S191
- **Ali Farhadi.** *Introduction to Deep Learning*, CSE 490G1/599G1
- **Daniel Cremers.** *Introduction to Deep Learning*, IN2346
- **Sergey Levine.** *Designing, Visualizing, and Understanding Deep Neural Networks*, UC Berkeley, CS W182/282A

# References

## Key Papers

- **He, K., Zhang, X., Ren, S., & Sun, J. (2016).** *Deep Residual Learning for Image Recognition*. CVPR. → Introduces **ResNet**
- **Ioffe, S., & Szegedy, C. (2015).** *Batch Normalization: Accelerating Deep Network Training by Reducing Internal Covariate Shift*. ICML. → BatchNorm
- **Redmon, J., Divvala, S., Girshick, R., & Farhadi, A. (2016).** *You Only Look Once: Unified, Real-Time Object Detection*. CVPR. → Original **YOLO** paper
- **Dosovitskiy, A., et al. (2021).** *An Image Is Worth 16x16 Words: Transformers for Image Recognition at Scale*. ICLR. → Vision Transformers (ViT)
- **Vaswani, A., et al. (2017).** *Attention Is All You Need*. NeurIPS. → Foundational Transformer

# References

## Additional Useful Links

- **CS25: Transformers United V4 (YouTube)**  
→ [https://www.youtube.com/playlist?list=PLoROMvodv4rNiJRchCzutFw5ltR\\_Z27CM](https://www.youtube.com/playlist?list=PLoROMvodv4rNiJRchCzutFw5ltR_Z27CM)
- **CS231n: Convolutional Neural Networks for Visual Recognition**  
→ <https://cs231n.github.io/convolutional-networks/>  
<https://cs231n.github.io/understanding-cnn/>
- **The Illustrated Transformer by Jay Alammar**  
→ <https://jalammar.github.io/illustrated-transformer/>

## Data Augmentation Libraries:

**TorchVision, Kornia, Albumentations**

→ Check their official documentation and GitHub repositories